

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
[Docket No. FR-5600-N-41]

**Notice of Funding Availability (NOFA) for the
Continuum of Care Program Competition**

OVERVIEW INFORMATION

A. Federal Agency Name: U.S. Department of Housing and Urban Development, Office of Community Planning and Development.

B. Funding Opportunity Title: Notice of Funding Availability for the Continuum of Care (CoC) Program.

C. Announcement Type: Initial Announcement.

D. Funding Opportunity Number: The funding opportunity number is **FR-5600-N-41**. The OMB Approval number is 2506-0112, expires 11/30/2015.

E. Catalog of Federal Domestic Assistance (CFDA) Number: 14.267.

F. Deadline: The application deadline for submitting applications to HUD is 7:59:59 p.m. eastern time, **January 18, 2013**. Applicants will be required to complete and submit their applications in *e-snaps* at www.hud.gov/esnaps. See Section IV of this NOFA for application submission and timely receipt requirements.

G. For Further Information: HUD staff will be available to provide general clarification on the content of this NOFA. HUD staff cannot assist applicants prepare their applications to submit for funding.

1. Local HUD CPD Field Office. Questions regarding specific program requirements should be directed to the local HUD CPD Field Office, a directory of which can be found at www.hud.gov/offices/cpd/about/staff/fodirectors/index.cfm.

2. Training and Resources. CoCs and project applicants that need assistance completing the applications in *e-snaps* or understanding the program requirements under the CoC program may access the CoC interim regulations, training materials, and program resources via the HUD Homelessness Resource Exchange (HRE) at <http://hudhre.info/>.

3. The HUD HRE Virtual Help Desk (VHD). CoCs, Collaborative Applicants, and project applicants that require information and technical support concerning this NOFA and the applications in *e-snaps* may submit an electronic inquiry via the HUD HRE VHD at <http://www.hudhre.info/helpdesk>. The VHD is accessible 24 hours each day. Starting on the day prior to the application deadline, the VHD will respond only to emergency technical support questions up to the deadline of 7:59:59 p.m. eastern time.

H. General Section Questions. Questions regarding the General Section should be directed to the Office of Strategic Planning and Management, Grants Management and Oversight Division

at (202) 708-0667 (this is not a toll-free number). Persons with hearing or speech impairments may access these numbers via TTY by calling the Federal Information Relay Services at (800) 877-8339.

Additional Overview Information

1. Available Funds. Approximately \$1.61 billion is available for the CoC Program. HUD may add to this amount any available funds that have been carried over or recaptured from previous fiscal years.

2. Eligible Applicants.

a. CoC Consolidated Applications. CoCs for which a FY2012 CoC Registration was approved by HUD during the FY2012 CoC Registration process must designate a **Collaborative Applicant to submit** the CoC Consolidated Application on behalf of the CoC. Collaborative Applicants will not be able to access the CoC Consolidated Application in *e-snaps* if the CoC does not have an approved Registration.

b. Amendments to Registration. If a geographic area that includes projects eligible to apply for renewal during the FY2012 CoC Program Competition was not claimed by a CoC with an approved Registration, a contiguous CoC or the Balance of State CoC may contact HUD in writing to request that its Registration and Grants Inventory Worksheet (GIW) be amended to include the unclaimed geographic area and the renewal projects. In addition, in the event a CoC with an approved FY2012 CoC Registration failed to include a project on the CoC's Grant Inventory Worksheet, that CoC may contact HUD in writing to request that the CoC's Registration be amended.

c. Project Applicants. Eligible project applicants for the CoC Program are identified in Section III.A.

3. Eligible Costs. 24 CFR 578.37 through 578.63 identify the eligible costs for which funding can be requested for the CoC Program. HUD will reject all requests for funds for ineligible costs.

4. Match. 24 CFR 578.73 provides the information regarding match.

5. Requirements. The following requirements apply to funding available under this NOFA:

a. DUNS number and SAM. Project applicants are required to register with Dun and Bradstreet to obtain a DATA Universal Numbering System (DUNS) number, if they have not already done so, and complete or renew their registration in the System for Award Management (SAM). In July 2012, the CCR converted to the System for Award Management (SAM) found at www.SAM.gov. The new SAM website incorporates requirements for Central Contractor Registration (CCR). HUD will not enter into a grant agreement with an entity that does not have a DUNS Number or an active SAM.

b. Major natural disaster areas. A CoC with one or more projects directly impacted by a major disaster, as declared by President Obama under Title IV of the Robert T. Stafford Act, shall

receive at least the minimum score for the performance section of its CoC application. See Section V.A.1 under the full text of this NOFA.

6. Local Competition Deadlines. 24 CFR 578.9 requires CoCs to design, operate, and follow a collaborative process for the development of an application in response to a NOFA issued by HUD. As part of this collaborative process, CoCs should implement internal competition deadlines to ensure transparency and fairness at the local level. The implementation of deadlines that meet the standards outlined below will be considered as part of scoring criteria as detailed in Section V.A.3.c.

a. Project Applications. All project applications were required to be submitted to the CoC no later than 30 days before the application deadline.

b. CoC Notification to Project Applicants. The CoC notified all project applicants no later than 15 days before the application deadline regarding whether their project applications would be included as part of the CoC Consolidated Application submission. Any project applicants that submitted projects that were rejected by the CoC must have been notified in writing, outside of *e-snaps*, with an explanation for the decision to reject the project(s). CoCs that fail to provide such notices will not receive the maximum number of points available in Section V.A.3.c.

7. CoC Transparency. In order to receive the maximum number of points available in Section V.A.3.c, each CoC must have in place a process to make all parts of the CoC Consolidated Application available to its community for inspection (e.g., by request or by posting on the local CoC website) and to notify community members and key stakeholders that the application is available. The process must be conducted in a manner that is effective for persons with disabilities and persons with limited English proficiency.

FULL TEXT OF THE ANNOUNCEMENT

I. Funding Opportunity Description.

Program Description: The CoC Program is designed to promote a community-wide commitment to the goal of ending homelessness; to provide funding for efforts by nonprofit providers, States, and local governments to quickly re-house homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness; to promote access to and effective utilization of mainstream programs by homeless individuals and families; and to optimize self-sufficiency among individuals and families experiencing homelessness.

A. Authority: The CoC Program is authorized by subtitle C of title IV of the McKinney-Vento Homeless Assistance Act, as amended (42 U.S.C. 11381–11389) (the “Act”). The FY2012 funds for the CoC Program were authorized by the Consolidated and Further Continuing Appropriations Act of 2012 (Pub. L. 112-55) (the “HUD Appropriations Act”).

B. Summary of the Application Process: The FY2012 CoC Program Competition is the first funding competition to be administered under the CoC Program interim rule. While the process is similar to past Homeless Assistance Grants competitions, the content and steps differ in key ways. Applicants should review and follow the steps as outlined below to ensure that

applications are complete and submitted timely.

1. Collaborative Applicants must have completed CoC Registration in accordance with the [Notice of Fiscal Year \(FY\) 2012 Opportunity to Register and Other Important Information for Electronic Application Submission for Continuum of Care Program Competition](#) (FY2012 CoC Registration Notice) published on August 30, 2012.

2. Applicants should read this NOFA in its entirety. In order to gain a comprehensive understanding of the requirements for the CoC Program and competition, a review of the CoC NOFA should be completed in conjunction with a thorough review of the CoC Program interim rule. This NOFA frequently makes reference to citations from 24 CFR part 578, which is the CoC Program interim rule (published on July 31, 2012 at 77 FR 45421).

3. Applicants should review the General Section of the NOFA, published on September 19, 2011.

4. The Collaborative Applicant is responsible for submitting the CoC Consolidated Application in *e-snaps* on behalf of the CoC. The CoC Consolidated Application is made up of the following three parts:

a. The CoC Application, known as the Exhibit 1 in past competitions. The Collaborative Applicant will provide information about the CoC planning body, governance structure, overall performance, and the strategic planning process. This part of the application is scored and will determine the order in which CoCs are funded. For more information on the CoC Application, see Section IV.B.1.a of this NOFA.

b. The Project Application, known as Exhibit 2 in past competitions, is completed by the project applicants for new, including requests for CoC planning funds, and renewal project requests. The project applicant will provide a description of the proposed new or renewal project including the details as to who the project will serve, the type of housing that will be provided and what budget activities are being requested. The project applicant will provide a description of the activities of the planning activities that will be carried out with grant funds. For more information on the Project Application, see Section IV.B.1.b of this NOFA.

c. The Priority Listings contain four separate forms that list the new, renewal, CoC planning, and UFA costs projects the CoC intends to submit applications. The Priority Listings rank the projects in order of priority and also list any projects rejected by the CoC. This part of the CoC Consolidated Application cannot be completed until all Project Applications have been submitted. For more information on the Priority Listings, see Section IV.B.1.c.

5. Based on the criteria established in this NOFA, CoCs will establish priorities for funding of projects in the geographic area that will guide the prioritization of new and renewal projects for submission to HUD.

6. Project applications submitted to the CoC for inclusion on the Priority Listings as part of the CoC Consolidated Application must be reviewed and either accepted or rejected by the CoC. All projects approved by the CoC must also be prioritized in a two-tiered approach described in Section I.C.16. of this NOFA. The purpose of this two-tiered approach is for the CoCs to clearly

indicate to HUD which projects are prioritized for funding in the event that the national total annual renewal demand exceeds the \$1.61 billion available through this NOFA.

7. HUD will conduct a threshold review of prioritized projects for all CoCs that submit applications by the application deadline.
8. HUD intends to announce conditional funding for Tier 1 renewal projects within 45 days of the application deadline of the CoC Program Competition.
9. CoC Applications will be scored by HUD in accordance with the criteria set forth in Section V of this NOFA. HUD will announce any additional Conditional Awards (new projects and Tier 2 renewals) after scoring is complete, depending on availability of funding.

C. Changes and Highlights for FY2012: This list includes major changes included in the FY2012 CoC Program Competition NOFA in comparison to the FY2011 Homeless Assistance Grants NOFA.

1. Awards made under the Supportive Housing Program (SHP) and Shelter Plus Care Program (S+C) are renewable under the CoC Program Competition as set forth in 24 CFR 578.33 to continue ongoing leasing, operating, supportive services, rental assistance, HMIS, and project administration costs. See Section II.I.2.a. for more information.
2. Applicants that were eligible under the SHP and S+C programs but are no longer eligible under the CoC Program, will continue to be eligible for the renewal of leasing, operating, supportive services, rental assistance, HMIS, and project administration costs under 24 CFR 578.33(d)(1), so long as the recipient does not change the project. See Section II.I.2.a. for more information.
3. S+C rental assistance funds are included in the CoC's annual renewal demand. See Section I.D.3.a for more information.
4. Project applicants that were approved by HUD during the FY2012 CoC Registration process to change a project budget line item from leasing to rental assistance must provide a commitment letter from an entity eligible to administer rental assistance (a State, unit of general local government, or a public housing agency). Project applicants are encouraged to have the commitment letter by the application deadline and will be required to provide it to the local HUD CPD field office after announcement of the award and before the grant agreement is signed. If the applicant is unable to provide a commitment the applicant must agree to change the project back to leasing and comply with all of the requirements of leasing under the CoC Program or the grant will not be renewed.
5. Applicants may request funding for a 1-year grant term. The exception is that new projects requesting funds for acquisition, rehabilitation, or new construction are only eligible for a minimum of 3-year grant terms. Where a project application includes a request for funding for acquisition, rehabilitation, or new construction and a 1-year grant term is selected in *e-snaps*, HUD will automatically increase the grant term, if awarded, to 3 years, provided that the grant would still be feasible (e.g., would have enough funding for 1 unit of housing.- Although the grant term may be increased, the funding request will remain the same. See section II.I.2.b for

more information on grant terms.

6. HUD will limit renewal grants to 1 year of funding. Renewal project applications that request multiple years of funding will be reduced to 1-year grant amounts. See Section II.I.2.b for more information on grant terms.

7. A renewal project's demonstrated ability to drawdown and spend grant funds timely will be a factor in evaluating performance of each grant. Per 24 CFR 578.85, recipients will be required to draw project funds, at a minimum, on a quarterly basis if funded in the FY2012 CoC Program Competition. Any substantial performance issue, such as significant delays in drawing down funds, outstanding monitoring findings, or not serving or just beginning to serve program participants may result in a project not being funded in the FY2012 CoC Program Competition. See Section III.E.2.f. for more information about threshold requirements for renewal projects requesting funding in the FY2012 CoC Program competition.

8. CoCs may use the reallocation process to shift funds from existing renewal projects to new project applications without decreasing the CoC's annual renewal demand. The funds may be reallocated to develop new permanent supportive housing projects, new rapid re-housing projects, or new Homeless Management Information Systems (HMIS) projects. CoCs will be required to identify, in the CoC Application whether or not they intend to use the reallocation process. CoCs that indicate they will be using the reallocation process will be required to identify all projects being reduced or eliminated along with the new projects being created through reallocation. HUD strongly encourages CoCs to take advantage of this option. For more information about reallocation see Section I.D.3.d.

9. Additionally, CoCs may choose to reallocate all or a portion of one or more renewal Supportive Service Only (SSO) projects to create one new SSO project dedicated to the establishment and operation of a centralized or coordinated assessment system if one does not already exist in the CoC. For more information about centralized or coordinated assessment system requirements, see 24 CFR 578.7(a)(8). For more information about reallocation see Section I.D.3.d of this NOFA.

10. HUD will prioritize funding for CoCs serving communities that are identified as "Extreme High Needs". To ensure HUD is targeting its resources, the Department will designate 25 CoCs as "Extreme High Needs" based on the following criteria in the geographic area: (1) number of homeless persons; (2) unemployment; (3) the ratio of unsheltered to sheltered homeless persons; (4) foreclosures; (5) Preliminary Pro Rata Need (PPRN); and (6) the number of chronically homeless persons. For more information about this selection priority see Section V.B.1.b.

11. This year HUD is giving priority to the creation of new permanent supportive housing projects serving chronically homeless individuals and families, with priority on serving those with the longest histories of homelessness. CoCs may submit project applications for new permanent housing projects to serve chronically homeless individuals and families, which will be known as Permanent Housing Bonus projects. No CoC may request more than 10 percent of their PPRN for Permanent Housing Bonus projects. Eligible costs are limited to project administration, case management, and new construction, acquisition, rehabilitation, leasing, rental assistance, or operating costs. See Section II.I.3 of this NOFA for the limitation imposed

on the percentage of the grant that may be used for case management. When HUD selects projects in accordance with Section V.B.1.b of this NOFA, Permanent Housing Bonus projects in CoCs with a score of 97 or above will be conditionally awarded funding before other types of new projects. For more information about the Permanent Housing Bonus projects, see Sections I.D.3.b and II.I.3 of this NOFA. For more information about the selection priorities that will determine the order in which HUD will fund projects, see Section V.B.1.b of this NOFA.

12. In accordance with *Opening Doors: Federal Strategic Plan to Prevent and End Homelessness* (FSP), HUD's priority is to end chronic homelessness by the end of 2015. In order to reach this national goal, HUD strongly encourages CoCs to include this priority as part of their local planning process and HUD must prioritize funding targeted to this population. In the FY2012 CoC Program competition, HUD's priority is the development of new permanent supportive housing projects that propose to serve 100 percent chronic homeless individuals and families, particularly those who have the longest history of homelessness.

13. CoCs were required to submit their FY2012 Housing Inventory Count (HIC) Data and Point-in-Time Data directly into the HUD Homelessness Data Exchange (HDX) website. The FY2012 submission deadline was April 30, 2012. CoCs that did not meet the established deadline will not receive the maximum number of points available as described in Sections V.A.3.e and V.A.6.a of this NOFA.

14. In order to receive the maximum number of points available as described in Section V.A.3.c. of this NOFA, CoCs must (1) establish a deadline for project applications to be submitted to the CoC that is no later than 30 days before the application deadline and (2) notify, in writing, outside of *e-snaps*, all project applicants who submitted their project applications to the CoC by the CoC-established deadline whether their project application(s) will be accepted (and ranked on the Priority Listings) or rejected by the CoC within 15 days of the application deadline. Where a project is being rejected, the CoC must also indicate the reasons for the rejection. Per 24 CFR 578.35(b), project applicants that believe they were not allowed to participate in a fair and open process and that were rejected by the CoC may appeal the rejection directly with HUD by submitting as a Solo Application prior to the application deadline.

15. HUD has revised the requirements detailed in Section IV.1 of the FY2012 CoC Registration Notice. Because it is possible that the national total of the Annual Renewal Demand (ARD) amounts (see Section I.D.3.a for more information) submitted by CoCs in the FY2012 CoC Registration exceeds the \$1.61 billion available under this NOFA, CoCs will be required to rank all projects applying for grant funds that it does not reject, new and renewal, in *e-snaps*.

16. As described in Section I.C.15 above, it is possible that the total renewal demand for projects submitted in the FY2012 CoC Program Competition will exceed the \$1.61 billion available under this NOFA. To ensure that CoCs have the opportunity to prioritize their projects locally in the event that HUD is not able to fund all renewals, HUD is requiring that CoCs rank projects within 2 tiers in FY2012.

The tiers are financial thresholds. Tier 1 is equal to the CoC's Annual Renewal Demand (ARD) approved in the Registration process, less 3.5 percent. The national total for Tier 1 is \$1.61 Billion, the amount available under this NOFA before any available carryover or

recaptured funds are added. Tier 2 is the amount between a CoC's Tier 1 and the CoC's FPRN and any approved amounts for CoC planning and the PH Bonus. Appendix A provides the exact amount available for Tier 1 projects and FPRN for each CoC.

CoCs must list all projects that they approved to submit project applications to HUD, in the order of priority to the CoC, on the Priority Listings. CoCs should place all new and renewal project applications the CoC determines are high priority, high performing, and meet the needs and gaps as identified by the CoC in Tier 1. The exact dollar amount in Tier 1 for each CoC is listed in Column C of Appendix A. HUD will select projects in Tier 1 as described in Section V.B.1.b. This means that lower ranked projects may be selected for funding above higher ranked projects, consistent with HUD's selection priorities. HUD will select all projects in Tier 1 before selecting any projects in Tier 2. Additionally, awards for all renewal projects ranked in Tier 1 will be announced first.

