

State of Arizona
Consolidated Annual Performance and Evaluation Report
(CAPER)
Federal FY 2014
July 1, 2014 – June 30, 2015



Arizona Department of Housing

Prepared by the Arizona Department of Housing
and the Arizona Department of Economic Security
for the U.S. Department of Housing and Urban Development



The FFY 2014 State of Arizona Consolidated Performance and Evaluation Report (CAPER) represents a collaborative effort between the Arizona Department of Housing and the Arizona Department of Economic Security. This document outlines the State's affordable housing and community development resources, their methods of distribution, geographic funding objectives and actions by the State to meet those objectives during the past fiscal year.

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I. EXECUTIVE SUMMARY

INTRODUCTION

The Arizona Department of Housing (ADOH or the Department) utilizes a variety of resources in its mission of strengthening Arizona communities. A total of \$477,049,664 was invested in Arizona in FFY 2014. Difficult economic conditions have created a unique set of opportunities and challenges for ADOH. Responding and attending to these shifts are essential in successfully achieving the overarching goals of creating a suitable living environment, expanding economic opportunity and providing decent housing.

REPORTING AGENCY

The ADOH is the lead agency responsible for the development of the Consolidated Plan (the Con Plan) and Annual Action Plans. This Consolidated Annual Performance and Evaluation Report (CAPER) for the period of July 1, 2014 – June 30, 2015 is the final reporting for the FFY2010 – 2014 Con Plan and summarizes progress made towards meeting goals and objectives as outlined in the fifth year Action Plan.

The State of Arizona's Con Plan is prepared every five years, and updated annually through the Action Plan. In 2015, the State submitted, and U.S. Department of Housing and Urban Development (HUD) approved, the Arizona Con Plan: FFY2015 –2019. This Plan consolidates into a single document the planning and distribution of funds for:

- Community Development Block Grant (CDBG)
- Home Investment Partnerships Program (HOME)
- Emergency Solutions Grant (ESG)
- Housing Opportunities for Persons With Aids (HOPWA)

ADOH has the responsibility for the administration of the HOME, CDBG and HOPWA programs to non-entitlement communities (generally these are cities with populations of less than 50,000 and counties with populations of less than 200,000). The Department of Economic Security (DES) has the responsibility for the administration of the ESG program but all information is combined into the CAPER. Both Departments use these resources in combination with other programs; therefore this report includes a look at other resources used to provide a complete picture of the State of Arizona's performance over the last fiscal year.

INITIATIVES

The Con Plan assesses the state's affordable housing and community development needs and analyzes the state's housing markets. It articulates the State's priorities and strategies to address identified needs along with describing the actions the State will take to implement strategies for affordable housing and community development.

ADOH is committed to the continual assessment of needs and economic conditions in Arizona to ensure that housing and infrastructure needs of the lowest income groups are met. Equally important to the formulation of investment decisions is the capacity of grant recipients to successfully carry out and operate each funded project.

Despite a decrease in State resources, the Department continued to support a number of the state's most significant housing needs in keeping with ADOH's priorities of:

- Investing in rural areas that have limited funding opportunities;
- Investing in projects where the need for funds and the demand for the project is demonstrated; and
- Investing in areas in decline and those with a disproportionate concentration of low-income and minority populations.

Preservation of and improvement to the long-term life of existing affordable owner-occupied housing stock continued to be a high priority for the Department. Approximately \$4.5 million in federal HOME and CDBG funding was committed to preservation and improvement in the form of owner-occupied housing rehabilitation.

CD&R provided approximately \$5.4 million in federal funding for public infrastructure projects as they are the primary focus of rural counties and communities participating in the State and small cities CDBG program through ADOH. Infrastructure improvements including streets, sidewalks, water, wastewater, curbs, gutters and drainage improvements were funded addressing the Department's priority to promote sustainable and accessible communities.

Capacity deficiencies in some of the smallest rural local governments remain a barrier for economic development projects. ADOH received no economic development project applications for FFY2014 CDBG funds.

The National Foreclosure Mitigation Counseling (NFMC) program, which began in 2008, is still providing much needed services to struggling homeowners. This program assisted 1,222 households with one-on-one (1-on-1) counseling in FFY 2014. This assistance consisted of crisis budgeting counseling, loan modification request counseling and short sale process counseling.

The primary objective of all counseling delivered through this program is to avoid foreclosure when possible and to assist the homeowners with the transition process.

The Foreclosure Assistance Help Hotline which processes calls from distressed homeowners processed 5,602 phone calls in FFY 2014. These calls were triaged and distributed to our HUD approved housing counseling partners. These partners facilitate the calls delivering an array of services and assistance to families in need.

ADOH's Foreclosure Assistance Division, which was established in 2010 to enable Arizona to accept Hardest Hit Funds from the U.S. Treasury Department, continues to provide assistance to help Arizonans avoid foreclosure through the Save Our Home AZ (SOHAZ) Program. The SOHAZ program offers principal reduction, under/unemployment mortgage assistance, short sale assistance, and second mortgage settlement assistance. Since the launch of the program in late 2010, nearly 4,000 households have been assisted.

ADOH continues to monitor the progress of this program and make adjustments where possible to ensure that the State makes best use of the funds. During the federal fiscal year, over \$47 million in mortgage foreclosure assistance has been committed to 801 households. Because the foreclosure rate in Arizona has fallen back within a normal range and the number of households holding mortgages with negative equity has fallen as well, ADOH is currently planning to utilize a portion of its Hardest Hit Funding to provide homebuyers assistance in hardest hit areas of the state.

The Rental Division of ADOH led the efforts on the development of new rental units with a variety of resources. These include HOME, Low-Income Housing Tax Credits (LIHTC), NSP, and HTF. This will result in 1,824 multi-family rental units. Of those, 1158 units were preserved through rehabilitation. A portion of the 2014 LIHTC commitments were made in August 2014 and are reported herein with the 2015 LIHTC commitments made in June 2015.

The Special Needs Division of ADOH worked to increase the agency capacity of homeless service providers that assist families and individuals in need and worked to prevent homelessness.

HOPWA monies provided \$230,863 in funds for tenant-based rental assistance, leasing assistance and supportive services. ADOH contracted with three organizations to administer the HOPWA programs which serve five (5) of the thirteen (13) non-entitlement counties.

McKinney-Vento monies provided \$ 4,980,480 to 798 households through thirty-five (35) contracts. Most of this money went for rental assistance while less than five percent (5%) was

directed toward supportive services. Five percent (5%) of the budget is for the Homeless Management Information System and \$22,000 is specifically for planning.

HTF monies provided \$4,075 for homeless conferences and \$620,000 for emergency operating dollars for shelters.

SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS

ADOH obligated \$477,049,664 million in FFY 2014 to affordable housing and community development efforts through multiple resources. The following is a list of those resources.

Community Development Block Grant (CDBG)	\$9,041,460
Neighborhood Stabilization Program (NSP)(HERA and ARRA funds)	\$750,000
HOME Investment Partnerships Program (HOME)	\$8,626,021
Housing Opportunities for Persons with Aids (HOPWA)	\$223,937
Continuum of Care	\$4,980,480
Section 8 Housing Choice Voucher Program (HCVP)	\$1,239,103
Project Based Section 8	\$51,349,528
Low Income Housing Tax Credits – 9%	\$153,703,920
Low Income Housing Tax Credits – 4%	\$20,164,480
State Housing Trust Fund (HTF)	\$5,450,042
Mortgage Revenue Bond (MRB) – Single Family	\$162,201,977
Down Payment Assistance (AzHFA)	\$6,488,079
Mortgage Revenue Bond (MRB) – Multi Family	\$4,100,000
National Mortgage Foreclosure Counseling Program (NFMC)	\$360,600
Save Our Home AZ Program (HHF)	\$47,389,979
Total	\$476,069,646

The Arizona Department of Economic Security (DES) obligated the following resources:

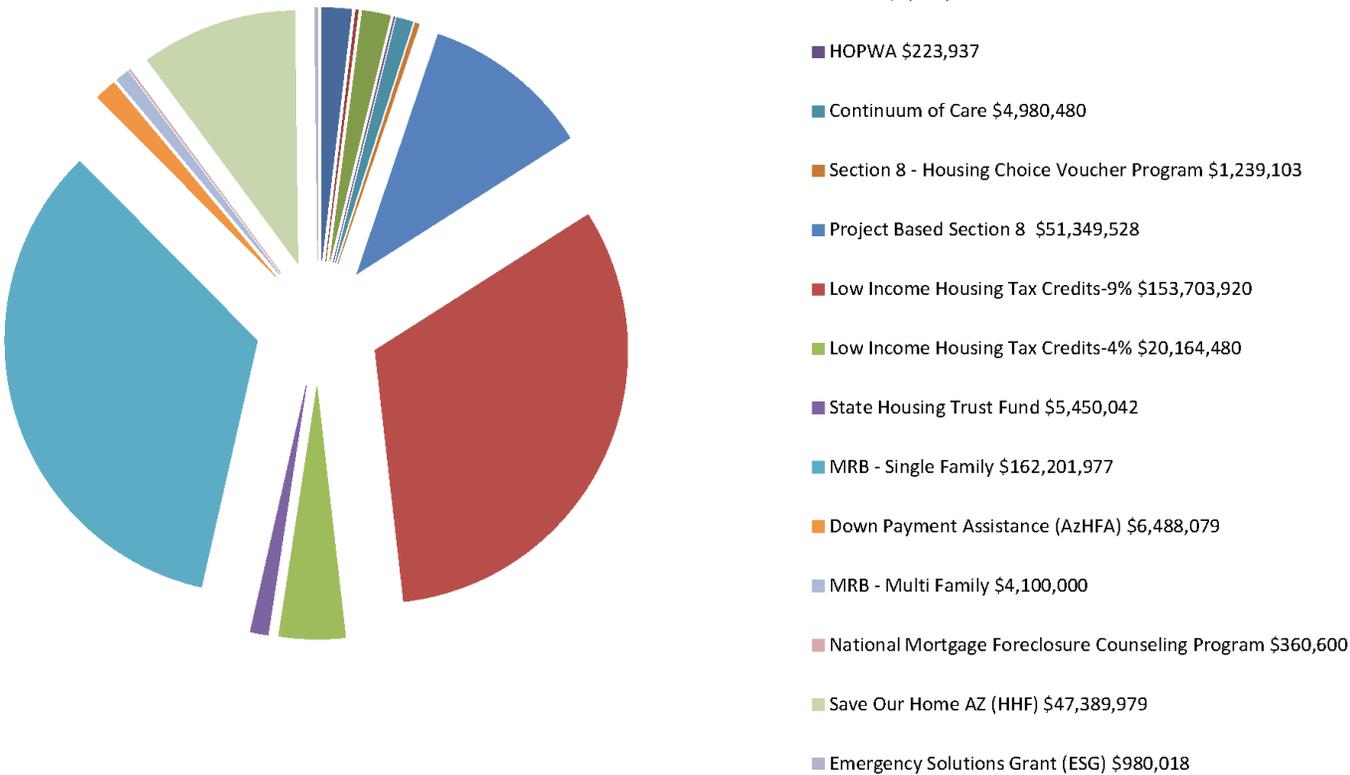
Emergency Solutions Grant (ESG)	\$980,018
GRAND TOTAL	\$477,049,664