Tier 2 is the amount between a CoC's Tier 1 and the CoC's FPRN in addition to any amounts approved for CoC planning and the PH Bonus. The exact FPRN for each CoC is listed in Column D of Appendix A. CoCs will continue the priority ranking from Tier 1 (e.g., if a CoC has 15 projects in Tier 1, then the priority ranking for Tier 2 will begin with 16). CoCs should place projects that meet the needs and gaps of the CoC, but may be lower performing or of a lower priority to the CoC in Tier 2. HUD will only select projects from Tier 2 after all projects from Tier 1 have been selected. Then, HUD will select projects in Tier 2 as described in Section V.B.1.b. This means that lower ranked projects may be selected for funding above higher ranked projects, consistent with HUD's selection priorities. All projects ranked in Tier 2 will be announced second, including any renewals placed in Tier 2.

Projects placed fully outside of the CoC's maximum award amount will be reviewed by HUD only in accordance with 24 CFR 578.35 (Appeal).

17. In FY2012, the CoC Priority Listings will be a separate submission from the CoC Application; however, they are required to be submitted as part of the CoC Consolidated Application, which will not be considered complete unless the CoC Application, the Priority Listings, and the associated Project Applications are submitted by the application deadline. For more information about what constitutes a complete CoC Consolidated Application see Section IV.B.1 of this NOFA.

18. Only one CoC project application for CoC planning costs can be submitted per CoC. Only one project application for UFA costs can be submitted by HUD-approved UFAs. The planning and UFA applications must be submitted by the Collaborative Applicant.

19. The Act requires that CoCs consult with State and local government Emergency Solutions Grant (ESG) recipients within the geographic area on the plan for allocating ESG funds and reporting on and evaluating the performance of ESG recipients and subrecipients. Each CoC will be required to describe how it is coordinating, or if this is not already occurring, how coordination with ESG recipients will occur and what processes are required to be in place at the CoC level to ensure this requirement is met. See Section V.A for more information about scoring criteria related to coordinating with ESG recipients.

20. The Act requires that all communities have an HMIS that has the capacity to collect unduplicated counts of individuals and families experiencing homelessness and provide

information to project subrecipients and applicants for needs analysis and funding priorities. Additionally, all CoC and ESG recipients must participate in the local HMIS. For many communities, the inclusion of ESG recipients and subrecipients in HMIS will mean an increase in users that the HMIS must be able to accommodate. The HMIS Lead should continue to consider any unique needs that the HMIS may be required to address in order to accommodate these emergency shelter, street outreach, and homelessness prevention programs.

21. CoCs may request, in the CoC Application, up to 10 percent of the funding awarded under this NOFA to serve families with children and youth defined as homeless under other federal statutes (paragraph 3 of the definition of homeless found in 24 CFR 578.3). CoCs are limited to using only up to 10 percent of the total amount awarded to the CoC to serve this population, and must determine which projects will be permitted to use some or all of their funding for this purpose. The only project types that can serve this population are transitional housing and supportive services only. For more information on this limitation, see 24 CFR 578.89 and Sections V.A.1.j and V.A.2.1 of this NOFA.

22. HUD continues to encourage each CoC, in collaboration with the HMIS Lead, to assess the adequacy of current funding and staffing for the HMIS. This strategic review should include a process for estimating costs associated with such items as:

- a. Increased participation of service providers in HMIS, either through direct data entry or by contributing data to the HMIS, including user licenses, software support, training, data quality analysis, etc.;
- b. Enhancements to current HMIS software;
- c. Compliance with HMIS Data Standards; and
- d. Assessing HMIS software functionality for capacity to generate data for HUD reporting (APR, AHAR, Pulse, HPRP, etc).

23. The Act and CoC Program interim rule have a focus on performance and outcomes, including measures that were not reviewed by HUD under previous competitions. HUD encourages CoCs to begin to strategize how the outcomes related to these new performance measures will be collected at the local level. HUD strongly encourages communities to consider HMIS capacity in collecting the information necessary to report on the defined selection criteria that will be applied in future competitions. See Section V.A.1. of this NOFA for details regarding performance reporting for this competition.

D. Definitions and Concepts. The definitions and concepts contained in this section include terms that are important for all applicants to understand in order to complete all parts of the FY2012 CoC Program Consolidated Application.

1. Definitions from 24 CFR 578.3. The following terms are defined in 24 CFR 578.3. Applicants must refer to the CoC Program Interim Rule for the definitions contained in this section.

- a. *Annual Renewal Amount (ARA)*

- b. *Applicant*
- c. *Centralized or Coordinated Assessment System.*
- d. *Chronically Homeless*
- e. *Collaborative Applicant*
- f. *Continuum of Care*
- g. *Consolidated Plan*
- h. *Homeless*
- i. *Permanent Housing*
- j. *Permanent Supportive Housing*
- k. *Private Nonprofit Organization*
- l. *Program Participant*
- m. *Project*
- n. *Subrecipient*
- o. *Transitional Housing.*
- p. *Unified Funding Agency*

2. CoC Program NOFA Definitions. The following terms are not found in 24 CFR part 578, but are used in the FY 2012 CoC Program NOFA to define concepts that pertain specifically to the CoC Program application process.

a. Consolidated Plan Certification. The statutory form in which a state or local official certifies that the proposed activities or projects are consistent with the jurisdiction's Consolidated Plan and, if the applicant is a state or unit of local government, that the jurisdiction is following its Consolidated Plan.

b. Housing Inventory. A complete listing of the community's HUD and non-HUD funded beds dedicated to homeless persons.

c. Project Applicant. An applicant designated by the CoC to apply for CoC Program funds to carry out activities related to a specific project(s) as defined in part 24 CFR 578.3. This includes Collaborative Applicants that apply for planning funds.

d. Rapid Re-housing. A type of permanent housing meeting the requirements of 24 CFR 578.37 (a)(1)(ii).

3. Concepts. The concepts contained in this section are important for all applicants to

understand in order to complete all parts of the FY2012 CoC Program Consolidated Application. These concepts are used throughout this NOFA:

a. Annual Renewal Demand (ARD). (24 CFR 578.17(b)(2)) is the total amount of all the CoC's projects that will be eligible for renewal in the FY2012 CoC Program Competition. It is the sum of the annual renewal amounts of all projects within the CoC's geographic area eligible to apply for renewal in the FY2012 CoC Program competition, before any adjustments to leasing, rental assistance, and operating line items based on FMR changes.

b. Permanent Housing Bonus. CoCs may submit project applications for Permanent Housing Bonus projects, which are new permanent supportive housing projects that serve chronically homeless individuals and families, with priority on serving those with the longest histories of homelessness, in an amount up to 10 percent of the CoC's PPRN. The 10 percent cap for each CoC was displayed during the CoC Registration process in *e-snaps*. Projects that meet the eligibility and project quality thresholds in CoCs with a minimum score of 97 points will be conditionally awarded before other types of new projects as established in Section V.B.1.b of this NOFA. See Section II.I.3 of this NOFA for more information.

c. Preliminary Pro Rata Need (PPRN). The amount of funds a CoC could receive based upon the geographic areas HUD approves as included in the CoC. To determine the homeless assistance need of a particular jurisdiction, HUD will use the formula set forth in the CoC Program interim rule at §578.17(a). Each year, HUD publishes the PPRN for each jurisdiction. A CoC's PPRN is determined by adding the published PPRN of each jurisdiction within the HUD-approved CoC.

d. Reallocation. Reallocation is when a CoC shifts funds in whole or part from existing renewal projects to create one or more permanent supportive housing projects, rapid re-housing projects, or dedicated HMIS projects without decreasing the CoC's annual renewal demand. Even if the CoC does not receive the minimum score of 97 to have new projects funded (see Section V.B.2.d of this NOFA), the new projects created by reallocation will be conditionally funded before other types of new projects (see V.B.1.b for more information) so long as they meet the eligibility and project quality thresholds established by HUD. New projects created by reallocation that do not meet the eligibility and project quality thresholds established by HUD will be rejected.

II. Continuum of Care Program Requirements. The CoC Program interim rule at 24 CFR part 578 details the requirements that grants awarded under this competition must comply with. Regulatory citations are provided below so that applicants can refer to specific areas of the CoC Program interim rule for details related to:

A. Establishing and Operating the CoC. 24 CFR 578.5 and 24 CFR 578.7 detail the requirements for establishment of the CoC and its operations.

B. CoC Geographic Area. 24 CFR 578.5 requires representatives from relevant organizations within a geographic area to establish a Continuum of Care to carry out the duties within the geographic area. The boundaries of identified CoC areas may not overlap and any overlapping geographies are considered Competing Continuums of Care. HUD will follow the process detailed in 24 CFR 578.35(d) to determine which Continuum will be funded in the case of CoC

geographic areas that overlap in whole or in part. See section V.A.8 for more information about how projects in Competing CoCs will be awarded funding.

C. Planning Duties of the CoC. Planning duties for CoCs are detailed in 24 CFR 578.7.

D. Centralized or Coordinated Assessment System. The definition of Centralized or Coordinated Assessment can be found at 24 CFR 578.3. 24 CFR 578.7(a)(8) details responsibilities of the CoC with regard to establishing and operating such a system. CoCs without a centralized or coordinated assessment system may use reallocation to reduce or eliminate one or more renewal SSOs in order to create one new SSO project to fund the costs of operating a centralized or coordinated assessment system. CoCs may use planning costs to design and plan for the implementation of a centralized or coordinated assessment system. CoCs may also use available PPRN funds to create a centralized or coordinated assessment system.

E. CoC Program Components. 24 CFR 578.37 provides that CoC funds may be used for projects under five program components: permanent housing, transitional housing, supportive services only, HMIS, and in some cases, homelessness prevention. Homelessness prevention is an additional component to be included in future CoC competitions through the implementation of High Performing Communities (HPC), since only designated HPCs may carry out homelessness prevention activities through the CoC program. Therefore, the four components that will be funded in the FY2012 CoC Program Competition are:

1. Permanent Housing;
2. Transitional Housing;
3. Supportive Services Only; and
4. HMIS

The components are fully described in 24 CFR 578.37 of the CoC Program interim rule.

F. High Performing Communities. While the Act provides that HUD will designate no more than 10 HPCs in each of the first two years of the program, and, at 24 CFR 578.65, the rule establishes standards for qualifying as an HPC, the requirement to use HMIS data to qualify as an HPC and the requirements for applying to be an HPC make it impossible for HUD to designate any HPCs in the FY2012 CoC Program Competition due to the lack of data responsive to the statutory selection criteria. The Act requires that HUD review at least 2 years of community data for some of the selection criteria to determine whether a CoC is designated as an HPC. While communities may have data that could be used to make the determination, there is a lack of consistency amongst the communities as to the type and quality of the data collected. Additionally, the HMIS rule, as well as the data and technical standards that are needed to ensure data reliability are not yet in place, and all projects necessary for these calculations are not yet included in HMIS. For example, to produce reliable data on mean length of homelessness, data from emergency shelters must be included in the HMIS for the time period specified. ESG recipients were first required to contribute data to HMIS by the ESG Program interim rule effective January 4, 2012, which was implemented with the second allocation of ESG funds. Implementation of the rule did not begin until the second allocation of FY2011 funds.

Therefore, HUD will not designate any HPCs in the FY2012 CoC Program Competition.

G. Collaborative Applicant. HUD may only review FY2012 CoC Consolidated Applications submitted from the Collaborative Applicant that has been designated by the CoC to submit the CoC Consolidated Application on behalf of the CoC. The Collaborative Applicant will compile all parts of the CoC Consolidated Application, including the CoC Application, the Priority Listings, and all project applications the CoC has designated for funding within the geographic area. Additionally, as set forth in 24 CFR 578.3, the Collaborative Applicant is the only entity that can apply for CoC planning costs from HUD on behalf of the CoC that the Collaborative Applicant represents.

H. CoC Maximum Award. The process for determining a CoC's maximum award amount is detailed in 24 CFR 578.17(b). This process requires that HUD adjust leasing, operating, and rental assistance budget line items based on changes to the Fair Market Rents (FMR). All adjustments will be made prior to award announcement.

These adjustments will be made as follows:

1. Funds awarded for rental assistance in all projects will be adjusted by applying the Fair Market Rent (FMR) in effect at the time of award, including in the cases where the FMR for a specific area has decreased from the previous year.
2. Funds awarded for operating and leasing in permanent housing projects will be increased based on the average increase in FMR amounts within the CoC's geographic area, weighted for population density. Because leasing and operating costs do not decrease relative to rent amounts for specific units (e.g., operating costs for 10 units that have rents of \$500 are likely the same as for 10 units that have rents that are \$450) adjustments to leasing and operating line items will not include decreases if FMRs decrease in the geographic area. The operating and/or leasing budget line items in these projects will remain the same as in the most recent grant agreement or grant agreement amendment.

II. Award Information

1. *Amount Allocated.* Approximately \$1.61 billion is available for funding. Carried over or recaptured funds from previous fiscal years, if available, may be added to this amount.
2. *Distribution of Funds.* The distribution of funds will depend largely on locally determined priorities, overall demand, and renewal eligibility.
 - a. *Renewals.* Awards made under the SHP and S+C are eligible for renewal if they are currently operating and have a signed grant agreement with HUD that will expire during the period beginning January 1, 2013 and ending December 31, 2013. These projects are renewable under the CoC Program Competition as set forth in 24 CFR 578.33 of the interim rule to continue ongoing leasing, operating, supportive services, rental assistance, HMIS, and project administration costs.

Applicants that were eligible under the SHP and S+C programs but are no longer eligible under the CoC Program, will continue to be eligible for renewal of leasing, operating, supportive

service, rental assistance, HMIS and project administration costs under 24 CFR 578.33(d)(1), so long as their project continues to serve the same population and the same number of persons or units in the same type of housing as identified in their most recently amended grant agreement signed before August 30, 2012. No new Safe Haven projects will be funded; however, existing Safe Haven projects may be renewed to continue to carry out activities that are eligible costs under Subpart D of the CoC Program Interim Rule.

b. Grant terms. The initial grant term for new project applications may be 1 year, 2 years, 3 years, 4 years, 5 years, or 15 years. However, the following exceptions apply:

(1) Any new project application that includes leasing – either leasing alone or leasing costs plus other costs (e.g. supportive services, HMIS, etc.) - can only request up to a 3-year grant term with funding for 3 years.

(2) Any of the following new projects can request 1-year, 2-year, 3-year, 4-year, or 5-year grant terms with funding for the same number of years: operating costs, supportive services only, HMIS, and project administration.

(3) Any new project applications that requests new construction, acquisition, or rehabilitation must request a minimum of a 3-year grant term and can request up to a 5-year grant terms.

(4) Any new projects requesting project-based rental assistance or sponsor-based rental assistance, or operating costs may request up to a 15-year grant term; however, the project applicants may only request up to 5 years of funds. Funding for the remainder of the term is subject to availability and applicants will have to apply for additional funds at such time and in such manner as HUD may require.

(5) If an applicant requests funds for new construction, acquisition, or rehabilitation in addition to requesting funds for operating, supportive services, or HMIS, the funding will be for the 3 years requested, and the grant term will be 3 years plus the time necessary to acquire the property, complete construction, and begin operating the project. HUD will require recordation of a HUD-approved use and repayment covenant (a form may be obtained from the field office) for all grants of funds for new constructions, acquisition, and rehabilitation. (24 CFR 578.81)

(6) All renewal project applications, including rental assistance, are limited to 1-year grant terms and 1-year of funding.

3. Permanent Housing Bonus. For FY2012, CoCs may submit project applications to create one or more Permanent Housing Bonus projects, which are new permanent supportive housing projects that serve chronically homeless individuals and families, with priority on serving those with the longest histories of homelessness. Projects may limit admission to, or provide a preference for, subpopulations of homeless persons and families who need the specialized supportive services that are provided in the housing. While the housing may offer services for a particular type of disability, no otherwise eligible individual with a disability, who may benefit from the services provided, may be excluded on the grounds that they do not have a particular disability pursuant to 24 CFR 578.93(b)(7). Demonstrating progress in reducing chronic

homelessness will continue to be a scored item in the CoC Application.

Each CoC may submit one or more new project applications for Permanent Housing Bonus projects, which are new permanent supportive housing projects that serve chronically homeless individuals and families, with priority on serving those with the longest histories of homelessness, the sum of which must not exceed the 10 percent cap of the PPRN displayed during CoC Registration in *e-snaps*. No more than 20 percent of the total of the grant's eligible project costs for each grant (grant total minus project administration costs up to 10 percent) may be used for case management. HUD will not fund supportive services other than case management for the Permanent Housing Bonus projects. The remaining 80 percent of the grant's eligible project costs must be used for eligible housing costs (i.e., new construction, acquisition, rehabilitation, leasing, rental assistance, or operating costs).

III. Eligibility Information

A. Eligible Project Applicants. (24 CFR 578.15) Eligible project applicants for the CoC Program Competition are nonprofit organizations, States, local governments, and instrumentalities of State and local governments, and public housing agencies, as such term is defined in 24 CFR 5.100, without limitation or exclusion. For-profit entities are not eligible to apply for grants or to be subrecipients of grant funds.

B. Renewal Projects. Awards made under the SHP and S+C programs are eligible for renewal if they are currently in operation and have a signed grant agreement with HUD which will expire during the period beginning January 1, 2013 and ending December 31, 2013. HUD will not select renewal projects for an award unless the grant agreement has been signed by both HUD and the recipient, and the project meets one of the following additional eligibility requirements:

- 1.** Any SHP or S+C grant awarded in a preceding competition that expires in Calendar Year (CY) 2013 (the period beginning on January 1, 2013 and ending on December 31, 2013). This includes projects funded under the Rapid Re-housing Demonstration Program that are expiring in CY2013.
- 2.** Any S+C grant awarded prior to FY2002 for which funding is expected to run out in CY2013, and which has never applied for renewal funding.
- 3.** Any SHP or S+C grant originally awarded in the FY2006 competition and, notwithstanding the expiration date, that has funds expiring in CY2013 or later and has not been renewed in a previous competition. Funds for these grants will no longer be available after September 30, 2013. The Line of Credit Control System (LOCCS) requires that all draws for the grants awarded in the FY2006 competition be made no later than September 26, 2013. Funds from these expiring grants will be recaptured and returned to the U.S. Treasury and will no longer be available for expenditure, even if the end date established in the grant agreement is beyond September 30, 2013. Recipients may not accelerate their spending rate to spend down funds by September 27, 2013. Field offices will monitor draws for affected grants to ensure that funds will be drawn only to reimburse the affected recipients for actual costs incurred in accordance with the project budget on, or before, the LOCCS availability of funds deadline. Grants awarded in the FY2006 CoC Competition are not eligible for renewal in the FY2012 Competition if they

expired, or are expiring, in CY2012 (time period beginning January 1, 2012 and ending December 31, 2012), and were not renewed in a previous competition, or were not approved for extension into CY2013 or later.