Chart 1 below represents the division of ADOH funding by Program.

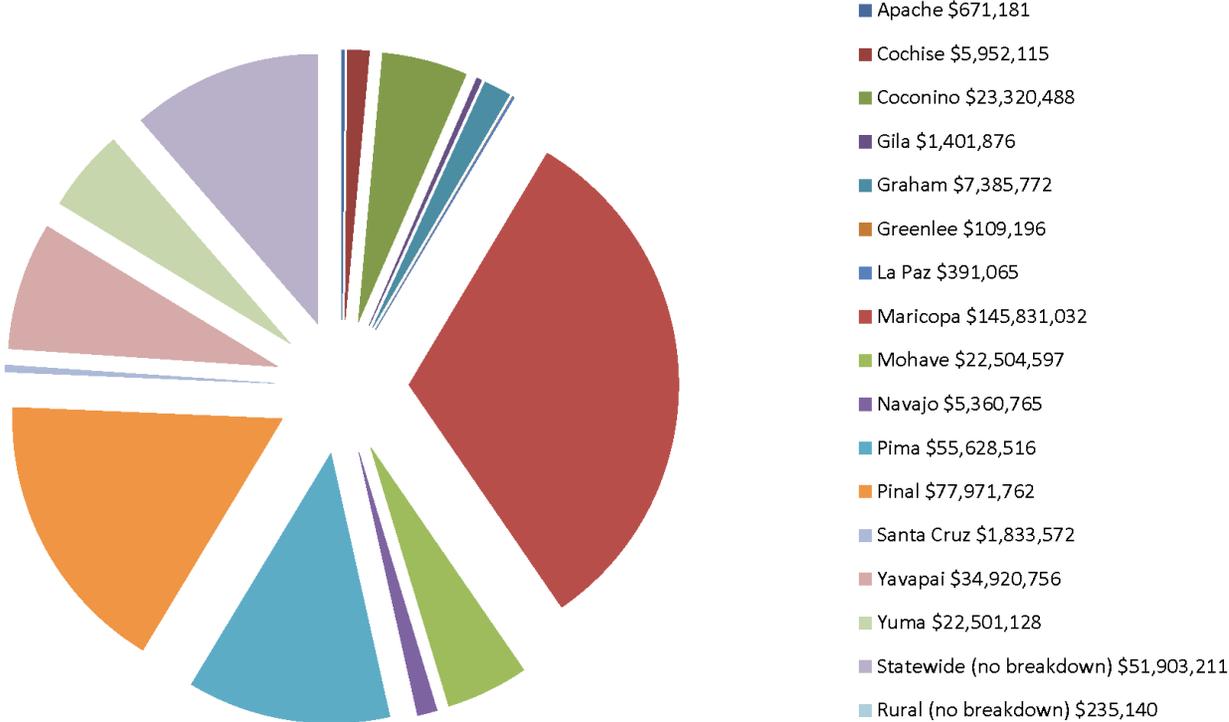
The actual geographic distribution of resources was based on the number and quality of applications received. Geographic distribution of activities is widely varied, but program funds are targeted for low-income persons and often take place in or near a low-income area. The location of an activity largely depends on the type of activity.

Arizona's areas of highest minority household concentration lie along Arizona's border with Mexico and on Arizona's twenty-two (22) Indian reservations. ADOH invests statewide, through its HTF, and its LIHTC allocations. ADOH, however, directs the investment of its formula programs, with the exception of HOME, in the non-entitled areas of the state, those communities that do not receive a direct allocation from HUD. State priorities for formula program allocations are determined through analysis of census data, American Community Survey data, and from other state and national resources. Chart 2 shows the geographic distribution of funding excluding ESG; and Chart 3 shows the geographic distribution of beneficiary households for all programs excluding ESG. Chart 4 shows the geographic distribution of funding for ESG; and finally Chart 5 shows the geographic distribution of beneficiary households for ESG.

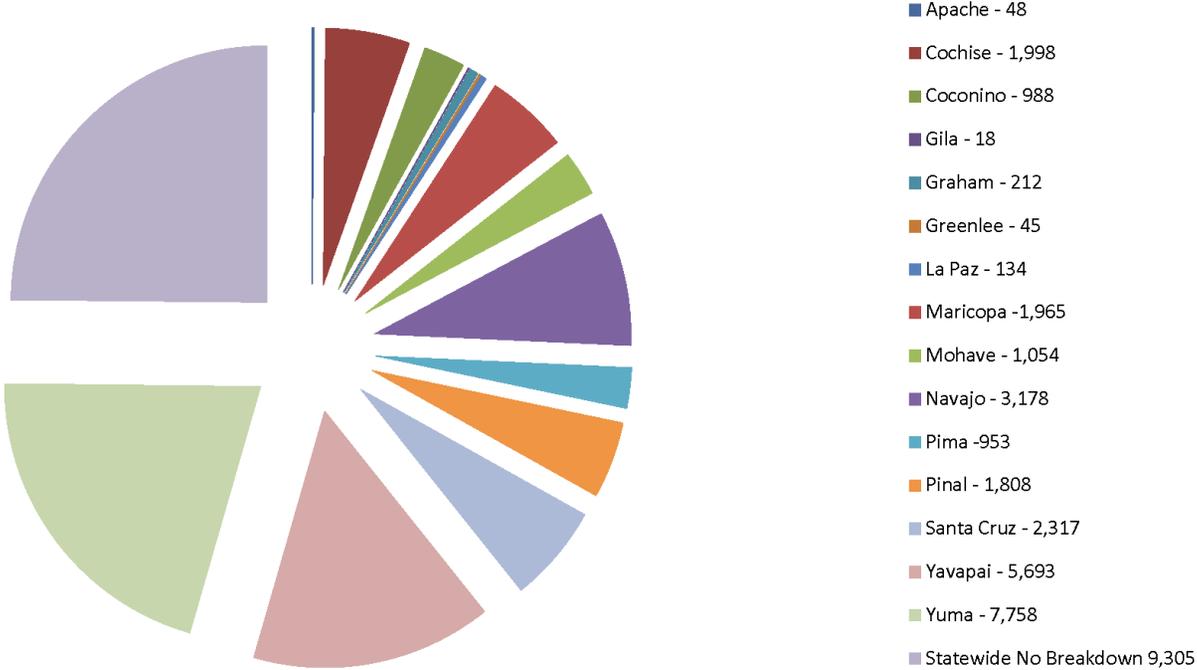
**State of Arizona - Department of Housing
FFY2014 CAPER CHART 1
Distribution of Funding by Program**



State of Arizona - Department of Housing
FFY 2014 CAPER CHART 2
Geographic Distribution of Funding
(for ESG See Chart 4)



**State of Arizona - Department of Housing
FFY2014 CAPER CHART 3
Geographic Distribution of Beneficiary Households
(for ESG See Chart 5)**



**State of Arizona - Department of Housing
FFY2014 CAPER CHART 4
Geographic Distribution of ESG Funding**



State of Arizona - Department of Housing
FFY2014 CAPER CHART 5
Geographic Distribution of Beneficiary Households - ESG



CATEGORY FOUR: COMMUNITY DEVELOPMENT PRIORITIES

Priority 11: Improve infrastructure and physical environments to promote sustainable and accessible communities.

- | | | |
|--------------------------------|---------------|------|
| • Streets, Sidewalks, Drainage | 18,235 people | CDBG |
| • Water/Sewer Improvements | 23,663 people | CDBG |
| • ADA Improvements | 4,126 people | CDBG |
| • Planning | 20,027 people | CDBG |

Priority 12: Ensure a variety of public facilities are available to meet the recreational, human development and service needs of low income households and priority populations.

- | | | |
|---|---------------|------|
| • Senior Center Improvements | 4,480 people | CDBG |
| • Public/Neighborhood Facility Improvements | 17,585 people | CDBG |
| • Job Training Services | 80 people | CDBG |

The state’s annual CAPER for FFY2014 is organized to report accomplishments aligned with performance goals. Exhibit 1 specifically, is organized in compliance with HUD’s performance measurement system, to report proposed units and actual units by activity number, along with income targets met or target population served.