4. Renewing project applicants can request only 1 year of funding.
5. The total request for each renewing project cannot exceed the Annual Renewal Amount approved by HUD for that project. Because funds for acquisition, new construction, and rehabilitation cannot be renewed, grants being renewed whose original expiring award included those funds may only renew leasing, supportive services, rental assistance, operating, and HMIS, costs and cannot exceed up to 10 percent in administrative costs. For information on Annual Renewal Amount, see Section I.D.1.a of this NOFA.
6. HUD will recapture grant funds remaining unspent at the end of the previous grant period when it renews a grant.
7. HUD encourages the consolidation of appropriate renewal grants when the grants are with the same recipient, have the same component and expire in the same year. However, projects that have not yet been consolidated must submit separate project applications for individual renewal grants. Where a recipient wants to consolidate renewal grants, this action can be accomplished by the field office at the point of renewal grant agreement execution.
8. Applicants renewing rental assistance (S+C) for the first time that request more units than approved in the original application must have provided their local HUD field office with copies of all executed leases at the time of Grant Inventory Worksheet (GIW) submission to support the higher number of units requested in the application. HUD will consider the number of documented units under lease at the time of GIW submission the maximum number of units eligible for renewal in the FY2012 CoC Program Competition.

C. **New Projects.** The initial grant term for new project applications may be 1 year, 2 years, 3 years, 4 years, 5 years, or 15 years. However, the following exceptions apply:

1. Any new project application that includes leasing costs – either leasing alone or leasing costs plus other costs (e.g., supportive services, HMIS, etc) - can only request up to a 3-year grant term with funding for 3 years.
2. Any of the following new projects can request 1-year, 2-year, 3-year, 4-year, or 5-year grant terms with funding for the same number of years: operating costs, supportive services only, HMIS, and project administration.
3. Any new project applications that requests new construction, acquisition, or rehabilitation must request a minimum of a 3-year grant term and can request up to a 5-year grant term.
4. Any new project application requesting project-based rental assistance, sponsor-based rental assistance, or operating costs may request up to a 15-year grant term; however, they may only request up to 5 years of funds. Funding for the remainder of the term is subject to availability and applicants will have to apply for additional funds at such time and in such manner as HUD may require.

5. If an applicant also requests funds for new construction, acquisition, or rehabilitation, in addition to requesting funds for operating, supportive services, or HMIS, the funding will be for the 3 years requested, and the grant term will be 3 years plus the time necessary to acquire the property, complete construction, and begin operating the project. HUD will require recordation of a HUD-approved use and repayment covenant (a form may be obtained from the field office) for all grants of funds for new construction, acquisition, and rehabilitation. (24 CFR 578.81).

6. In order to expend funds within statutorily required deadlines, applicants funded for sponsor-based and project-based rental assistance must execute the grant award and begin providing rental assistance within 2 years. However, HUD strongly encourages all rental assistance to begin within 12 months. Applicants that are unable to begin rental assistance within the 12 month period should consult with the local field office.

7. All applicants must meet statutory deadlines regarding the obligation of grant funds as stated in the HUD Appropriations Act. All subrecipients must meet applicant eligibility standards as described in Section III of this NOFA. HUD will review project subrecipient's eligibility as part of the threshold review process. Project applicants are required to submit documentation of subrecipients' eligibility with the application.

D. Matching. 24 CFR 578.73 provides the information regarding match.

E. Other Project Eligibility Requirements.

1. Statutory and Regulatory Requirements. To be eligible for funding under this NOFA, project applicants must meet all statutory and regulatory requirements in the Act and CoC Program interim rule. Project applicants can obtain a copy of the CoC Program interim rule on the [HUD Homelessness Resource Exchange](#) (HRE) or by contacting the NOFA Information Center at 1-800-HUD-8929 (1-800-483-8929).

2. Threshold Requirements:

a. Ineligible Applicants. HUD will not consider an application from an ineligible project applicant, including an application for CoC planning funds from an applicant other than the Collaborative Applicant.

b. DUNS Number Requirement. All project applicants seeking funding under this NOFA must have a DUNS number and include the number in the Standard Form 424 (SF-424). The SF-424 must be submitted along with the project application in *e-snaps*. See Section III.C.2.c. of the General Section for additional information.

c. Active Registration in SAM. All project applicants seeking funding under this NOFA must have an active SAM registration. HUD will not issue a grant agreement for awarded funds to a project applicant until an active SAM registration has been verified.

d. Project Eligibility Threshold. HUD will review all projects to determine if they meet the following eligibility threshold requirements on a pass/fail standard. If HUD determines that the applicable standards are not met for a project, the project will be rejected from the competition. Any project requesting renewal funding will be considered as having met these requirements

through its previously approved grant application unless information to the contrary is received (i.e., monitoring findings, results from investigations by the Office of Inspector General, etc). Approval of renewal projects is not a determination by the Department that a recipient is in compliance with applicable fair housing and civil rights requirements.

(1) Project applicants and potential subrecipients must meet the eligibility requirements of the CoC program as described in the interim rule and provide evidence of eligibility required in the application (e.g., nonprofit documentation).

(2) Project applicants and potential subrecipients must demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and to administer federal funds. Demonstrating capacity may include a description of the applicant/subrecipient's experience with similar projects and with successful administration of other federal funds.

(3) Project applicants must submit the required certifications as specified in this NOFA.

(4) The population to be served must meet program eligibility requirements as described in the Act, and the project application must clearly establish eligibility of project applicants. This includes the following additional eligibility criteria for certain types of projects.

(a) The only persons who may be served by any permanent supportive housing projects are those who come from the streets, emergency shelters, safe havens, institutions, or transitional housing.

i. Persons coming from transitional housing must have originally come from the streets or emergency shelters.

ii. Disabled individuals and families who were fleeing or attempting to flee domestic violence, dating violence, sexual assault, or stalking and are living in transitional housing are eligible for permanent supportive housing even if they did not live on the streets, emergency shelters, or safe havens prior to entry in the transitional housing. As participants leave currently operating projects, participants who meet this eligibility standard must replace them.

iii. Persons exiting institutions where they reside for 90 days or less and came from the streets, emergency shelter, or safe havens immediately prior to entering the institution are also eligible for permanent supportive housing.

(b) Projects funded under the Permanent Housing Bonus must exclusively serve 100 percent chronically homeless individuals and families.

(c) Transitional housing and supportive services only projects may be eligible to use up to 10 percent of the total CoC funds to serve persons defined as homeless under other federal definitions. However, the CoC must be approved by HUD as outlined in Section V.A.2.1. of this NOFA.

(5) The project must be cost-effective, including costs of construction, operations, and supportive services with such costs not deviating substantially from the norm in that locale for the type of structure or kind of activity.

(6) Project applicants, except Collaborative Applicants that only receive awards for CoC planning costs, must agree to participate in a local HMIS system. However, project applicants that are victim service providers are prohibited from entering data into the local HMIS as required by the Violence Against Women Act of 2005 (H.R. 3402) and legal service providers may use a comparable database only when it is necessary to protect attorney-client privilege. These applicants must use a comparable database that meets the standards of the local HMIS.

e. Project Quality Threshold. HUD will review new project applications, including those requested as part of Reallocation, to determine if they meet the following project quality threshold requirements with clear and convincing evidence. Any project requesting renewal funding will be considered as having met these requirements through its previously approved grant application unless information to the contrary is received; however, these projects will still be required to meet the requirements outlined in this section of the NOFA. The housing and services proposed must be appropriate to the needs of the program participants and the community.

(1) To be considered as meeting project quality threshold, new permanent and transitional housing project applications must receive at least 5 points, and Supportive Service Only projects must receive at least 4 points, based on the criteria below. Permanent and transitional housing projects that do not receive at least 5 points, and Supportive Service Only projects that do not receive at least 4 points, will be rejected.

(a) Whether the type, scale, and location of the housing fit the needs of the program participants (1 point);

(b) Whether the type, scale, and location of the supportive services fit the needs of the program participants and the mode of transportation to those services (1 point);

(c) Whether the specific plan for ensuring clients will be individually assisted to obtain the benefits of the mainstream health, social, and employment programs for which they are eligible to apply meets the needs of the program participants (1 point);

(d) Whether program participants are helped to obtain and remain in permanent housing in a manner that fits their needs (1 point);

(e) Whether program participants are assisted to both increase their incomes and live independently using mainstream housing and service programs in a manner that fits their needs (1 point);

(f) Whether at least 75 percent of the proposed program participants will come from the street or other locations not meant for human habitation, emergency shelters, safe havens, or transitional housing (if originally from the streets, emergency shelters, or safe havens) (1 point);

(g) Whether amenities (e.g., grocery stores, pharmacies, etc.) are accessible in the community (1 point); and

(h) Project applicants must administer their programs or activities in the most integrated setting appropriate to the needs of qualified persons with disabilities. This means that programs or activities must be offered in a setting that enables individuals with disabilities to interact with persons without disabilities to the fullest extent possible (1 point).

(2) To be considered as meeting project quality threshold, new HMIS projects must receive at least 5 points based on the criteria below. Projects that do not receive at least 5 points will be rejected.

(a) How the HMIS project will effectively integrate into the CoC-wide HMIS (1 point);

(b) The HMIS project implementation plan is described (1 point);

(c) Whether at least 50 percent of the beds on the Housing Inventory Count (HIC) are included in the CoC-wide HMIS (1 point);

(d) Whether the HMIS collects all Universal Data Elements as set forth in the HMIS Data Standards Notice (1 point);

(e) Whether the HMIS unduplicates client records (1 point); and

(f) Whether the HMIS produces all HUD-required reports and provides data as needed for HUD reporting (e.g., Annual Performance Reports, quarterly reports, data for CAPER/ESG reporting, etc.) (1 point).

(3) To be considered as meeting project quality threshold, the Collaborative Applicant's application for CoC planning funds must receive at least 3 points based on the criteria below. Applications that do not receive at least 3 points will be rejected.

(a) That the proposed planning activities that will be carried out by the CoC with grant funds are compliant with the provisions of 24 CFR 578.7 (2 points); and

(b) How the funds requested will improve the CoC's ability to evaluate the outcome of both CoC-funded and ESG-funded projects (2 points).

(4) Additionally, HUD will assess all new projects for the following minimum project eligibility, capacity, timeliness, and performance standards. To be considered as meeting project quality threshold, all new projects must meet all of the following criteria:

(a) Project applicants and potential subrecipients must have satisfactory capacity, drawdowns, and performance for existing grant(s), as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings;

(b) For expansion projects, project applicants must clearly articulate the part of the

project that is the expansion. Additionally, the project applicants must clearly demonstrate that they are not replacing other funding sources; and

(c) Project applicants must demonstrate they will be able to meet all timeliness standards. (24 CFR 578.85) Project applicants with existing projects must be able to demonstrate they have met all project renewal threshold requirements of this NOFA. HUD reserves the right to deny the funding request for a new project, if the request is made by an existing recipient that is found to have significant issues related to capacity, performance, or unresolved audit/monitoring finding related to one or more existing grants. Additionally, HUD reserves the right to withdraw funds if no APR is submitted on the prior grant.

f. Project Renewal Threshold. A CoC must consider the need to continue funding for projects expiring in CY2013. It is important that renewal projects meet minimum project eligibility, capacity, timeliness, and performance standards identified in this NOFA or they will be rejected from consideration for funding. When considering renewal projects for award, HUD will review information in the Line of Credit Control System (LOCCS); Annual Performance Reports (APRs); and information provided from the local HUD/CPD Field Office, including monitoring reports and A-133 audit reports as applicable, as well as performance standards on prior grants, and assess a project on the following criteria using a pass/fail basis:

- (1) The project applicant's performance against plans and goals established in the initial application as amended;
- (2) Project applicants must demonstrate all timeliness standards for grants being renewed, including that standards for the expenditure of grant funds have been met;
- (3) The project applicant's performance in assisting program participants to achieve and maintain independent living and record of success, except HMIS-dedicated projects are not required to meet this standard; and
- (4) Evidence that a project applicant has been unwilling to accept technical assistance, has a history of inadequate financial accounting practices, has indications of project mismanagement, has a drastic reduction in the population served, has made program changes without prior HUD approval, or has lost a project site may result in a rejection of the application from the competition. HUD reserves the right to reduce or reject a funding request from the project applicant for the following reasons:
 - (a) Outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
 - (b) Audit finding(s) for which a response is overdue or unsatisfactory;
 - (c) History of inadequate financial management accounting practices;
 - (d) Evidence of untimely expenditures on prior award;
 - (e) History of other major capacity issues that have significantly impacted the operation

of the project and its performance;

(f) Timeliness in reimbursing subrecipients for eligible costs. HUD will consider a project applicant as meeting this standard if it has drawn down grant funds at least once per month; or

(g) History of serving ineligible persons, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.

g. Resolution of Outstanding Civil Rights Matters Threshold. In order for a project application to be eligible for rating and ranking by HUD, the project applicant and the proposed subrecipient must meet the civil rights threshold requirements in Section III.C.2.d. of the FY2012 General Section.

h. Certification of Consistency with the Consolidated Plan. For each applicant that is not a state or unit of local government, the applicant must submit a certification by the jurisdiction in which the proposed project will be located that the applicant's application for funding is consistent with the jurisdiction's HUD-approved consolidated plan. The certification must be made in accordance with the provisions of the consolidated plan regulations at 24 CFR part 91, subpart F. Form HUD-2991 must be used and must list all new and renewal projects within the jurisdiction that are consistent with the Consolidated Plan.

For a project applicant that is a state or unit of local government, the jurisdiction must certify that it is following its HUD-approved Consolidated Plan. All completed forms, along with the Priority Listings, must be included with the CoC's application in *e-snaps*. New or renewal projects requesting funds in FY2012 that are not included on the form HUD-2991 will not receive a conditional award.

3. Other HUD Requirements. The list below highlights requirements contained in the General Section (and in other regulations) that are especially important for CoCs and project applicants to review in detail. This is not an exhaustive list of all HUD requirements. All of the requirements of the General Section apply to the CoC Program, except as otherwise specified in this NOFA.

a. Fair Housing and Equal Opportunity. See 24 CFR 578.93 for specific requirements related to Fair Housing and Equal Opportunity.

b. Equal Access to Housing in HUD Programs Regardless of Sexual Orientation or Gender Identity. See the Federal Register dated February 1, 2012, Docket No. FR 5359-F-02

c. Resolution of Outstanding Civil Rights Matters. See Section III.C.2.d. of the General Section.

d. Debarment and Suspension. See Section III.C.2.e. of the General Section. Additionally, it is the responsibility of the recipient to ensure that all subrecipients are not debarred or suspended. (24 CFR 578.23((3)(c)(4)(v))

e. Delinquent Federal Debts. See Section III.C.2.g. of the General Section.

- f. Executive Order 13166, “Improving Access to Services for Persons with Limited English Proficiency (LEP). See Section III.C.5.c. of the General Section.*
- g. Economic Opportunities for Low- and Very Low-income Persons (Section 3). See Section III.C.5.d. of the General Section.*
- h. Real Property Acquisition and Relocation. See Section III.C.5.h. of the General Section.*
- i. Conducting Business in Accordance with Core Values and Ethical Standards/Code of Conduct. See Section III.C.5.i. of the General Section.*
- j. Prohibition Against Lobbying Activities. See Section III.C.5.j. of the General Section.*
- k. Participation in HUD-Sponsored Program Evaluation. See Section III.C.5.l. of the General Section.*
- l. Environmental Requirements. See Section III.C.5.o of the General Section.*
- m. Drug-Free Workplace. See Section III.C.5.p. of the General Section.*
- n. Safeguarding Resident/Client Files. See Section III.C.5.r. of the General Section.*
- o. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 209-282) (Transparency Act), as amended. See Section III.C.5.t. of the General Section.*
- p. Compliance with Fair Housing and Civil Rights. See Section III.C.5. a. and III.C.5.b. of the General Section.*
- q. Lead-Based Paint Requirements. For housing constructed before 1978 (with certain statutory and regulatory exceptions), you must comply with the requirements of the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.), as amended by the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851 et seq.); and implementing regulations of HUD, at 24 CFR part 35; the Environmental Protection Agency (EPA) at 40 CFR part 745, or State/Tribal lead rules implemented under EPA authorization; and the Occupational Safety and Health Administration at 29 CFR 1926.62 and 29 CFR 1910.1025.*

IV. Application and Submission Information

A. Application Package. The submission summary in *e-snaps* provides the list of elements required to complete each part of the application. A Collaborative Applicant will not be able to submit an application to HUD until all required parts are completed. Once available, the CoC Consolidated Application, including the CoC Application, Project Application, and Priority Listings may be accessed at www.hud.gov/esnaps.

An applicant may obtain a copy of the General Section of HUD’s FY2012 NOFA and this NOFA on line at www.hud.gov/offices/adm/grants/fundsavail.cfm and at <http://www.hudhre.info/index.cfm?do=viewEsnapsIssuances>. Note that all sections of the General Section of HUD’s FY2012 NOFA are critical and must be carefully reviewed to ensure

an application can be considered for funding, with the exception of reference to the www.grants.gov application process. The Continuum of Care Program uses an electronic system outside of www.grants.gov called *e-snaps*. Notification of the availability of the FY2012 application will be released via HUD's Homelessness Assistance listserv and on HUD's Homeless Resource Exchange (HRE) at <http://www.hudhre.info/index.cfm?do=viewEsnapsIssuances>. To join HUD's Homeless Assistance listserv, go to www.hudhre.info and click on "Listservs" on the main menu toolbar.