A listing of CDBG, HOME and HTF Projects Completed during FFY 2014 and their beneficiaries are provided as Exhibits 2A, 2B, 3A, 3B, 4A and 4B.

This CAPER is the final report for the Con Plan for federal fiscal years 2010 - 2014. ADOH has submitted and received approval of the Department’s FFY2015 - 2019 Con Plan and 1st Year Action Plan. The new Con Plan and Action Plan reflect today’s economic reality and describe the changes ADOH is making as a result of our experiences.

III. ASSESSMENT OF ANNUAL PROGRESS

AFFIRMATIVELY FURTHERING FAIR HOUSING (AFFH)

Actions Taken to Affirmatively Further Fair Housing

The agency's commitment to affirmatively furthering fair housing was demonstrated on many fronts. ADOH's spring newsletter contained an article on Fair Housing month and displayed a proclamation signed by the Governor declaring April 2015 as Fair Housing Month. The Arizona Fair Housing Partnership, which ADOH sits on the steering committee, held its 16th annual April Symposium. The event was held at the Disabilities Empowerment Center on April 10, 2015 and was entitled **Moving Forward: Removing Housing Barriers for Families and Persons with Disabilities**. The proclamation declaring April as Fair Housing Month for 2015 was displayed at the Symposium. Our keynote speaker was Stephanie Smelnick of HUD, Arizona Field Office Director; a Fair Housing overview was presented by Stanley Silas a Fair Housing Attorney and Darrel Christenson of Arizona Bridge to Independent Living presented on Inclusive Home Design. The day also consisted of two panel discussions: **Group Homes** in the morning and **Familial Status** in the afternoon. Eight (8) professionals sat on the panels discussing the issues at hand.

The agency renewed its contract with Southwest Fair Housing Council (SWFHC) to provide fair housing/fair lending training throughout the State of Arizona; providing at least two (2) workshops per county for housing professionals, two (2) workshops per county for housing consumers and one (1) fair housing training for The Arizona Department of Housing staff. The contract also provides two (2) presentations at other agency, city, county and continuum of care meetings. SWFHC also stocks and maintains distribution sites in each of the thirteen (13) Balance of State counties for the distribution of fair housing literature and they use the media (radio, television, print ads, Internet and PSAs) to make consumers aware of fair housing laws and trainings throughout Arizona. In the last fiscal year, SWFHC has provided 202 trainings throughout the state of Arizona.

The Community Development and Revitalization division of ADOH requires each recipient of CDBG funding to offer at least three (3) opportunities per year to further fair housing. Those opportunities include an annual adoption of a fair housing resolution or proclamation and displaying fair housing posters in public areas of the community's administration building and offices. Other fair housing activities that communities participated in for fair housing compliance included the distribution of fair housing brochures; the sponsoring of a fair housing poster contest, an essay or poetry contest in the local schools; encouraging the media to promote

fair housing awareness with public service announcements; hosting of an annual fair housing meeting or forum; conducting a community wide fair housing opinion survey; and encouraging civic organizations to invite speakers to talk about fair housing. ADOH monitors for fair housing compliance on all recipients and applicants of CDBG and HOME funding.

Summary of impediment to fair housing choice.

The agency's Analysis of Impediments to Fair Housing Choice was updated in the spring of 2015. The impediments identified are:

1. Illegal housing discrimination continues to occur in Arizona. Although ADOH has no authority in the area of fair housing enforcement, ADOH will continue to address this impediment through statewide fair housing education and outreach;
2. Housing consumers continue to be largely unaware of their rights under the Fair Housing Act and the resources available to help them understand and exercise those rights;
3. Housing providers and entities that assist people with housing related issues do not adequately understand rights and responsibilities under the Fair Housing Act. They also do not know how to identify fair housing violations or where to refer people whose fair housing rights may have been violated;
4. NIMBY (Not in My Backyard) attitudes are an impediment to fair housing choice;
5. Lack of affordable housing throughout the state has a greater impact on classes protected by the Fair Housing Act;
6. On-going data gathering from CDBG sub-recipients will need to improve to meet evolving AI requirements;
7. The foreclosure crisis had a disproportionate impact on racial and ethnic minorities protected by the Fair Housing Act. Areas of racial and ethnic concentrations have not rebounded as strongly as predominately white and integrated neighborhoods during the subsequent and ongoing real estate recovery. Furthermore, banks have failed to maintain REO properties in minority neighborhoods when compared to similar homes in predominately white neighborhoods; and
8. Arizona demographics vary greatly by region making it necessary to look at fair housing issues through a regional perspective. Differences in income, racial and ethnic concentrations of poverty and age vary greatly across the state, making it difficult to create "one (1) size fits all" policies and programs to AFFH in Arizona. Regional strategies to affirmatively further fair housing in Arizona need to be developed.

Action identified to be taken to overcome effects of impediments.