B. Content and Form of Application Submission. The CoC Consolidated Application for funds under this NOFA includes a CoC Application, which describes the CoC's plan for ending homelessness, its system-level performance, and addresses the selection criteria specified in Section V of this NOFA; Project Applications that describe the specific project(s) that the CoC listed on its Priority Listings; and the Priority Listings which contain all project applications submitted to the CoC for funding consideration that are either ranked or rejected.

1. CoC Consolidated Application. An entire CoC Consolidated Application must be received from the Collaborative Applicant on behalf of the CoC. The application will include the following parts, all of which will be submitted electronically either through *e-snaps* or as an uploaded attachment (for more information see the Training on *e-snaps* at www.hudhre.info/training) to be considered for funding:

a. The CoC Application, including

- (1) The CoC plan with all charts and narratives completed as applicable;
- (2) All required attachments, including HUD-2991, Certification of Consistency with the Consolidated Plan

b. Project Application(s), including, for each project application:

- (1) Project application charts, narratives, and attachments;
- (2) SF-424 Application for Federal Assistance;
- (3) The SF-424 Supplement, Survey on Ensuring Equal Opportunities for Application is for private nonprofit applicants only and completion/submission of this survey is voluntary;
- (4) Documentation of Applicant and Subrecipient Eligibility. All project applicants must attach documentation of eligibility. Subrecipient eligibility must also be attached to the project application.
- (5) Applicant Certifications;
- (6) HUD-2880, Applicant/Recipient Disclosure/Update Report must be attached for each project;
- (7) SF-LLL, Disclosure of Lobbying of Activities (if applicable);

(8) Applicant Code of Conduct. The Code must be attached in *e-snaps* or on file with HUD at www.hud.gov/offices/adm/grants/codeofconduct/conduct.cfm; and

(9) HUD-50070, Certification for a Drug-Free Workplace.

c. The Priority Listings, including the ranking of all project applications approved by the CoC to be submitted with the consolidated application.

2. Solo applicants – eligible project applicants that attempted to participate in the CoC planning process in the geographic area in which they operate, that believe they were denied the right to participate in a reasonable manner - may submit an application to HUD and may be awarded a grant by HUD by following the procedure found in 24 CFR 578.35. Solo applicants must submit their project application to HUD by 7:59:59 p.m. eastern time, **January 18, 2013**.

3. The General Section of HUD's FY2012 NOFA contains certifications that the applicant will comply with fair housing and civil rights requirements, program regulations, and other Federal requirements, and (where applicable) that the proposed activities are consistent with the HUD-approved Consolidated Plan of the applicable state or unit of general local government.

C. Submission Dates and Times

1. Application Deadline Date

a. Completed applications must be submitted to HUD on or before 7:59:59 p.m. eastern time on **January 18, 2013**.

b. 24 CFR 578.9 requires CoCs to design, operate and follow a collaborative process for the development of an application in response to a NOFA issued by HUD. As part of this collaborative process, CoCs should implement internal competition deadlines to ensure transparency and fairness at the local level. The implementation of deadlines that meet the standards outlined below will be considered as part of scoring criteria as detailed in Section V.A.3.c.

(1) *Project Applicants*. All project applications were required to be submitted to the CoC no later than 30 days before the application deadline.

(2) *CoC Notification to Project Applicants*. The CoC notified all project applicants no later than 15 days before the application deadline regarding whether their project applications would be submitted as part of the CoC Consolidated Application. Any project applicants that were rejected by the CoC must be notified in writing, outside of *e-snaps*, with an explanation for the decision to reject the project application. CoCs that fail to provide such notice will not receive the maximum number of points available in Section V.A.3.c.

c. All applicants may access the [HUD HRE Virtual Help Desk](#) any time prior to 7:59:59 p.m. Eastern Time, on the application deadline date. Applicants that are experiencing technical difficulty should contact the HUD HRE Virtual Help Desk immediately for assistance and document their attempts to obtain assistance. HUD strongly encourages CoCs to allow ample time to resolve any technical difficulties that may be encountered during the submission of the

application to HUD and not wait until the final minutes before the application deadline to submit the application.

d. In order for the CoC Consolidated Application to be considered complete and properly submitted for review by HUD in FY2012, the Collaborative Applicant must be sure to submit the CoC Consolidated Application that includes: the CoC Application, the CoC Priority Listings, and the project applications on behalf of the CoC. In FY2011, HUD found that *e-snaps* was often slow to update, especially when communities were uploading their Priority Lists; therefore, new in FY2012, the CoC Priority Listings are a separate submission in *e-snaps* in order to ensure the system's performance is quicker. Note that the "Submit" button will not be available on the Submission Summary of the CoC Application until all required sections of the application have been completed. Collaborative Applicants should review the Submission Summary form carefully to ensure that no sections state "Please Complete." **The CoC Priority Listings are a separate submission; therefore, CoCs must ensure that the CoC Application and the CoC Priority Listings, along with the applicable Project Applications, are submitted in e-snaps prior to the application deadline.**

e. Collaborative Applicants must print a copy of the Submission Summary form from both the CoC Application and the CoC Priority Listings before closing their internet browser after the CoC Application and CoC Priority Listings have been submitted to HUD. This is the Collaborative Applicant's receipt of submission and proof of compliance with the application deadline. Collaborative Applicants whose applications are determined to be late and that are unable to provide HUD with a record of submission that verifies the CoC Application and CoC Priority Listings were submitted prior to the application deadline date and time will not receive funding consideration.

f. HUD strongly suggests that applicants utilize the "Export to PDF" functionality of *e-snaps* to print a hard copy of all submission documents for their records. This can be completed prior to or after submission.

D. Intergovernmental Review. Not Applicable.

E. Funding Restrictions. Not Applicable.

F. Other Submission Requirements

1. Waiver of Electronic Submission Requirements. The regulatory framework of HUD's electronic submission requirement is the final rule established in 24 CFR 5.1005. CoCs seeking a waiver of the electronic submission requirement must request a waiver in accordance with 24 CFR 5.1005. HUD regulations allow for a waiver of the electronic submission requirement for good cause. For the FY2012 Continuum of Care Program competition, HUD is defining good cause as follows: (a) there are no computers that could be used by applicants and/or the Collaborative Applicant that are newer than 5 years old anywhere within the CoC's geographic area, or (b) there are no computers that could be used by applicants and/or the Collaborative Applicant anywhere within the CoC's geographic area, or (c) there is no internet access that could be used by applicants and/or the Collaborative Applicant anywhere within the CoC's geographic area. HUD will grant waivers only at the CoC level and not at the individual project

applicant level, and only to CoCs that were approved by HUD during the required CoC Registration process. If the waiver is granted, the Office of Special Needs Assistance Programs' response will include instructions on how many copies of the paper application must be submitted, as well as where to submit them. CoCs that are granted a waiver of the electronic submission requirement will not be afforded additional time to submit their applications. Therefore, Collaborative Applicants seeking a waiver of the electronic submission requirement on behalf of the CoC should submit their waiver request with sufficient time to allow HUD to process and respond to the request. Collaborative Applicants should also allow themselves sufficient time to submit the application on behalf of the CoC so that HUD receives the application by the established deadline date. For this reason, HUD strongly recommends that if a Collaborative Applicant finds it cannot submit its application electronically and must seek a waiver of the electronic grant submission requirement, it should submit the waiver request to the Office of Special Needs Assistance Programs no later than 30 days after the publication date of this NOFA. To expedite the receipt and review of each request, Collaborative Applicants may fax their written requests to Ann Marie Oliva, Director at (202) 401-0805. If HUD does not have sufficient time to process the waiver request, a waiver will not be granted. Paper applications received without a prior approved waiver and/or after the established deadline will not be considered.

V. Application Review Information

A. Criteria. CoC Consolidated Applications will be assessed based on a **130** point scale. CoCs must receive a minimum score of 97 or above in order to have new projects funded, except for new projects awarded under the reallocation process. Funding for a new project awarded under the reallocation process is not impacted by the CoC's score. Rather, funding of these projects is determined by the ability of the projects to satisfy the eligibility and project quality threshold requirements for new projects found in Section III.E.2. New projects awarded under the reallocation process that satisfy the eligibility and project quality threshold requirements for new projects will be funded in rank order according to the conditional selection process in Section IV.B.1.b., up to the amount of funding available.

1. CoC Performance: HUD will award a total of 34 points based upon the CoC's progress in reducing homelessness in its geographic area as well as decreasing the number of recurrences of homelessness, including chronic homelessness. As found in section 427(b)(1)(A) of the Act, CoCs will be evaluated on previous performance regarding homelessness, including performance for projects funded through the Emergency Solutions Grants program in the geographic area. HUD will award a minimum score of half of the full points available in this section to CoCs with projects that have been impacted by a major disaster, as declared under Title IV of the Robert T. Stafford Act. Those CoCs in impacted areas must notify HUD in writing prior to the close of the CoC Program Competition. Send written notification to Ann Marie Oliva at HUD Headquarters, 451 Seventh Street, SW, Room 7256, Washington, DC, 20410.

a. HUD will award up to 3 points to CoCs that provide information to HUD on the length of time individuals and families remain homeless, and the extent to which individuals and families leaving homelessness experience additional spells of homelessness. HUD does not anticipate that CoCs will be able to provide reliable data and information regarding these measures as HUD has not provided guidance to CoCs as to how to collect and report this data. To receive full

points, a CoC must provide a narrative that describes specific efforts currently in place by the CoC to track length of time individuals and families remain homeless as well as how additional spells of homelessness are tracked within the CoC geography. The narrative must indicate how data from CoC and ESG funded projects are considered as well as how non-HUD funded projects are included in the tracking process.

b. HUD will award up to 3 points to CoCs that demonstrate a thorough plan for reaching homeless individuals and families. To receive full points, the CoC must provide information that demonstrates that 100 percent of the geographic area is considered, and that describes the specific outreach procedures in place that are used by the homeless service agencies to identify and engage homeless individuals and families, including their efforts to provide meaningful outreach to persons with disabilities and persons with limited English proficiency. Applicants must describe the procedures they will use to market their housing and supportive services to eligible persons regardless of race, color, national origin, religion, sex, age, familial status, or disability, who are least likely to apply in the absence of special outreach. See 24 CFR 578.93(c)(1).

c. HUD will award up to 4 points to CoCs that demonstrate an overall reduction in the number of homeless individuals and families who have become homeless since the number reported in the FY2011 Continuum of Care Homeless Assistance Competition. To receive full credit, CoCs must provide the number of homeless individuals and families from the previous Point-in-Time count and compare it to the number of homeless individuals and families from the most recent Point-in-Time count (e.g., FY2011 Point-in-Time compared to the FY2012 Point-in-Time count) as reported in the Homelessness Data Exchange (HDX). CoCs must show a decrease from the previous year to the current year to receive full points.

d. HUD will award up to 4 points to CoCs that clearly demonstrate the overall percentage of program participants obtaining employment income. To receive full points, the overall percentage of program participants obtaining employment income must meet HUD's national goal of at least 20 percent or higher and the program participants with other income should be at least 20 percent as reported in a 12 month period for all project APRs or the CoC must show an increase from the previous year to the current year. Section 427(b)(1)(A)(v) requires HUD to review the employment and income growth for homeless individuals and families within the CoC's geographic area; however, while communities may have data that could be used to make this determination, there is a lack of consistency amongst the communities as to the type of data collected. Therefore, HUD will score on the program participants obtaining employment income by program exit in FY 2012 instead of on employment and income growth.

e. HUD will award up to 2 points to CoCs that thoroughly describe the CoC's strategy to reduce the number of individuals and families who become homeless. To receive full points, CoCs must provide a brief narrative that specifically describes the current homelessness prevention efforts in place within the CoC's entire geographic area, and how the jurisdictional Consolidated Plan(s) addresses issues and programs that are designed to reduce the number of individuals and families who become homeless. This narrative should include a discussion of any barriers to fair housing choice identified in the jurisdictions' Analyses of Impediments that related to homeless populations. As part of the narrative, CoCs must describe how they coordinated with the Homelessness Prevention and Rapid Re-Housing Program (HPRP) and Emergency Solutions

Grants projects within their geography. Additionally, CoCs must include the housing status results as reported in the final HPRP APR, including the number of households at risk of homelessness at program entry compared to the number of households permanently housed at program exit. CoCs that did not have HPRP within the geographic area receive half credit.

f. HUD will award up to 4 points to CoCs that clearly demonstrate success in moving participants from CoC-funded transitional housing to permanent housing as reported in the most recent Annual Performance Report (APR) for all CoC-funded transitional housing projects within the CoC. HUD's national goal is at least 65 percent or higher. In order to receive full points, the overall percentage of program participants moving from transitional housing to permanent housing must be at least 65 percent or higher as evidenced in a 12 month period for all APRs from CoC-funded transitional housing projects or must show an increase from the previous year to the current year.

g. HUD will award up to 4 points to CoCs that clearly demonstrate project participants' success in remaining in permanent supportive housing for at least 6 months or longer as reported in 12 month period for all APRs from all CoC-funded permanent supportive housing projects within the CoC. HUD's national goal is at least 77 percent of participants remain in CoC-funded permanent housing 6 months or longer. In order to receive full points, the overall percentage of project participants remaining in CoC-funded permanent supportive housing must be at least 6 months or longer as reported in 12 months for all APRs from CoC-funded permanent supportive housing projects or must show an increase from the previous year to the current year.

h. HUD will award up to 4 points to CoCs that clearly demonstrate CoC-funded projects have a systematic approach to assisting homeless persons identify and apply for mainstream benefits with other federal agency programs such as TANF, Medicaid, Food Stamps, SCHIP, WIC, etc. The CoC must indicate the percentage of homeless assistance providers that implement the following activities: assist participants in applying for mainstream benefits, supply transportation to appointments for mainstream benefits, use of a single application for multiple types of benefits, and follow-up procedures to ensure mainstream benefits are received.

i. HUD will award up to 6 points to CoCs that demonstrate an increase in the number of permanent supportive housing beds designated for use by chronically homeless individuals and families and have made progress toward eliminating chronic homelessness. In order to receive full points, the CoC must provide data on the number of chronically homeless persons that show a decrease from the most recent Point-in-Time count (sheltered and unsheltered) and show an increase in the total number of permanent supportive housing beds dedicated for chronically homeless individuals and families as reported on the HIC in HDX.

j. On January 17, 2012, HUD published the [*Notice on Limitation on Use of Funds to Serve Persons Defined as Homeless Under Other Federal Laws*](#), which provided guidance to CoCs and recipients of FY 2011 SHP grant funds about obtaining approval to serve those persons defined as homeless under paragraph (3) of the definition of homeless in 24 CFR 583.5. For those CoCs that received HUD approval to use up to 10 percent of the FY 2011 funding to serve these homeless persons, HUD will award up to 6 points in lieu of points otherwise awarded under Section V.A.1.i. To receive the maximum number of points, the CoC must demonstrate that it achieved one of the following goals:

(1) Preventing homelessness among the families with children and youth who are at the highest risk of becoming homeless. CoCs will receive maximum points if they can clearly demonstrate how the approved funds were successful in preventing homelessness; or

(2) Achieving independent living in permanent housing among families with children and youth, especially those who have a history of doubled-up and other temporary housing situations or are living in a temporary housing situation due to lack of available and appropriate emergency shelter, through the provision of eligible assistance that directly contributes to achieving such results including assistance to address chronic disabilities, chronic physical health, or mental health conditions, substance addiction, histories of domestic violence or childhood abuse, or multiple barriers to employment. CoCs will receive maximum points if they can clearly demonstrate that 100 percent of program participants obtained permanent housing and any necessary mainstream resources that will ensure independent living.

CoCs that were approved to serve families with children and youth defined as homeless under other federal statutes will receive points for this section and are not eligible to receive points in section V.A.1.i.

2. CoC Strategic Planning. HUD will award a total of 55 points based upon the CoC's strategic planning process within its geographic area. As found in section 427(b)(1)(B) of the Act, CoCs will be evaluated on the level of strategic planning carried out within the geographic area. Specific criteria are described in this section.

a. HUD will award up to 2 points to CoCs that specifically describe how the number of individuals and families who become homeless will be reduced in the community. In order for CoCs to receive full points for this objective CoCs must specifically describe both the short-term and long-term plans for reducing homelessness in the geographic area, provide the base number from the last official Point-in-Time count as reported in the HDX, of homeless individuals and families, and the estimated decreases for the next 12 months, 5 years, and 10 years. HUD will compare the decrease information provided from one competition to the next to determine if CoCs are reaching the goals established in the application.

b. HUD will award up to 2 points to CoCs that specifically describe how the length of time that individuals and families remain homeless will be reduced. In order to receive maximum points, CoCs must specifically describe the planning process either in place, or proposed. The CoC must demonstrate that the plan was developed in coordination with ESG recipients within the CoC to reduce homelessness among individuals and families.

c. HUD will award up to 3 points to CoCs that specifically describe how the CoC collaborates with local education authorities to assist in the identification of individuals and families who become or remain homeless and are informed of their eligibility for services under subtitle B of title VII of the Act (42 U.S.C. 11431 et. seq.). HUD will award maximum points to CoCs that demonstrate that the CoC actively collaborates with local school districts to identify homeless individuals and families to ensure individuals and families understand their eligibility for educational services. CoCs must also demonstrate that ESG recipients are involved in this effort.

d. HUD will award up to a total of 6 points as specified for each section below to CoCs that specifically describe the extent to which the CoC will conduct the following activities:

(1) Address the needs of all relevant subpopulations. HUD will award up to 2 points to CoCs that clearly demonstrate the comprehensive strategies in place for the geographic area to address the needs of homeless subpopulations that include, but are not limited to homeless veterans, youth, victims of domestic violence, etc.

(2) Incorporate comprehensive strategies for reducing homelessness, including the interventions referred to in section 428(d) of the Act. HUD will award up to 2 points to CoCs that clearly demonstrate that they are carrying out activities within the CoC that have been proven to be effective at reducing homelessness in general, reducing homelessness for a specific subpopulation, and achieving homeless prevention and independent living goals.