ADOH has no fair housing enforcement capacity. The State of Arizona Attorney General's Office has this responsibility. Therefore, the identification of impediments to fair housing choice and Plan of Action are limited to those areas that are within ADOH's jurisdiction. However, within the parameters that ADOH operates, it will continue to have a significant impact in improving fair housing choice in Arizona.

AFFORDABLE HOUSING

Performance Measurements

A comparison of quantifiable goals of proposed actions to actual production/people served, including income targets during the reporting period is provided in Exhibit 1: FFY 2014 Summary Objectives, in the HUD performance measures format.

HOMELESSNESS PREVENTION

Continuum of Care: FFY 2014 Activities

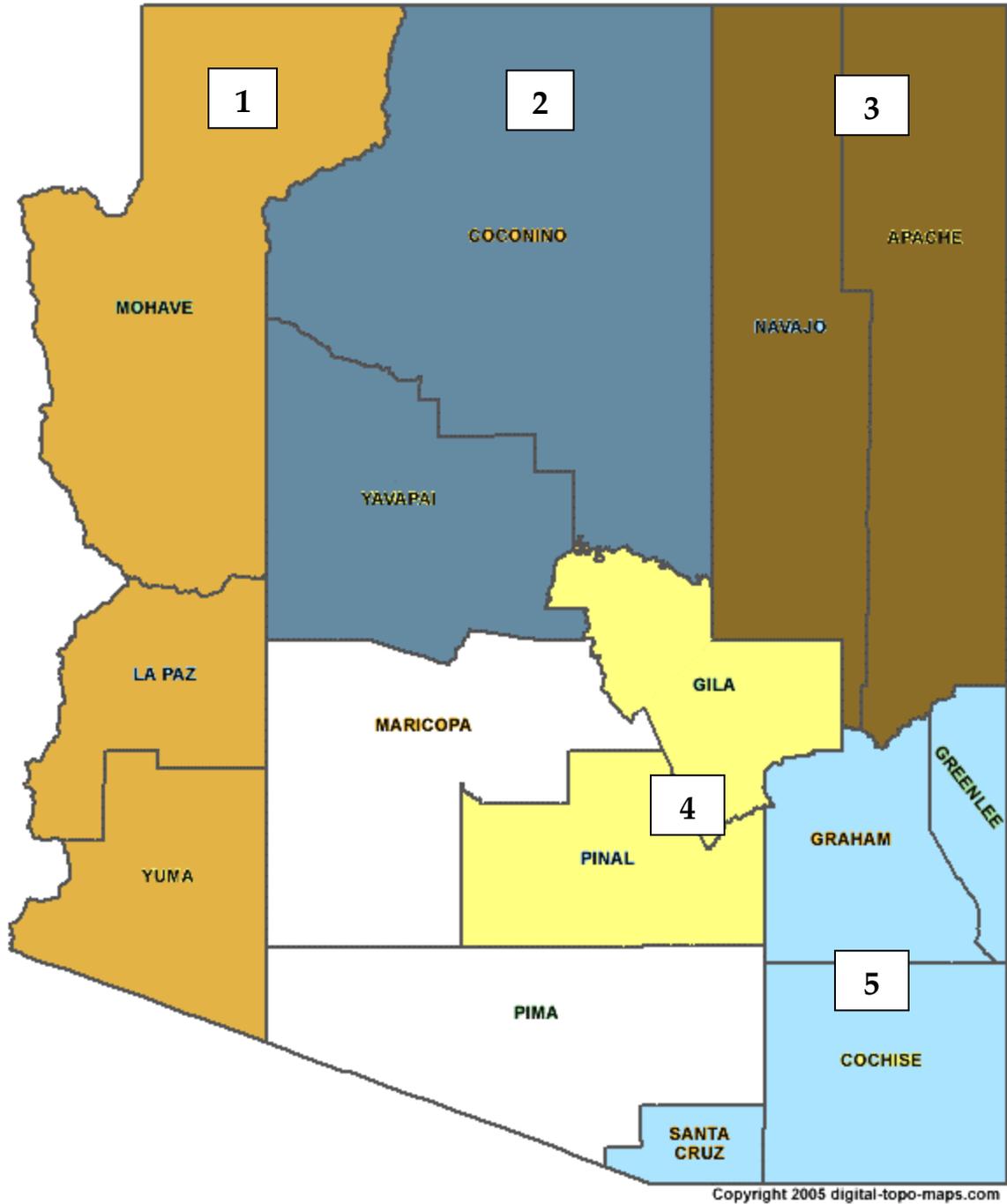
In order to prevent homelessness for Arizonan's and increase capacity for supportive service providers, the ADOH coordinates its efforts with the three (3) Arizona Continua of Care (COC's). It is the lead agency for the Balance of State Continuum of Care (BOSCO) and internally this responsibility lies with the Special Needs Division. The BOSCO covers thirteen (13) of the fifteen (15) counties in Arizona. The two (2) other COC's are: Phoenix/Mesa/Maricopa County and Tucson/Pima County.