(3) Set quantifiable performance measures and set timelines for completion of specific tasks as well as identify specific funding sources for the planned activities in reaching these goals. HUD will award 2 points to CoCs that provide activities and measurements that address the goals outlined below. CoCs must clearly identify an individual or entity responsible for overseeing implementation of specific strategies.

e. To increase the number of permanent housing beds specifically for chronic homeless individuals and families in the jurisdiction that will, in turn, decrease the number of chronically homeless individuals and families. HUD will award up to 6 points for this objective to CoCs that clearly demonstrate an increase in permanent housing beds for chronic homeless individuals and families. Project proposals that increase the number of permanent supportive housing beds for chronic homeless individuals and families through rental assistance, leasing, construction, acquisition or rehabilitation activities within scattered-site apartments and in individual units within buildings or larger sites in order to avoid segregation of persons with disabilities will receive maximum points.

f. To increase the percentage of participants in transitional housing who successfully move to permanent housing upon exit from the transitional housing program. The HUD goal for this objective is at least 65 percent or higher. HUD will award up to 4 points to CoCs that clearly demonstrate that this goal has been met or can demonstrate an annual increase in reaching this goal along with narratives that specifically describe how this goal will be met and/or exceeded. CoCs that have met this goal must specifically describe how this goal will be maintained.

g. To increase the percentage of homeless individuals and families to 80 percent who remain in permanent housing for at least 6 months or longer. HUD will award up to 4 points to CoCs that clearly demonstrate that this goal has been met or can demonstrate an annual increase in reaching this goal along with narratives that specifically describe how this goal will be met and/or exceeded. CoCs that have met this goal must specifically describe how this goal will be maintained.

h. To increase the percentage of participants in projects who exited the program with employment to 20 percent. HUD will award up to 4 points to CoCs that clearly demonstrate that

this goal has been met or can demonstrate an annual increase in reaching this goal along with narratives that specifically describe how this goal will be met and/or exceeded. CoCs that have met this goal must specifically describe how this goal will be maintained.

i. To increase the percentage of participants in all projects that obtained mainstream benefits at program exit to 20 percent or more. HUD will award up to 4 points to CoCs that clearly demonstrate that this goal has been met or can demonstrate an annual increase in reaching this goal along with narratives that specifically describe how this goal will be met and/or exceeded. CoCs that have met this goal must specifically describe how this goal will be maintained.

j. HUD strongly encourages CoCs to prioritize funding for those projects within the geographic area that are effective and high-performing. To this end, HUD will award up to 4 points to CoCs that clearly demonstrate a process for identifying, and a plan for improving the performance of, low-performing projects (e.g., projects with consistent audit/monitoring findings and/or projects that consistently do not meet local performance standards when compared to other projects that offer the same types of housing and services to the same subpopulation). To receive maximum points, CoCs must clearly describe the process used to identify consistently low-performing projects, and specifically indicate the protocols in place to improve the performance of these low-performing projects (e.g., corrective actions, technical assistance). In instances where the CoC has reallocated funds from a low-performing project to create new permanent housing projects for homeless families and individuals, the CoC must also specifically describe how program participants in projects that are reallocated will continue to receive housing and services. Additionally, CoCs must describe the process used to help high-performing projects maintain their high-performing status if performance issues develop. For those CoCs that do not have consistently low-performing projects to reallocate, HUD will award full points for this section to CoCs that provide detailed information demonstrating that the current funded projects are high performing (e.g., demonstration of the high rate of positive outcomes, that there are no open monitoring findings or slow-expending projects), as well as any actions planned to help projects maintain their high-performing status if performance issues develop.

k. HUD will award up to a total of 12 points to CoCs that clearly demonstrate how they coordinate with and/or assist in State or local discharge planning efforts to ensure that discharged persons are not released directly to the streets, emergency homeless shelters, or other McKinney-Vento Homeless assistance programs. CoCs must clearly indicate if there is a discharge policy in place mandated by the State or adopted by the CoC, specifically describe the efforts taken by the CoC to ensure persons are not routinely discharged into homelessness and specifically identify the person or entity that is responsible for ensuring there is a comprehensive discharge policy in place and followed for the following:

- (1) HUD will award up to 3 points out of 12 points for foster care;
- (2) HUD will award up to 3 points out of 12 points for health care;
- (3) HUD will award up to 3 points out of 12 points for mental health; and
- (4) HUD will award up to 3 points out of 12 points for corrections.

l. CoCs may propose to use up to 10 percent of the CoC total funding award to serve unaccompanied youth and families with children who are defined as homeless under other federal

statutes and who do not otherwise qualify as homeless in the FY2012 CoC Program Competition (paragraph (3) of the definition of homeless in 24 CFR 578.3). HUD will only approve such requests where the CoC is able to demonstrate that the use of grant funds to serve such persons is of equal or greater priority than serving persons defined as homeless in accordance with 24 CFR 578.89. No more than 10 percent of the funds awarded to recipients within a single CoC geographic area may be used to serve such persons.

CoCs that complete this section of the CoC Application will be considered to be making a formal request to HUD to serve persons defined as homeless under paragraph (3) of the homeless definition in 24 CFR 578.3. The Collaborative Applicant must clearly describe how the CoC will achieve the goals and outcomes identified in section 427(b)(1)(F) of the Act.

HUD will award up to 6 points to CoCs based on the extent to which the information they provide demonstrates that serving this population is of equal or greater priority than serving persons defined as homeless under paragraphs (1), (2), and (4) of the definition of homeless in 24 CFR 578.3, and that there are no unsheltered homeless within the CoC's geographic area. HUD will use data submitted via the most recent Point-in-Time count to make this determination.

CoCs that are approved will receive points for this section and are not eligible to receive points in section V.A.2.e.; therefore, the 6 points in this section are in lieu of the 6 points in section V.A.2.e.

CoCs making this request must also identify the specific projects that will be using some or all of its funding for this purpose (up to 10 percent of CoCs total funds) by submitting an attachment in *e-snaps* that states the following:

- (1) Project name(s); and
- (2) Amount of funding in project or per project that will be used for this purpose

Where HUD does not approve a CoC's request, no points will be given under this section and any awards of the identified projects will be conditioned upon the project applicant signing an agreement not to serve this population. See 24 CFR 578.65 for more information about this limitation.

m. HUD will award up to 2 points for CoCs that clearly demonstrate coordination with other Federal, State, local, private, and other entities serving individuals and families experiencing homelessness and at risk of homelessness in the planning and operation of projects. The CoC must clearly and specifically describe how it is coordinating with local Consolidated Plan jurisdiction(s) to address homelessness as well as how it is coordinating with and participating in HUD-VASH, HOPWA, Neighborhood Stabilization Programs, Community Development Block Grants, and ESG.

n. CoCs should either currently have, or are planning for establishment and operation of, a centralized or coordinated assessment system covering the CoC's geographic area. HUD will award up to 2 points for this objective to CoCs that can clearly and specifically describe:

- (1) For CoCs with an existing centralized or coordinated assessment system in place, maximum points will be awarded to CoCs that clearly identify the organization

responsible for operating the system, the date it was implemented, how it is utilized within the CoC, how the system is funded, and how the success of the system is monitored. CoCs must specifically describe how the system is used to ensure that homeless persons are placed in the appropriate housing and service types based on their level of need; or

(2) For CoCs that do not currently have a centralized or coordinated assessment system in place, maximum points will be awarded to CoCs that fully and clearly describe the specific steps the CoC will take to establish the system, when implementation is expected to occur, the agency that will be responsible for implementing the system, the agency that will be responsible for operating the system, how the entire geographic area will be covered, how the system will be utilized within the CoC, proposed funding source(s), and how measurements for monitoring the success of the system will be developed. CoCs must specifically describe how the system will be used to ensure that homeless persons are placed in the appropriate housing types based on their level of need.

3. CoC Housing, Services, and Structure. HUD will award up to **14** points to CoCs based on the extent to which a CoC demonstrates the existence of a coordinated, inclusive, and outcome-oriented community process, including an organizational structure(s) and decision-making process for developing and implementing a CoC strategy that is inclusive of representatives from both the private and public sectors; has a fair and impartial project review and selections process; and has created, maintained and built upon a community-wide inventory of housing for homeless individuals and families. HUD will evaluate the CoC on how it completes the following:

a. Uses periodically collected data on the projects within the CoC in order to conduct analysis on the effectiveness of each project and to determine the extent to which each project has resulted in rapid return to permanent housing for those served by the project, taking into account the severity of barriers faced by the project participants. CoCs that provide a brief narrative that specifically describes the current or proposed efforts in place by the CoC to collect information and analyze the results will receive maximum points. HUD will award up to 2 points to CoCs that are able to provide a clear description of the current processes in place or how they propose to collect and analyze the information.

b. Considers the full range of opinions from individuals or entities with knowledge of homelessness in the geographic area or an interest in preventing or ending homelessness in the geographic area when establishing CoC-wide committees, subcommittees, and workgroups. HUD will award up to 3 points to CoCs that demonstrate the most active CoC-wide committees, subcommittees, and workgroups established within the CoC that are directly involved in addressing the prevention, as well as the goals for ending homelessness, in the CoC geographic area. CoCs should clearly and specifically describe the knowledge base of the persons or entities that comprise the group and how that knowledge will be used to achieve positive results in addressing homelessness in the area. This will include a list of all member organizations within the CoC from both the private and public sectors that includes the various subpopulations.

c. Reviews and ranks projects based on objective criteria that have been publicly announced by the CoC. HUD will award up to 3 points to CoCs that clearly demonstrate how project applications are solicited in an open manner, and that the project selection process is followed

(including submission and rejection timelines outlined in Section H.6 in the Overview Section of this NOFA). The CoC must indicate the methods and process the CoC used to assess project performance, effectiveness, and quality of all requested new and renewal project(s) and how this is communicated to the project applicants. In the event HUD is notified and confirms that a CoC did not notify project applicants who submitted their project applications to the CoC by the required deadline whether their project application(s) were accepted or rejected (in writing, outside of *e-snaps* and including the reasons for the rejection), no later than 15 days before the application deadline, the CoC will automatically receive 0 points for this selection criteria. If a project rejected by the CoC appeals the rejection, HUD will follow the process outlined in 24 CFR 578.35(b). This may result in HUD electing to fund the appealed project by de-funding or cutting another project within the CoC.

d. Is open to proposals from entities that have not previously received funds in prior Homeless Assistance Grants competitions. HUD will award up to 4 points to CoCs that clearly and specifically describe how the CoC works with homeless services providers that have expressed an interest in applying for HUD funds and what steps it takes to discuss and review proposals as well as provide valuable feedback and guidance.

e. HUD will award 2 points to CoCs that submitted the 2012 Housing Inventory Count (HIC) data in the HDX by the April 30, 2012 submission deadline.

4. Leveraging. HUD will award up to 6 points to CoCs that demonstrate the extent to which the amount of assistance to be provided to the CoC will be supplemented with resources from other public and private sources, including mainstream programs. CoCs that have 100 percent participation in leveraging from all project applications (including only those communities that have commitment letter(s) on file that are dated within 60 days of the CoC application deadline) and that have at a minimum 150 percent leveraging will receive the maximum points.

5. Homeless Management Information System. HUD will award up to 13 points to CoCs that clearly demonstrate a functioning HMIS that facilitates the collection of information on homeless individuals and families using residential and other homeless services and stores that data in an electronic format.

a. HUD will award up to 2 points to CoCs that have governance charter in place. To receive maximum points, the CoC must attach a current governance charter. CoCs that do not have a current governance charter in place will have the opportunity to explain the reason and what specific steps are being taken towards creating a written governance charter to receive partial points.

b. The CoC will be assessed on the bed coverage rate for each housing type within the CoC that includes: emergency shelter, safe haven, transitional housing, and permanent supportive housing. HUD will award up to 3 points to CoCs that record 86 percent or higher for the bed coverage rate. The bed coverage rate is the number of HMIS participating beds divided by the total number of year-round beds dedicated to homeless individuals and families in the geographic area covered by the CoC. Beds funded by victim service providers must not be included in this calculation.

c. The CoC must report the number of unduplicated client records with null or missing values for the Universal Data Elements on a single day, as selected by the CoC, within the last 10 days of January 2012. HUD will award up to 2 points where CoCs have below 10 percent null or missing values as well as below 10 percent of refused or unknown records as recorded in the HMIS will receive maximum points.

d. The CoC will be assessed on the existing HMIS policies and procedures used to ensure that valid program entry and exit dates are recorded in the HMIS. HUD will award up to 3 points to CoCs that clearly and specifically describe the existing policies and procedures in place to ensure these dates are accurately captured and audited on a regular basis. Additionally, the CoC must demonstrate that an annual review of the HMIS policies and procedures by the CoC and HMIS lead occurs.

e. HUD will award up to 3 points to CoCs that indicate they are able to generate APR data from the HMIS for these types of CoC-funded projects: HMIS, transitional housing, permanent supportive housing, supportive services only, outreach, and rapid re-housing. Additionally, the CoC will need to clearly and specifically describe how the CoC audits the data collection and evaluates the HMIS.

6. Point-in-Time. HUD will award up to 8 points to CoCs related to the collection, use and submission of the 2012 Point-in-Time count data. Maximum points will be awarded to CoCs that: (1) conducted both a sheltered Point-in-Time count and an unsheltered Point-in-Time count during the last 10 days of January 2012, (2) successfully submitted the information in HDX by the April 30, 2012 submission date, and (3) clearly demonstrates how the information obtained during the count was used for local planning purposes.

a. CoCs will receive up to 2 points for conducting a Point-in-Time count and reporting the data in HDX. Maximum points will be awarded to CoCs that: (1) conducted a sheltered and unsheltered Point-in-Time count during the last 10 days of January 2012, (2) Submitted the Point-in-Time data for 2012 in HDX by April 30, 2012, and (3) Provide the percentage of homeless service providers that supplied information on population and subpopulation data. CoCs that conducted a Point-in-Time count in the last 10 days of January, but did not successfully submit the data in HDX by April 30, 2012 can receive partial credit by providing a valid reason for missing the submission deadline (e.g., a natural disaster).

b. CoCs will receive up to 2 points for clearly and specifically indicating if there were increases, decreases, or no change to the sheltered and unsheltered Point-in-Time counts from the previous official counts as well as identifying the gaps and needs in the CoC. Only CoCs that conducted both a sheltered and unsheltered count in 2012 will receive maximum points.

c. HUD will award up to 2 points to a CoC for its methods for conducting the Point-in-Time counts, and its ability to evaluate the Point-in-Time data. To receive maximum points, CoCs must clearly and specifically describe methods used by the CoC to collect data on sheltered and unsheltered homeless populations and subpopulations during the most recent Point-in-Time count, which HUD determines is valid (e.g., in compliance with HUD-established guidance or developed in conjunction with a university research team).

d. CoCs will be assessed on the CoC's ability to provide data that is accurate and of high quality based on the Point-in-Time count. HUD will award up to 2 points for responses that clearly and specifically describe the methods used by the CoC to collect data and how those methods were used in order to produce accurate data. Additionally, the CoC must clearly and specifically describe how the CoC engaged in activities that reduced the occurrence of counting unsheltered persons more than once during the Point-in-Time count.

7. Bonus Points. HUD will award up to 4 bonus points as follows:

a. HUD will award up to 2 points to CoCs where 100 percent of the project applications request 7 percent or less in project administration costs.

b. HUD will award up to 2 bonus points to CoCs where 100 percent of new project applications, propose to serve chronically homeless individuals and families. To receive the maximum number of bonus points, these projects must also demonstrate how they will prioritize for assistance chronically homeless individuals and families that have the highest need, meaning the individual or family has either been homeless continuously for one year or has episodes that cumulatively total one year or more.

8. CoCs That Have Claimed the Same Geographic Area (Competing CoCs). (24 CFR 578.35(d)). Subject to the appeal process in 24 CFR 578.35(d), if one or more CoC claims the same geographic area, HUD will award funds to the Collaborative Applicant whose application has the highest overall score. Projects from the lower rated CoC will not be awarded funds. For a list of competing CoCs, see Appendix B of this NOFA.

B. CoC and Project Review and Selection Process

1. Review, Rating, and Ranking. Two types of reviews will be conducted – selection criteria rating for the overall CoC Application and threshold review for project applicants and project applications. For new projects, the review process will consider applicant and sponsor eligibility and capacity as well as project eligibility and project quality as part of the threshold review. The renewal project threshold review will consider applicant and sponsor capacity and eligibility. The renewal project threshold reviews are explained in Section III.E.2.f. of this NOFA, which covers eligible applicants and projects.

HUD may employ rating panels to review and rate all or part of CoC applications according to the rating criteria in Section V. See the General Section of HUD's FY2012 NOFA for more information on rating panels.

a. Threshold Review: Applicant and subrecipient eligibility, capacity, and quality. HUD will review project applications to determine whether applicants and subrecipients meet the eligibility and capacity thresholds, and whether the projects meet the eligibility and project quality thresholds detailed in Section III.E.2. If HUD determines these standards are not met, the project will be rejected from the competition. If a new project receives enough points to pass the project quality threshold review described in Sections III.E.2.e.(1),(2), or (3),as applicable, but does not meet one or more of the criteria within the rating factors, then HUD will condition the project and the applicant must demonstrate that the criteria will be met before HUD will sign a grant agreement with the applicant for the project. If an applicant is unable to demonstrate that the

project quality threshold criteria are met within the timeframe specified by HUD, then HUD reserves the right not to award funds to the applicant and add those funds to the funds available for the next competition.

b. Conditional Selection and Adjustments to Funding. Once projects are determined to have passed threshold review, HUD will use the following order of selection priorities to select eligible projects for funding in the FY2012 CoC Program Competition. Using the selection priorities, HUD will select projects from the CoC's Priority Listings until no additional funds are available. HUD will select lower ranked projects from a CoC's Priority Listings above higher ranked projects, consistent with these selection priorities.

Within the rank order established by the CoC on the Priority Listings, HUD will first select projects from Tier 1 in the following order by CoC score:

- (1) renewal projects.
- (2) new projects created through reallocation.
- (3) CoC Planning costs.
- (4) Permanent Housing Bonus projects located in designated "Extreme High Needs" communities.
- (5) new Permanent Housing Bonus projects.
- (6) new permanent housing projects.
- (7) new SSO projects for centralized or coordinated assessment systems created with available PPRN.
- (8) new HMIS projects.
- (9) new transitional housing projects.
- (10) new supportive services only projects.

Within the rank order established by the CoC on the Priority Listings, HUD will then select projects from Tier 2 with any remaining available funds in the following order by CoC score:

- (1) renewal projects.
- (2) new projects created through reallocation.
- (3) CoC Planning costs.
- (4) Permanent Housing Bonus projects located in designated "Extreme High Needs" communities.

- (5) new SSO projects for centralized or coordinated assessment systems created with available PPRN.
- (6) new permanent housing projects.
- (7) new HMIS projects.
- (8) new transitional housing projects.
- (9) new supportive services only projects.

HUD will select projects in order of the selection priorities, and within each selection priority by CoC score, and for each CoC in order of the Priority Listing, until there are no more available funds. For each selection priority, HUD will select all of the projects for a higher scoring CoC, in order of the CoC's Priority Listing, before selecting projects from the next highest scoring CoC, in order of that CoC's Priority Listing; HUD will move down through the CoCs by CoC score before continuing to the next selection priority, or until there are no more available funds.

2. Adjustments to Funding. HUD may adjust the selection of competitive projects as follows:

- a.** The FMRs used in calculating award amounts will be those in effect at the time the grants are awarded, which may be higher or lower than those in effect at the time of application.
- b.** HUD has determined that geographic diversity is an appropriate consideration in selecting homeless assistance projects in the competition. HUD believes that geographic diversity can be achieved best by awarding grants to as many CoCs as possible. To this end, in instances where any of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Northern Mariana Islands, the Virgin Islands, and American Samoa does not have at least one funded CoC, HUD reserves the right to fund eligible project(s) with the highest total score in the CoC.
- c.** *Funding Diversity.* HUD reserves the right to reduce the amount of a grant, if necessary, to ensure that no more than 10 percent of assistance made available under this NOFA will be awarded for projects located within any one unit of general local government or within the geographic area covered by any one CoC.
- d.** *Minimum score.* CoCs must receive a score of 97 or above in order to have new projects funded, except for new projects created through reallocation. Funding for a new project awarded under the reallocation process is not impacted by the CoC's score. Rather, funding of these projects is determined by the ability of the projects to satisfy the eligibility and project quality threshold requirements for new projects found in Section III.E.2. Projects that satisfy the eligibility and project quality threshold requirements for new projects will be funded in rank order according to the conditional selection process in Section IV.B.1.b, up to the amount of funding available.
- e.** If HUD exercises a right it has reserved under this NOFA, that right will be exercised uniformly across all applications received in response to this NOFA.

f. Tie-breaking Rules. HUD will break ties among CoCs with the same total score by comparing scores received by the CoCs for each of the following scoring factors, in the order shown: Overall CoC score; CoC Strategic Planning; CoC Performance; HMIS; CoC Housing; Services, and Structure; Point-in-Time; and Leveraging. The final tie-breaking factor is the priority number of the competing projects on the applicable CoC priority lists.

3. Corrections to Deficient Applications. HUD will exercise the authority for curing deficiencies as stated in the General Section of HUD's FY2012 NOFA, if needed, on a consistent and uniform basis for all CoCs and applicants. Additionally, HUD reserves the right to respond to unanticipated system defects, ambiguities, and technical difficulties in application submissions in *e-snaps* through a flexible implementation of its authority to cure application deficiencies through written inquiries seeking clarification and additional information (also known as callbacks). Upon proper publication in the Federal Register, HUD reserves the right to extend the competition deadline for good cause.

VI. Award Administration Information

A. Award Notices

1. Action on Conditionally Selected Applications. HUD will notify conditionally selected applicants in writing. HUD may subsequently request conditionally selected applicants to submit additional project information - which may include documentation to show the project is financially feasible; documentation of firm commitments for match; documentation showing site control; information necessary for HUD to perform an environmental review; a copy of the organization's Code of Conduct; and such other documentation as specified by HUD in writing - to the application, that confirms or clarifies information provided in the application. HUD will notify applicants of the deadline for submission of such information. If an applicant is unable to meet any conditions for fund award within the specified timeframe, HUD reserves the right not to award funds to the applicant and add them to funds available for the next competition.

2. Applicant Debriefing. See the General Section of HUD's FY2012 NOFA for applicant debriefing procedures.

3. Appeals Process. Applicants may appeal the results only as provided in 24 CFR 578.35.

B. Administrative and National Policy Requirements

1. Administrative and Other Program Requirements

a. Federal agencies are required to measure the performance of their programs. HUD captures this information not only from monitoring visits and APRs, but also on the data gathered in annual competitions. HUD's homeless assistance programs are being measured in FY2012 by the objective to "end chronic homelessness and to move homeless families and individuals to permanent housing." HUD has chosen six indicators which directly relate to the CoC Program. These six indicators, as described below, will be collected in the CoC Application:

- (1) The percentage of formerly homeless individuals who remain housed in HUD permanent housing projects for at least 6 months will be at least 77 percent.

(2) The percentage of homeless persons who have moved from HUD transitional housing into permanent housing will be at least 65 percent.

(3) The employment rate of persons exiting HUD homeless assistance projects will be at least 20 percent.

(4) The use of mainstream resources.

(5) The creation of new permanent supportive housing beds for chronically homeless individuals and families.

(6) The decrease the number of homeless individuals and families.

b. To achieve this objective and each of these measurable indicators, HUD needs your community's help. The emphasis in this year's competition on permanently housing homeless persons and helping clients access mainstream service programs and jobs, are all aligned with HUD's performance objective and its performance indicators.

c. *Procurement of Recovered Materials.* See the General Section of HUD's FY2012 NOFA for further information.

d. Reference the General Section of HUD's FY2012 NOFA for other administrative requirements.

2. Timeliness Standards. The FY2012 HUD Appropriations Act requires HUD to obligate FY2012 CoC Program funds by September 30, 2014. Obligated funds remain available for expenditure until September 30, 2019. HUD reserves the right, however, to require an earlier expenditure deadline under a grant agreement. The applicant is expected to initiate the approved projects promptly in accordance with the requirements of this section of this NOFA. Grant terms, and associated grant operations, cannot extend beyond the availability of funds. Applicants must plan accordingly and only submit applications that can start operations in a timely manner with enough time to complete within the awarded grant term. In addition, HUD will take action if the grantee fails to satisfy the following timeliness standards found in §578.85 of the CoC Program interim rule.

3. Reporting

a. In accordance with program regulations at 24 CFR 578.103, applicants must maintain records and within the timeframe required, make any reports, including those pertaining to race, ethnicity, gender, and disability status that HUD may require. CoC applicants may report this data as part of their APR submission to HUD. Also, grantees who expend \$500,000 or more in a year in Federal awards are reminded they must have a single or program-specific audit for that year in accordance with the provisions of OMB Circular No. A-133.

b. *Section 3 Reporting Regulations.* Pursuant to 24 CFR 135.3(a)(2), the Section 3 requirements apply to housing and community development assistance that is used for housing rehabilitation, housing construction and other public constructions. Grantees doing any of these activities must submit HUD-60002 to the Office of Fair Housing and Equal Opportunity (FHEO)

at the time they submit their APR to the Office of Special Needs Assistance Programs. This form may be completed electronically at <http://www.hud.gov/section3>.

c. Award notices may also include requirements for sub-award reporting in compliance with the requirements of the Federal Financial Assistance Accountability and Transparency Act of 2006 (Pub. L. 109-282) (Transparency Act) and Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Pub. L. 110-417), referred to as “Section 872.” See the General Section for further information.

VII. Agency Contacts

A. For Further Information. Individuals who are hearing or speech-impaired should use the Information Relay Services at 1-800-877-8339 (these are toll-free numbers). Grantees and individuals can use the locator at www.hudhre.info to find contact information for the Collaborative Applicant and for the HUD Field Office serving the CoC’s territory.

B. For Technical Assistance. HUD will make appropriate resources available for technical assistance related to *e-snaps*. Specifically, HUD will make available *e-snaps* Virtual Help Desk at www.hudhre.info/helpdesk. To address technical or other questions, HUD field office staff will also be available to help citizens identify organizations in the community that are involved in developing the CoC system. HUD staff and contractors cannot provide CoCs and project applicants with guidance that will result in a competitive advantage for any CoC or project applicant.

Following conditional selection of applications, HUD staff will be available to assist selected applicants in clarifying or confirming information that is a prerequisite to the offer of a grant agreement by HUD. However, between the application deadline and the announcement of conditional selections, HUD will accept no information that would improve the substantive quality of a CoC’s application pertinent to HUD’s funding decision.

C. Satellite Broadcast, HUD will hold one or more informative broadcast(s) via satellite for potential applicants to learn more about the program and preparation of the application. Viewing of these broadcasts, which will provide critical information on the application process, is highly recommended. For more information about the date and time of the broadcast, individuals should consult the HUD website at www.hud.gov/offices/adm/grants/fundsavail.cfm.

VIII. Other Information

A. Paperwork Reduction Act. The information collection requirements contained in this document have been submitted for approval by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520) and the OMB approval number is 2506-0112. In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a currently valid OMB control number. Public reporting burden for the collection of information and grant administration is estimated to average 250 hours per annum per respondent for the application and grant administration. This includes the time for collecting, reviewing, and reporting the data for the application, semi-annual reports and final report. The

information will be used for grantee selection and monitoring the administration of funds.

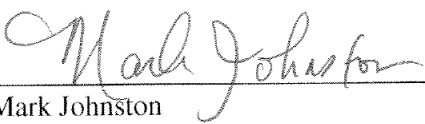
B. Environmental. Notwithstanding 24 CFR 578.31 and 24 CFR 578.99(a) of the interim rule, and in accordance with Section 100261(3) of MAP-21 (Pub. L. 112-141, 126 Stat. 404), activities under this NOFA are subject to environmental review by a responsible entity under HUD regulations in 24 CFR part 58.

1. For activities under a grant to a recipient other than a state or unit of general local government that generally would be subject to review under part 58, HUD may make a finding in accordance with 24 CFR 58.11(d) and may itself perform the environmental review under the provisions of 24 CFR part 50 if the recipient objects in writing to the responsible entity's performing the review under part 24 CFR 58.

2. Irrespective of whether the responsible entity in accord with 24 CFR part 58 (or HUD in accord with 24 CFR part 50) performs the environmental review, the recipient shall supply all available, relevant information necessary for the responsible entity (or HUD, if applicable) to perform for each property any required environmental review. The recipient also shall carry out mitigating measures required by the responsible entity (or HUD, if applicable) or select alternate eligible property. HUD may eliminate from consideration any application that would require an Environmental Impact Statement (EIS).

3. The recipient, its project partners and their contractors may not acquire, rehabilitate, convert, lease, repair, dispose of, demolish, or construct property for a project under this NOFA, or commit or expend HUD or local funds for such eligible activities under this NOFA, until the responsible entity (as defined in 24 CFR 58.2) has completed the environmental review procedures required by 24 CFR part 58 and the environmental certification and RROF have been approved or HUD has performed an environmental review under 24 CFR part 50 and the recipient has received HUD approval of the property. HUD will not release grant funds if the recipient or any other party commits grant funds (*i.e.*, incurs any costs or expenditures to be paid or reimbursed with such funds) before the recipient submits and HUD approves its RROF (where such submission is required).

Dated: **November 7, 2012**



Mark Johnston
Assistant Secretary for Community
Planning and Development (Acting)

[FR-5600-N-41]

Appendix A: List of CoC’s Annual Renewal Demand (ARD), Annual Renewal Demand with a 3.5 percent reduction, and Final Pro Rata Need (FPRN)

As discussed in Section I.C.15 and I.C.16 of this NOFA, it is possible that the total renewal demand for projects submitted in the FY2012 CoC Program Competition will exceed the \$1.61 billion available under this NOFA. To ensure CoCs have the opportunity to prioritize their projects locally in the event that HUD is not able to fund all renewals, HUD is requiring that CoCs rank projects within 2 tiers in FY2012.

The Tiers are financial thresholds. Tier 1 is equal to the CoC’s ARD approved in the Registration process, less 3.5 percent. Tier 2 is the amount between a CoC’s Tier 1 and the CoC’s FPRN and any approved amounts for CoC planning and PH Bonus. This Appendix provides the exact amount available for Tier 1 projects and Tier 2 projects for each CoC.

Column C provides each CoC’s ARD less 3.5%. This is the amount available for projects in Tier 1.

Column D provides each CoC’s approved FPRN. The difference between the amount in Column C, and Column D, plus any amounts approved for CoC planning and the PH bonus, is the amount available for projects in Tier 2.

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
AK-500 - Anchorage	\$2,898,071	\$2,796,639	\$2,898,071
AK-501 - Alaska Balance of State	\$823,280	\$794,465	\$823,280
AL-500 - Birmingham/Jefferson, St. Clair, Shelby Counties	\$8,770,255	\$8,463,296	\$8,770,255
AL-501 - Mobile City & County/Baldwin County	\$3,549,619	\$3,425,382	\$3,549,619
AL-502 - Florence/Northwest Alabama	\$569,005	\$549,090	\$569,005
AL-503 - Huntsville/North Alabama	\$913,672	\$881,693	\$913,672
AL-504 - Montgomery City & County	\$2,186,575	\$2,110,045	\$2,186,575
AL-505 - Gadsden/Northeast Alabama	\$29,855	\$28,810	\$720,635
AL-506 - Tuscaloosa City & County	\$136,509	\$131,731	\$295,017
AL-507 - Alabama Balance of State	\$766,403	\$739,579	\$2,968,577
AR-500 - Little Rock/Central Arkansas	\$3,560,682	\$3,436,058	\$3,560,682
AR-501 - Fayetteville/Northwest Arkansas	\$260,697	\$251,573	\$666,717
AR-503 – Arkansas Balance of State	\$255,651	\$246,703	\$1,953,117
AR-504 - Delta Hills	\$303,820	\$293,186	\$678,499
AR-505 - Southeast Arkansas	\$344,962	\$332,888	\$701,863
AR-512 - Boone, Baxter, Marion, Newton Counties	\$0	\$0	\$162,303
AS-500 - American Samoa	\$0	\$0	\$406,428
AZ-500 - Arizona Balance of State	\$3,824,600	\$3,690,739	\$3,824,600
AZ-501 - Tucson/Pima County	\$8,992,596	\$8,677,855	\$8,992,596

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
AZ-502 - Phoenix/Mesa/Maricopa County Regional	\$24,849,265	\$23,979,541	\$24,849,265
CA-500 - San Jose/Santa Clara City & County	\$11,422,675	\$11,022,881	\$11,422,675
CA-501 - San Francisco	\$22,328,370	\$21,546,877	\$22,328,370
CA-502 - Oakland/Alameda County	\$25,680,783	\$24,781,956	\$25,680,783
CA-503 - Sacramento City & County	\$15,890,201	\$15,334,044	\$15,890,201
CA-504 - Santa Rosa/Petaluma/Sonoma County	\$2,565,988	\$2,476,178	\$2,565,988
CA-505 - Richmond/Contra Costa County	\$9,501,931	\$9,169,363	\$9,501,931
CA-506 Salinas/Monterey, San Benito Counties	\$2,144,171	\$2,069,125	\$2,144,171
CA-507 - Marin County	\$2,684,915	\$2,590,943	\$2,684,915
CA-508 - Watsonville/Santa Cruz City & County	\$1,747,208	\$1,686,056	\$1,747,208
CA-509 - Mendocino County	\$1,951,684	\$1,883,375	\$1,951,684
CA-510 - Turlock/Modesto/Stanslaus County	\$2,891,090	\$2,789,902	\$2,891,090
CA-511 - Stockton/San Joaquin County	\$5,455,387	\$5,264,448	\$5,455,387
CA-512 - Daly/San Mateo County	\$6,439,991	\$6,214,591	\$6,439,991
CA-513 - Visalia, Kings, Tulare Counties	\$633,912	\$611,725	\$1,600,812
CA-514 - Fresno/Madera County	\$5,749,857	\$5,548,612	\$5,962,537
CA-515 - Roseville/Rocklin/Placer, Nevada Counties	\$1,110,259	\$1,071,400	\$1,110,259
CA-516 - Redding/Shasta County	\$337,173	\$325,372	\$337,173
CA-517 - Napa City & County	\$537,185	\$518,384	\$537,185
CA-518 - Vallejo/Solano County	\$1,178,921	\$1,137,659	\$1,178,921
CA-519 - Chico/Paradise/Butte County	\$629,520	\$607,487	\$629,520
CA-520 - Merced City & County	\$477,392	\$460,683	\$707,922
CA-521 - Davis/Woodland/Yolo County	\$471,183	\$454,692	\$471,183
CA-522 - Humboldt County	\$731,338	\$705,741	\$731,338
CA-523 - Colusa, Glen, Lake, Tehama, Trinity Counties	\$143,968	\$138,929	\$700,429
CA-525 - El Dorado County	\$13,593	\$13,117	\$248,668
CA-526 - Tuolumne, Calaveras, Amador Counties	\$133,082	\$128,424	\$288,378
CA-600 - Los Angeles City & County	\$79,635,832	\$76,848,578	\$79,635,832
CA-601 - San Diego	\$15,707,214	\$15,157,462	\$15,707,214
CA-602 - Santa Ana/Anaheim/Orange County	\$15,506,828	\$14,964,089	\$15,506,828
CA-603 - Santa Maria/Santa Barbara County	\$1,490,926	\$1,438,744	\$1,490,926
CA-604 - Bakersfield/Kern County	\$2,849,951	\$2,750,203	\$4,320,206
CA-605 - San Buenaventura/Ventura County	\$1,204,907	\$1,162,735	\$1,434,402
CA-606 - Long Beach	\$7,006,154	\$6,760,939	\$7,006,154
CA-607 - Pasadena	\$2,977,194	\$2,872,992	\$2,977,194
CA-608 - Riverside City & County	\$8,243,824	\$7,955,290	\$8,243,824
CA-609 - San Bernardino City & County	\$4,365,120	\$4,212,341	\$8,601,336
CA-611 - Oxnard	\$772,786	\$745,738	\$1,322,273
CA-612 - Glendale	\$2,476,413	\$2,389,739	\$2,476,413
CA-613 - Imperial County	\$169,864	\$163,919	\$481,182