The Continuum of Care process is required by the HUD to enable localities to apply to the federal government for McKinney-Vento Homeless Assistance Act competitive grant programs. Ideally, this process brings together local governments, community businesses, faith-based organizations, non-profits, current and/or formerly homeless persons to develop local solutions to end homelessness. On an annual basis, the agency applies to HUD through the competitive process for funding for projects and programs that have been identified as priority needs through the Continuum process. FOR the BOSCO, ADOH then acts as the administering agency for the grants that are passed through to the participating sub-recipients. For the last several years, all projects have been renewed on an annual basis and one (1) or two (2) new Supportive Permanent Housing projects a year have fortunately been funded. This year, we added a Rapid Re-Housing project funded in through 2012 COC funding in the amount of \$37,194 in Coconino County and a Permanent Supportive Housing Project funded through 2013 reallocated funding in the amount of \$61,869 administered in the counties of Coconino and

Yavapai. The Permanent Supportive Housing Project in Coconino and Yavapai Counties focuses on those individuals and families that are experiencing chronic homelessness ADOH has been very successful in securing millions of dollars in funding for transitional and permanent housing programs using the Continuum of Care system. All thirty-five (35) projects involving ADOH, through two (2) COC's in Arizona, totaled over \$4.5 million.

Arizona has developed its Continuum of Care Application process over the years and in the spring of 2010 put into motion an organizational change. The thirteen (13) counties that make up the geographic area for the BOSCOG were divided into five (5) regions. (See map below.) Meetings are conducted quarterly, three (3) of them in each region and the fourth meeting of the year to be a statewide meeting held in conjunction with the Arizona Coalition to End Homelessness Conference held every fall, usually in October. HMIS training is conducted simultaneously at all of the regional meetings. It provides data entry operators the opportunity to understand the overall picture of how HMIS fits into the COC process and likewise for administrators to understand how HMIS is a two (2) way street and examine what information can be gleaned for the improvement of BOSCOG programs. Outside of the regional meetings, additional HMIS training was provided to all agencies to demonstrate running reports, confirming housing inventory and to ensure that all users understood the new HUD data standards. Specialized training on Coordinated Entry initially took place in August of 2014 in all five (5) regions and follow up training on entering potential clients into the HMIS Coordinated Entry Project with their VI-SPDAT score was conducted in every region in March of 2015.

BALANCE OF STATE CONTINUUM OF CARE REGIONS



The BOSCOG consisted of the following components:

1. **Identification of needs, existing resources and gaps** addressed in the HUD application. This data was gathered through several sources, including: local network and continuum meetings held in each of the BOS counties; data collected by all agencies participating in the COC process; consultation with municipal, county and service providers regarding needs in their respective geographic areas; and use of various databases compiled on a regular basis by ADOH and other state agencies. The 2014 HUD NOFA included funding for a bonus project and the BOSCOG submitted a proposal which did not receive an award of funding.
2. **Request for Proposal was issued September 29, 2014** asking for proposals for a scattered site Permanent Supportive Housing project only for persons who met the criteria of chronically homeless. It needed to include a description of project design; estimated budget; match and leverage requirements; needs and gaps data relating to the proposed population to be served; and evidence of using a housing first model. Also needed was demonstration that the project included Medicaid financed services, including case management, tenancy supports, behavioral health services or other services important to supporting housing stability.
3. **Technical Assistance** to project sub-recipients was provided by state staff, including representatives of ADOH, DES and other state agencies as needed. The technical assistance focused on assisting sponsors to refine preliminary proposals to conform to HUD requirements, to firm up budget estimates, to identify sufficient match funds and to prepare successful proposals for the HUD Application.
4. **Final Proposals** were submitted by two (2) project sub-recipients in the format required for the HUD Continuum of Care Application. A panel of three (3) people reviewed the proposals. Only one (1) project could be put forth and one (1) was included in the final COC submission.
5. **Ranking of Projects in Priority Order:** A statewide ranking committee which consisted of persons appointed by the BOSCOG chairperson from areas not competing for the bonus project reviewed and ranked all projects. Meeting threshold included taking into consideration all the following factors:
 - housing experience;
 - conformance with the needs and gaps identified in the Homeless CoC developed as part of the application;
 - likelihood of the project having significant impact on the identified gap in the affected geographic target area;
 - avoidance of duplication of effort; and
 - matching funds being made available by sponsoring agencies.

- **Matching Fund Requirements:** All projects have to have twenty-five percent (25%) match; rental projects on the total and leasing projects on services and administration only. Project sub-recipients provided leverage, usually in support services or other qualifying in kind donations.
- Project sub-recipients or their subcontractors perform program administration for all HUD-approved projects. ADOH acted as the HUD Grantee for the overall program and was responsible for project monitoring, drawing of HUD funds, submission of all HUD reports and overall program evaluation. State contracts were offered to all sub-recipients receiving HUD approval for their projects and were administered by ADOH.