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
CA-614 - San Luis Obispo County	\$1,031,602	\$995,496	\$1,031,602
CO-500 - Colorado Balance of State	\$2,993,197	\$2,888,435	\$2,993,197
CO-503 - Metropolitan Denver Homeless Initiative	\$15,581,500	\$15,036,148	\$15,581,500
CO-504 - Colorado Springs/El Paso County	\$1,992,316	\$1,922,585	\$1,992,316
CT-501 - New Haven	\$4,005,597	\$3,865,401	\$4,005,597
CT-502 - Hartford	\$5,460,996	\$5,269,861	\$5,460,996
CT-503 - Bridgeport/Stratford/Fairfield	\$5,009,659	\$4,834,321	\$5,009,659
CT-505 - Connecticut Balance of State	\$12,221,996	\$11,794,226	\$12,221,996
CT-506 - Norwalk/Fairfield County	\$1,435,560	\$1,385,315	\$1,435,560
CT-508 - Stamford/Greenwich	\$1,737,903	\$1,677,076	\$1,737,903
CT-512 - City of Waterbury	\$3,363,059	\$3,245,352	\$3,363,059
DC-500 - District of Columbia	\$21,147,177	\$20,407,026	\$21,147,177
DE-500 - Delaware Statewide	\$5,724,189	\$5,523,842	\$5,724,189
FL-500 - Sarasota/Bradenton/Manatee, Sarasota Counties	\$855,345	\$825,408	\$855,345
FL-501 - Tampa/Hillsborough County	\$6,058,043	\$5,846,011	\$6,058,043
FL-502 - St. Petersburg/Clearwater/Largo/Pinellas County	\$4,209,046	\$4,061,729	\$4,209,046
FL-503 - Lakeland	\$1,267,886	\$1,223,510	\$1,267,886
FL-504 - Daytona Beach/Daytona/Volusia, Flagler Counties	\$1,494,863	\$1,442,543	\$1,494,863
FL-505 - Fort Walton Beach/Okaloosa, Walton Counties	\$633,230	\$611,067	\$633,230
FL-506 - Tallahassee/Leon County	\$1,259,127	\$1,215,058	\$1,436,670
FL-507 - Orlando/Orange, Osceola, Seminole Counties	\$6,113,242	\$5,899,279	\$6,113,242
FL-508 - Gainesville/Alachua, Putnam Counties	\$881,416	\$850,566	\$881,416
FL-509 - Fort Pierce/St. Lucie, Indian River, Martin Counties	\$1,325,520	\$1,279,127	\$1,325,520
FL-510 - Jacksonville-Duval, Clay Counties	\$4,649,394	\$4,486,665	\$4,649,394
FL-511 - Pensacola/Escambia, Santa Rosa County	\$1,128,341	\$1,088,849	\$1,347,924
FL-512 - Saint Johns County	\$208,264	\$200,975	\$289,700
FL-513 - Palm Bay/Melbourne/Brevard County	\$860,271	\$830,162	\$860,271
FL-514 - Ocala/Marion County	\$255,201	\$246,269	\$405,456
FL-515 - Panama City/Bay, Jackson Counties	\$46,083	\$44,470	\$520,977
FL-516 - Winterhaven/Polk County	\$382,447	\$369,061	\$1,481,164
FL-517 - Hendry, Hardee, Highlands Counties	\$244,205	\$235,658	\$602,603
FL-518 - Columbia, Hamilton, Lafayette, Suwannee Counties	\$213,046	\$205,589	\$251,448
FL-519 - Pasco County	\$820,363	\$791,650	\$1,265,346
FL-520 - Citrus, Hernando, Lake, Sumter Counties	\$437,702	\$422,382	\$640,874
FL-600 - Miami/Dade County	\$31,977,112	\$30,857,913	\$31,977,112

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
FL-601 - Ft Lauderdale/Broward County	\$9,920,971	\$9,573,737	\$9,920,971
FL-602 - Punta Gorda/Charlotte County	\$229,099	\$221,081	\$229,099
FL-603 - Ft Myers/Cape Coral/Lee County	\$2,194,218	\$2,117,420	\$2,194,218
FL-604 - Monroe County	\$526,239	\$507,821	\$526,239
FL-605 - West Palm Beach/Palm Beach County	\$5,452,463	\$5,261,627	\$5,452,463
FL-606 - Naples/Collier County	\$337,169	\$325,368	\$1,042,165
GA-500 - Atlanta/Roswell/DeKalb, Fulton Counties	\$11,632,020	\$11,224,899	\$11,632,020
GA-501 - Georgia Balance of State	\$12,453,265	\$12,017,401	\$12,453,265
GA-503 - Athens/Clarke County	\$755,513	\$729,070	\$755,513
GA-504 - Augusta	\$677,204	\$653,502	\$1,091,275
GA-505 - Columbus-Muscogee/Russell County	\$1,376,456	\$1,328,280	\$1,376,456
GA-506 - Marietta/Cobb County	\$2,009,677	\$1,939,338	\$2,009,677
GA-507 - Savannah/Chatham County	\$3,756,386	\$3,624,912	\$3,756,386
GU-500 - Guam	\$1,254,821	\$1,210,902	\$1,254,821
HI-500 - Hawaii Balance of State	\$2,003,932	\$1,933,794	\$2,003,932
HI-501 - Honolulu	\$9,603,854	\$9,267,719	\$9,603,854
IA-500 - Sioux City/Dakota, Woodbury Counties	\$839,004	\$809,639	\$1,032,697
IA-501 - Iowa Balance of State	\$4,774,376	\$4,607,273	\$6,204,930
IA-502 - Des Moines/Polk County	\$2,900,715	\$2,799,190	\$2,900,715
ID-500 - Boise/Ada County	\$1,045,022	\$1,008,446	\$1,045,022
ID-501 - Idaho Balance of State	\$3,001,145	\$2,896,105	\$3,001,145
IL-500 - McHenry County	\$887,762	\$856,690	\$887,762
IL-501 - Rockford/Winnebago, Boone Counties	\$1,618,041	\$1,561,410	\$1,618,041
IL-502 - Waukegan/North Chicago/Lake County	\$2,061,179	\$1,989,038	\$2,061,179
IL-503 - Champaign/Urbana/Rantoul/Champaign County	\$883,768	\$852,836	\$883,768
IL-504 - Madison County	\$2,193,157	\$2,116,397	\$2,193,157
IL-506 - Joliet/Bolingbrook/Will County	\$3,045,253	\$2,938,669	\$3,045,253
IL-507 - Peoria/Perkin/Fulton, Peoria, Tazewell, Woodford	\$1,686,202	\$1,627,185	\$1,686,202
IL-508 - East Saint Louis/Belleville/Saint Clair County	\$2,800,809	\$2,702,781	\$2,800,809
IL-509 - DeKalb City & County	\$613,321	\$591,855	\$613,321
IL-510 - Chicago	\$51,470,531	\$49,669,062	\$51,470,531
IL-511 - Cook County	\$9,383,132	\$9,054,722	\$9,383,132
IL-512 - Bloomington/Central Illinois	\$1,285,026	\$1,240,050	\$1,285,026
IL-513 - Springfield/Sangamon County	\$662,215	\$639,037	\$662,215
IL-514 - Dupage County	\$3,958,196	\$3,819,659	\$3,958,196
IL-515 - South Central Illinois	\$969,179	\$935,258	\$969,179
IL-516 - Decatur/Macon County	\$855,586	\$825,640	\$855,586
IL-517 - Aurora/Elgin/Kane County	\$1,383,298	\$1,334,883	\$1,383,298
IL-518 - Rock Island/Moline/Northwestern Illinois	\$702,806	\$678,208	\$1,643,451

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
IL-519 - West Central Illinois	\$600,280	\$579,270	\$600,280
IL-520 - Southern Illinois	\$939,505	\$906,622	\$1,360,130
IN-500 - South Bend/Mishawaka/St. Joseph County	\$1,613,622	\$1,557,145	\$1,613,622
IN-502 - Indiana Balance of State	\$10,460,699	\$10,094,575	\$13,864,122
IN-503 - Indianapolis	\$3,995,027	\$3,855,201	\$4,754,336
KS-501 - Kansas City/Wyandotte County	\$1,165,376	\$1,124,588	\$1,226,746
KS-502 - Wichita/Sedgwick County	\$2,274,959	\$2,195,335	\$2,274,959
KS-503 - Topeka/Shawnee County	\$1,905,462	\$1,838,771	\$1,905,462
KS-505 - Overland Park/Shawnee/Johnson County	\$440,981	\$425,547	\$440,981
KS-507 - Kansas Balance of State	\$2,391,164	\$2,307,473	\$3,125,250
KY-500 - Kentucky Balance of State	\$8,449,328	\$8,153,602	\$8,449,328
KY-501 - Louisville/Jefferson County	\$8,741,573	\$8,435,618	\$8,741,573
KY-502 - Lexington/Fayette County	\$1,615,522	\$1,558,979	\$1,615,522
LA-500 - Lafayette/Acadiana	\$2,583,998	\$2,493,558	\$2,583,998
LA-501 - Lake Charles/Southwestern Louisiana	\$434,374	\$419,171	\$591,624
LA-502 - Shreveport/Bossier/Northwest	\$3,572,642	\$3,447,600	\$3,572,642
LA-503 - New Orleans/Jefferson Parish	\$15,669,996	\$15,121,546	\$15,669,996
LA-504 - Baton Rouge	\$1,949,878	\$1,881,632	\$2,501,441
LA-505 - Monroe/Northeast Louisiana	\$1,512,315	\$1,459,384	\$1,512,315
LA-506 - Slidell/Southeast Louisiana	\$2,291,284	\$2,211,089	\$2,291,284
LA-507 - Alexandria/Central Louisiana	\$653,644	\$630,766	\$659,748
LA-508 - Houma-Terrebonne/Thibodaux	\$1,265,052	\$1,220,775	\$1,265,052
MA-500 - Boston	\$23,437,672	\$22,617,353	\$23,437,672
MA-502 - Lynn	\$2,162,415	\$2,086,730	\$2,162,415
MA-503 - Cape Cod Islands	\$1,648,640	\$1,590,938	\$1,648,640
MA-504 - Springfield	\$2,062,798	\$1,990,600	\$2,854,470
MA-505 - New Bedford	\$1,964,507	\$1,895,749	\$1,964,507
MA-506 - Worcester City & County	\$5,971,863	\$5,762,848	\$5,971,863
MA-507 - Pittsfield/Berkshire County	\$1,469,486	\$1,418,054	\$1,469,486
MA-508 - Lowell	\$885,718	\$854,718	\$1,162,043
MA-509 - Cambridge	\$3,722,632	\$3,592,340	\$3,722,632
MA-510 - Gloucester/Haverhill/Salem/Essex County	\$1,529,290	\$1,475,765	\$1,529,290
MA-511 - Quincy/Weymouth	\$3,385,881	\$3,267,375	\$3,385,881
MA-513 - Malden/Medford	\$1,779,541	\$1,717,257	\$1,779,541
MA-515 - Fall River	\$1,927,824	\$1,860,350	\$1,927,824
MA-516 - Massachusetts Balance of State	\$9,090,744	\$8,772,568	\$9,090,744
MA-517 - Somerville	\$2,296,617	\$2,216,235	\$2,296,617
MA-518 - Brookline/Newton	\$1,545,601	\$1,491,505	\$1,567,129
MA-519 - Attleboro/Taunton/Bristol County	\$875,921	\$845,264	\$875,921
MA-520 - Brockton/Plymouth City & County	\$1,076,510	\$1,038,832	\$1,076,510
MD-500 - Cumberland/Allegany County	\$790,706	\$763,031	\$790,706
MD-501 - Baltimore City	\$22,953,876	\$22,150,490	\$22,953,876

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
MD-502 - Harford County	\$859,859	\$829,764	\$859,859
MD-503 - Annapolis/Anne Arundel County	\$2,334,646	\$2,252,933	\$2,334,646
MD-504 - Howard County	\$923,234	\$890,921	\$923,234
MD-505 - Baltimore County	\$2,565,469	\$2,475,678	\$2,565,469
MD-506 - Carroll County	\$410,542	\$396,173	\$410,542
MD-507 - Cecil County	\$286,064	\$276,052	\$286,064
MD-508 - Charles, Calvert, St.Mary's Counties	\$2,207,685	\$2,130,416	\$2,207,685
MD-509 - Frederick City & County	\$681,039	\$657,203	\$681,039
MD-510 - Garrett County	\$260,508	\$251,390	\$260,508
MD-511 - Mid-Shore Regional	\$686,101	\$662,087	\$686,101
MD-512 - Hagerstown/Washington County	\$563,709	\$543,979	\$563,709
MD-513 - Wicomico/Somerset/Worcester County	\$1,247,908	\$1,204,231	\$1,247,908
MD-600 - Prince George's County	\$4,944,659	\$4,771,596	\$4,944,659
MD-601 - Montgomery County	\$7,710,434	\$7,440,569	\$7,710,434
ME-500 - Maine Balance of State	\$7,270,810	\$7,016,332	\$7,270,810
ME-502 - Portland	\$3,163,786	\$3,053,053	\$3,163,786
MI-500 - Michigan Balance of State	\$7,741,334	\$7,470,387	\$7,741,334
MI-501 - Detroit	\$22,646,368	\$21,853,745	\$22,646,368
MI-502 - Dearborn/Dearborn Heights/Westland/Wayne County	\$3,557,966	\$3,433,437	\$5,103,158
MI-503 - St. Clair Shores/Warren/Macomb County	\$1,328,741	\$1,282,235	\$1,328,741
MI-504 - Pontiac/Royal Oak/Oakland County	\$5,094,921	\$4,916,599	\$5,094,921
MI-505 - Flint/Genesee County	\$1,963,306	\$1,894,590	\$2,963,928
MI-506 - Grand Rapids/Wyoming/Kent County	\$5,100,711	\$4,922,186	\$5,100,711
MI-507 - Portage/Kalamazoo City & County	\$1,773,994	\$1,711,904	\$1,773,994
MI-508 - Lansing/East Lansing/Ingham County	\$2,081,503	\$2,008,650	\$2,081,503
MI-509 - Ann Arbor/Washtenaw County	\$4,181,562	\$4,035,207	\$4,181,562
MI-510 - Saginaw City & County	\$1,757,571	\$1,696,056	\$1,757,571
MI-511 - Lenawee County	\$145,103	\$140,024	\$173,454
MI-512 - Grand Traverse, Antrim, Leelanau Counties	\$668,457	\$645,061	\$668,457
MI-513 - Marquette, Alger Counties	\$245,723	\$237,123	\$245,723
MI-514 - Battle Creek/Calhoun County	\$422,990	\$408,185	\$422,990
MI-515 - Monroe City & County	\$162,082	\$156,409	\$253,808
MI-516 - Norton Shores/Muskegon City & County	\$471,179	\$454,688	\$471,179
MI-517 - Jackson City & County	\$816,872	\$788,281	\$816,872
MI-518 - Livingston County	\$220,736	\$213,010	\$220,736
MI-519 - Holland/Ottawa County	\$916,160	\$884,094	\$916,160
MI-523 - Eaton County	\$823,034	\$794,228	\$823,034
MN-500 - Minneapolis/Hennepin County	\$9,034,797	\$8,718,579	\$9,034,797
MN-501 - Saint Paul/Ramsey County	\$5,630,527	\$5,433,459	\$5,630,527
MN-502 - Rochester/Southeast Minnesota	\$1,675,126	\$1,616,497	\$1,675,126

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
MN-503 - Dakota, Anoka, Washington, Scott, Carver Counties	\$2,762,278	\$2,665,598	\$2,762,278
MN-504 - Northeast Minnesota	\$323,610	\$312,284	\$323,610
MN-505 - St. Cloud/Central Minnesota	\$1,183,371	\$1,141,953	\$1,183,371
MN-506 - Northwest Minnesota	\$473,553	\$456,979	\$473,553
MN-508 - Moorhead/West Central Minnesota	\$733,774	\$708,092	\$733,774
MN-509 - Duluth/Saint Louis County	\$2,636,047	\$2,543,785	\$2,636,047
MN-511 - Southwest Minnesota	\$830,496	\$801,429	\$830,496
MO-500 - St. Louis County	\$1,932,378	\$1,864,745	\$2,761,733
MO-501 - St. Louis City	\$9,696,591	\$9,357,210	\$9,696,591
MO-503 - St. Charles, Lincoln, Warren Counties	\$302,462	\$291,876	\$323,356
MO-600 - Springfield/Greene, Christian, Webster Counties	\$972,526	\$938,488	\$972,526
MO-602 - Joplin/Jasper, Newton Counties	\$618,856	\$597,196	\$618,856
MO-603 - St. Joseph/Andrew, Buchanan, DeKalb Counties	\$1,060,685	\$1,023,561	\$1,060,685
MO-604 - Kansas City/Independence/ Lee's Summit/Jackson County	\$9,051,154	\$8,734,364	\$9,051,154
MO-606 - Missouri Balance of State	\$6,004,415	\$5,794,260	\$6,004,415
MS-500 - Jackson/Rankin, Madison Counties	\$1,662,897	\$1,604,696	\$1,817,078
MS-501 - Mississippi Balance of State	\$3,310,591	\$3,194,720	\$4,375,830
MS-503 - Gulf Port/Gulf Coast Regional	\$688,647	\$664,544	\$813,976
MT-500 - Montana Statewide	\$1,323,112	\$1,276,803	\$1,650,396
NC-500 - Winston Salem/Forsyth County	\$1,703,785	\$1,644,153	\$1,703,785
NC-501 - Asheville/Buncombe County	\$1,054,469	\$1,017,563	\$1,054,469
NC-502 - Durham City & County	\$752,191	\$725,864	\$975,392
NC-503 - North Carolina Balance of State	\$4,595,203	\$4,434,371	\$7,409,258
NC-504 - Greensboro/High Point	\$1,722,511	\$1,662,223	\$1,722,511
NC-505 - Charlotte/Mecklenburg County	\$3,791,055	\$3,658,368	\$3,791,055
NC-506 - Wilmington/Brunswick, New Hanover, Pender Counties	\$733,326	\$707,660	\$733,326
NC-507 - Raleigh/Wake County	\$2,610,630	\$2,519,258	\$2,610,630
NC-509 - Gastonia/Cleveland, Gaston, Lincoln Counties	\$854,856	\$824,936	\$854,856
NC-511 - Fayetteville/Cumberland County	\$795,322	\$767,486	\$795,322
NC-513 - Chapel Hill/Orange County	\$452,329	\$436,497	\$452,329
NC-516 - Northwest North Carolina	\$282,626	\$272,734	\$335,951
ND-500 - North Dakota Statewide	\$2,130,854	\$2,056,274	\$2,130,854
NE-500 - Nebraska Balance of State	\$1,747,003	\$1,685,858	\$2,003,182
NE-501 - Omaha/Council Bluffs	\$3,462,452	\$3,341,266	\$3,462,452
NE-502 - Lincoln	\$1,932,278	\$1,864,648	\$1,932,278
NH-500 - New Hampshire Balance of State	\$3,085,042	\$2,977,066	\$3,085,042
NH-501 - Manchester	\$1,196,691	\$1,154,807	\$1,196,691
NH-502 - Nashua/Hillsborough County	\$1,770,993	\$1,709,008	\$1,770,993
NJ-500 - Atlantic City & County	\$373,634	\$360,557	\$477,851

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
NJ-501 - Bergen County	\$2,354,561	\$2,272,151	\$5,283,471
NJ-502 - Burlington County	\$524,009	\$505,669	\$524,009
NJ-503 - Camden City & County	\$2,607,643	\$2,516,375	\$2,784,656
NJ-504 - Newark/Essex County	\$5,505,552	\$5,312,858	\$7,962,697
NJ-505 - Gloucester County	\$424,646	\$409,783	\$424,646
NJ-506 - Jersey City/Bayonne/Hudson County	\$3,567,621	\$3,442,754	\$6,311,294
NJ-507 - New Brunswick/Middlesex County	\$1,602,132	\$1,546,057	\$1,602,132
NJ-508 - Monmouth County	\$3,064,884	\$2,957,613	\$3,064,884
NJ-509 - Morris County	\$1,614,514	\$1,558,006	\$1,614,514
NJ-510 - Lakewood Township/Ocean County	\$418,379	\$403,736	\$915,118
NJ-511 - Paterson/Passaic County	\$2,145,193	\$2,070,111	\$2,145,193
NJ-512 - Salem County	\$142,561	\$137,571	\$151,458
NJ-513 - Somerset County	\$351,423	\$339,123	\$351,423
NJ-514 - Trenton/Mercer County	\$2,845,944	\$2,746,336	\$2,845,944
NJ-515 - Elizabeth/Union County	\$3,942,082	\$3,804,109	\$3,942,082
NJ-516 - Warren, Sussex Hunterdon Counties	\$635,488	\$613,246	\$635,488
NJ-518 - Ocean City/Cape May County	\$180,366	\$174,053	\$246,332
NJ-520 - Cumberland County	\$36,579	\$35,299	\$325,867
NM-500 - Albuquerque	\$4,834,956	\$4,665,733	\$4,834,956
NM-501 - New Mexico Balance of State	\$3,519,880	\$3,396,684	\$3,519,880
NV-500 - Las Vegas/Clark County	\$7,704,182	\$7,434,536	\$7,704,182
NV-501 - Reno/Sparks/Washoe County	\$1,887,171	\$1,821,120	\$1,887,171
NV-502 - Nevada Balance of State	\$754,329	\$727,927	\$754,329
NY-500 - Rochester/Irondequoit/Greece/Monroe County	\$9,237,297	\$8,913,992	\$9,237,297
NY-501 - Elmira/Steuben, Allegany, Livingston, Chemung, Schuyler Counties	\$1,354,410	\$1,307,006	\$1,354,410
NY-502 - Auburn/Cayuga County	\$307,520	\$296,757	\$319,661
NY-503 - Albany City & County	\$4,279,118	\$4,129,349	\$4,279,118
NY-504 - Cattaraugus County	\$439,070	\$423,703	\$439,070
NY-505 - Syracuse/Onondaga County	\$9,001,561	\$8,686,506	\$9,001,561
NY-506 - Fulton, Montgomery, Schoharie Counties	\$0	\$0	\$418,782
NY-507 - Schenectady City & County	\$3,147,682	\$3,037,513	\$3,147,682
NY-508 - Buffalo/Erie County	\$8,416,316	\$8,121,745	\$10,623,983
NY-509 - Oswego County	\$0	\$0	\$355,959
NY-510 - Ithaca/Tompkins County	\$233,292	\$225,127	\$319,579
NY-511 - Binghamton/Union Town/Broome, Otsego Counties Continuum	\$1,189,458	\$1,147,827	\$1,922,700
NY-512 - Troy/Rensselaer County	\$2,779,756	\$2,682,465	\$2,779,756
NY-513 - Wayne, Ontario, Seneca, Yates Counties	\$180,483	\$174,166	\$607,208
NY-514 - Jamestown/Dunkirk/Chautauqua County	\$263,479	\$254,257	\$611,705
NY-516 - Clinton County	\$190,141	\$183,486	\$221,054

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
NY-517 - Orleans County	\$83,701	\$80,771	\$370,642
NY-518 - Utica/Rome/Oneida County	\$3,427,968	\$3,307,989	\$3,589,671
NY-519 - Columbia/Greene County	\$344,806	\$332,738	\$344,806
NY-520 - Franklin County	\$53,555	\$51,681	\$267,005
NY-522 - Jefferson, Lewis, St. Lawrence Counties	\$1,163,571	\$1,122,846	\$1,163,571
NY-523 - Glen Falls/Saratoga Springs/Saratoga County	\$1,192,517	\$1,150,779	\$1,192,517
NY-524 - Niagara Falls/Niagara County	\$175,888	\$169,732	\$1,548,943
NY-600 - New York City	\$112,036,560	\$108,115,280	\$112,036,560
NY-601 - Poughkeepsie/Dutchess County	\$1,361,016	\$1,313,380	\$1,361,016
NY-602 - Newburgh/Middletown/Orange County	\$2,308,733	\$2,227,927	\$2,308,733
NY-603 - Islip/Babylon/Huntington/Suffolk County	\$10,914,089	\$10,532,096	\$10,914,089
NY-604 - Yonkers/Mount Vernon/New Rochelle/Westchester County	\$14,650,995	\$14,138,210	\$14,650,995
NY-606 - Rockland County	\$472,971	\$456,417	\$1,009,507
NY-607 - Sullivan County	\$469,921	\$453,474	\$469,921
NY-608 - Kingston/Ulster County	\$1,274,677	\$1,230,063	\$1,274,677
OH-500 - Cincinnati/Hamilton County	\$13,021,916	\$12,566,149	\$13,021,916
OH-501 - Toledo/Lucas County	\$4,493,973	\$4,336,684	\$4,493,973
OH-502 - Cleveland/Cuyahoga County	\$25,014,914	\$24,139,392	\$25,014,914
OH-503 - Columbus/Franklin County	\$9,750,938	\$9,409,655	\$9,750,938
OH-504 - Youngstown/Mahoning County	\$2,044,014	\$1,972,474	\$2,190,287
OH-505 - Dayton/Kettering/Montgomery County	\$7,712,438	\$7,442,503	\$7,712,438
OH-506 - Akron/Baberton/Summit County	\$4,080,761	\$3,937,934	\$4,080,761
OH-507 - Ohio Balance of State	\$13,379,476	\$12,911,194	\$13,379,476
OH-508 - Canton/Massillon/Alliance/Stark County	\$2,156,434	\$2,080,959	\$2,156,434
OK-500 - North Central Oklahoma	\$256,944	\$247,951	\$511,469
OK-501 - Tulsa City & County/Broken Arrow	\$2,636,527	\$2,544,249	\$2,636,527
OK-502 - Oklahoma City	\$2,365,250	\$2,282,466	\$2,551,420
OK-503 - Oklahoma Balance of State	\$706,624	\$681,892	\$706,624
OK-504 - Norman/Cleveland County	\$308,831	\$298,022	\$308,831
OK-505 - Northeast Oklahoma	\$168,713	\$162,808	\$580,956
OK-506 - Southwest Oklahoma Regional	\$120,788	\$116,560	\$592,927
OK-507 - Southeastern Oklahoma Regional	\$124,781	\$120,414	\$807,794
OR-500 - Eugene/Springfield/Lane County	\$2,695,674	\$2,601,325	\$2,695,674
OR-501 - Portland/Gresham/Multnomah County	\$13,063,082	\$12,605,874	\$13,063,082
OR-502 - Medford/Ashland/Jackson County	\$331,458	\$319,857	\$331,458
OR-503 - Central Oregon	\$535,774	\$517,022	\$535,774
OR-505 - Oregon Balance of State	\$2,830,637	\$2,731,565	\$2,830,637
OR-506 - Hillsboro/Beaverton/Washington	\$2,317,392	\$2,236,283	\$2,317,392

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
County			
OR-507 - Clackamas County	\$1,665,026	\$1,606,750	\$1,665,026
PA-500 - Philadelphia	\$30,433,393	\$29,368,224	\$30,433,393
PA-501 - Harrisburg/Dauphin County	\$1,139,749	\$1,099,858	\$1,275,053
PA-502 - Upper Darby/Chester/Haverford/Delaware County	\$4,430,621	\$4,275,549	\$4,430,621
PA-503 - Wilkes-Barre/Hazleton/Luzerne County	\$3,480,778	\$3,358,951	\$3,550,940
PA-504 - Lower Marion/Norristown/Abington/Montgomery County	\$2,400,733	\$2,316,707	\$2,400,733
PA-505 - Chester County	\$1,568,418	\$1,513,523	\$1,568,418
PA-506 - Reading/Berks County	\$2,822,259	\$2,723,480	\$2,822,259
PA-507 - Altoona/Central Pennsylvania	\$4,359,007	\$4,206,442	\$5,096,743
PA-508 - Scranton/Lackawanna County	\$2,491,881	\$2,404,665	\$2,491,881
PA-509 - Allentown/Northeast Pennsylvania	\$5,472,410	\$5,280,876	\$5,472,410
PA-510 - Lancaster City & County	\$1,405,698	\$1,356,499	\$2,497,208
PA-511 - Bristol/Bensalem/Bucks County	\$992,155	\$957,430	\$1,338,773
PA-512 - York City & County	\$791,078	\$763,390	\$1,568,283
PA-600 - Pittsburgh/McKeesport/Penn Hills/Allegheny County	\$13,250,890	\$12,787,109	\$16,375,483
PA-601 - Southwest Pennsylvania	\$4,965,458	\$4,791,667	\$5,711,499
PA-602 - Northwest Pennsylvania	\$2,647,515	\$2,554,852	\$2,647,515
PA-603 - Beaver County	\$1,329,067	\$1,282,550	\$1,890,387
PA-605 - Erie City & County	\$2,189,050	\$2,112,433	\$2,189,050
PR-502 - Puerto Rico Balance of Commonwealth	\$12,606,587	\$12,165,356	\$16,366,708
PR-503 - South/Southeast Puerto Rico	\$5,176,454	\$4,995,278	\$16,650,294
RI-500 - Rhode Island Statewide	\$4,916,040	\$4,743,979	\$5,876,024
SC-500 - Charleston/Low Country	\$1,320,959	\$1,274,725	\$1,906,704
SC-501 - Greenville/Anderson/Spartanburg Upstate	\$3,663,664	\$3,535,436	\$3,663,664
SC-502 - Columbia/Midlands	\$2,311,567	\$2,230,662	\$2,311,567
SC-503 - Myrtle Beach/Sumter City & County	\$1,375,319	\$1,327,183	\$1,422,883
SD-500 - South Dakota Statewide	\$1,396,493	\$1,347,616	\$1,440,954
TN-500 - Chattanooga/Southeast Tennessee	\$2,584,676	\$2,494,212	\$2,584,676
TN-501 - Memphis/Shelby County	\$6,398,108	\$6,174,174	\$6,398,108
TN-502 - Knoxville/Knox County	\$1,323,486	\$1,277,164	\$1,323,486
TN-503 - Central Tennessee	\$2,063,544	\$1,991,320	\$2,063,544
TN-504 - Nashville/Davidson County	\$3,702,754	\$3,573,158	\$3,702,754
TN-506 - Upper Cumberland	\$733,236	\$707,573	\$747,888
TN-507 - Jackson/West Tennessee	\$1,627,776	\$1,570,804	\$1,627,776
TN-509 - Appalachian Regional	\$1,321,566	\$1,275,311	\$1,321,566
TN-510 - Murfreesboro/Rutherford County	\$617,256	\$595,652	\$617,256
TN-512 - Morristown/Blount, Sevier, Campbell,	\$195,015	\$188,189	\$916,547

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
Cocke Counties			
TX-500 - San Antonio/Bexar County	\$6,927,686	\$6,685,217	\$7,389,289
TX-501 - Corpus Christi/Nueces County	\$1,012,724	\$977,279	\$1,734,236
TX-503 - Austin/Travis County	\$5,252,686	\$5,068,842	\$5,252,686
TX-504 - Victoria/Dewitt, Lavaca,Conzales Counties	\$313,319	\$302,353	\$439,507
TX-600 - Dallas City & County/Irving	\$15,641,564	\$15,094,109	\$15,641,564
TX-601 - Fort Worth/Arlington/Tarrant County	\$11,443,232	\$11,042,719	\$11,443,232
TX-603 - El Paso City & County	\$3,020,059	\$2,914,357	\$4,506,787
TX-604 - Waco/McLennan County	\$766,759	\$739,922	\$1,267,433
TX-607 - Texas Balance of State	\$3,672,854	\$3,544,304	\$25,421,233
TX-611 - Amarillo	\$833,488	\$804,316	\$868,423
TX-624 - Wichita Falls/Wise, Palo Pinto, Wichita, Archer Counties	\$0	\$0	\$847,750
TX-700 - Houston/Harris County	\$23,374,843	\$22,556,723	\$23,374,843
TX-701 - Bryan/College Station/Brazos Valley	\$264,726	\$255,461	\$651,117
TX-703 - Beaumont/Port Arthur/South East Texas	\$768,502	\$741,604	\$1,468,141
UT-500 - Salt Lake City & County	\$5,972,940	\$5,763,887	\$5,972,940
UT-503 - Utah Balance of State	\$1,397,099	\$1,348,201	\$1,397,099
UT-504 - Provo/Mountainland	\$1,171,820	\$1,130,806	\$1,171,820
VA-500 - Richmond/Henrico, Chesterfield, Hanover Counties	\$3,813,055	\$3,679,598	\$3,813,055
VA-501 - Norfolk	\$3,850,908	\$3,716,126	\$3,850,908
VA-502 - Roanoke City & County/Salem	\$841,533	\$812,079	\$1,060,763
VA-503 - Virginia Beach	\$1,407,059	\$1,357,812	\$1,407,059
VA-504 - Charlottesville	\$355,371	\$342,933	\$355,371
VA-505 - Newport News/Hampton/Virginia Peninsula	\$1,853,507	\$1,788,634	\$1,853,507
VA-507 - Portsmouth	\$1,436,341	\$1,386,069	\$1,436,341
VA-508 - Lynchburg	\$356,527	\$344,049	\$356,527
VA-509 - Petersburg	\$184,098	\$177,655	\$299,334
VA-510 - Staunton/Waynesboro/Augusta, Highland Counties	\$81,549	\$78,695	\$216,784
VA-513 - Winchester/Shenandoah, Frederick, Warren Counties	\$443,613	\$428,087	\$459,022
VA-514 - Fredericksburg/Spotsylvania, Stafford Counties	\$229,169	\$221,148	\$257,116
VA-517 - Danville/Martinsville	\$68,480	\$66,083	\$465,478
VA-521 - Virginia Balance of State	\$271,217	\$261,724	\$1,782,202
VA-600 - Arlington County	\$1,551,164	\$1,496,873	\$1,551,164
VA-601 - Fairfax County	\$6,436,919	\$6,211,627	\$6,436,919
VA-602 - Loudoun County	\$174,231	\$168,133	\$174,231
VA-603 - Alexandria	\$655,097	\$632,169	\$655,097
VA-604 - Prince William County	\$690,008	\$665,858	\$940,019

Column A	Column B	Column C	Column D
CoC Number and Name	ARD	ARD less 3.5%	FPRN
VI-500 - Virgin Islands	\$296,075	\$285,712	\$671,446
VT-500 - Vermont Balance of State	\$2,599,338	\$2,508,361	\$2,599,338
VT-501 - Burlington/Chittenden County	\$968,657	\$934,754	\$968,657
WA-500 - Seattle/King County	\$23,105,607	\$22,296,911	\$23,105,607
WA-501 - Washington Balance of State	\$5,563,647	\$5,368,919	\$5,563,647
WA-502 - Spokane City & County	\$3,405,633	\$3,286,436	\$3,405,633
WA-503 - Tacoma/Lakewood/Pierce County	\$2,865,607	\$2,765,311	\$2,973,730
WA-504 - Everett/Snohomish County	\$5,157,480	\$4,976,968	\$5,157,480
WA-507 - Yakima City & County	\$542,315	\$523,334	\$578,190
WA-508 - Vancouver/Clark County	\$1,211,676	\$1,169,267	\$1,211,676
WI-500 - Wisconsin Balance of State	\$6,985,945	\$6,741,437	\$6,997,610
WI-501 - Milwaukee City & County	\$11,106,026	\$10,717,315	\$11,106,026
WI-502 - Racine City & County	\$1,151,816	\$1,111,502	\$1,151,816
WI-503 - Madison/Dane County	\$3,062,608	\$2,955,417	\$3,062,608
WV-500 - Wheeling/Weirton Area	\$424,336	\$409,484	\$574,819
WV-501 - Huntington/Cabell, Wayne Counties	\$1,570,984	\$1,516,000	\$1,570,984
WV-503 - Charleston/Kanawha, Putnam, Boone, Clay Counties	\$1,327,158	\$1,280,707	\$1,327,158
WV-508 - West Virginia Balance of State	\$3,194,731	\$3,082,915	\$3,194,731
WY-500 - Wyoming Statewide	\$338,517	\$326,669	\$758,634
Total	\$1,673,133,021	\$1,614,573,365	\$1,785,994,233