OTHER RESOURCES

HOPWA, Veterans Affairs Supportive Housing (VASH), Section 8 Housing Choice Voucher Program (Section 8 or HCVP) and ESG are allocated to housing and services which prevent homelessness.

Other activities regarding efforts to end homelessness for all Arizonans, ADOH financially supported the Valley of the Sun United Way (VSUW) efforts on their campaign in Ending Chronic Homelessness through Permanent Supportive Housing. VSUW, working closely with the Corporation for Supportive Housing, conducted "Opening New Doors Supportive Housing Institute". They provided over eighty (80) hours of training to three (3) non-profit agencies that are proposing development of supportive and affordable housing targeting homeless individuals and families. This is an ongoing program.

Arizona Commission on Homelessness and Housing

In January of 2010, Governor Janice K. Brewer created the Governor's Arizona Commission on Homelessness and Housing (ACHH) through an Executive Order continuing the previous State Interagency and Community Council on Homelessness (ICCH).

The ACHH was chaired by Governor Brewer. In her absence, the designated co-chairs were Clarence Carter, Director of DES and Michael Traylor, Director of ADOH.

The purpose of the ACHH is two (2) fold. It serves as the statewide homelessness planning and policy development resource for the Governor and the State of Arizona and oversees the implementation and progress of the State Plan to Prevent and End Homelessness. A State Plan to End Homelessness was completed and adopted by the previous Commission in 2005. The statewide plan was revised to align with the objectives of the United States Interagency Council on Homelessness (USICH) Plan that was introduced in 2010. Under the new and expanded

Commission on Homelessness and Housing, the focus has shifted to implementation of the plan.

Currently the ACHH is awaiting a new Executive Order to be signed by Governor Ducey.

OTHER ACTIONS

Address Obstacles to Meeting Underserved Needs

ADOH convened the Arizona Housing Forum in Tucson in September of 2014. The Department was pleased with the 300+ participants attending the Forum in light of stressful economic times. It proves that the Housing Forum has become an annual priority for many stakeholders. The Housing Forum provides an opportunity to share in best practice development, community revitalization and neighborhood stability.

ADOH offers rental housing compliance training twice annually for current and future property owners and managers. This training is offered in Phoenix in the spring and in Tucson in the fall. Each session was at maximum capacity for the facility. In conjunction with the ADOH Compliance Division, it is facilitated by well-known national trainers who are experts in the subject of tax credits and can offer national designations in the field.

Foster and Maintain Affordable Housing

In FFY2014 ADOH administered over \$52.5 million in rental subsidy payments, assisting 8,303 households of low and extremely low-income Arizonans with their monthly rent. The rent subsidy dollars administered consisted of ninety-four percent (94%) federal dollars and eighty-two percent (82%) of those funds were associated with federally financed rental properties holding long-term housing assistance payment contract. Generally, when households are assisted with public monies, they are expected to contribute thirty percent (30%) of their income with the subsidy covering the difference between what the household can afford and a reasonable, modest rent payment.

Over \$4 million in state and federal funding was spent on housing rehabilitation programs in FFY 2014. This helped extend the life of affordable properties as well as ensure safe, decent living environments for some of Arizona's poorest homeowners.

Eliminate Barriers to Affordable Housing

When formulating strategies to address the barriers to affordable housing, a range of interrelated issues must be considered including infrastructure, jobs, transportation, and quality of life. Capacity in rural communities to address affordable housing needs is limited by access

to funding for planning and implementation, availability of qualified organizations and human resources, and lack of economies of scale for project development.

ADOH provided professional education and technical assistance to local governments to address barriers to housing affordability. ADOH staff travel throughout the state to provide technical assistance and on-site training upon request or as targeted according to need.

Developing projects and obtaining grant funding is most successfully accomplished by partnerships between local governments and for-profit or non-profit service providers. Development activity has increased due to improvements in the economy but the extent of the economic recovery is disproportionately less in rural communities. Historically, poverty in sparsely populated areas is higher than elsewhere.

ADOH has invested funds to increase capacity of housing providers in the distressed areas of the state and address the needs of Arizona's low-income population. Funding the rehabilitation of owner-occupied housing units and rental subsidies for households provided decent and safe living environments for low-income families. Although much progress has been made in the past five years, much remains to be done — both in the housing arena and in the array of other factors that affect a family's housing decisions and abilities. Most of the barriers to affordable housing in rural Arizona are not imposed by public policies implemented by the local jurisdiction, although much of the work that must be done to provide more affordable housing must occur at the local level. The main barriers to affordable housing are the lack of decent housing stock, a preponderance of single-family housing, often on large lots or acreage, lack of employment or lower-wage employment, limited private land and high cost infrastructure. Another barrier to affordable housing is the manner in which HUD administers the Housing Choice Vouchers program, which does not pay Housing Authorities (especially smaller ones) the full cost of providing rental assistance. In some cases available Housing Choice Vouchers cannot be used because using them is cost prohibitive to the Housing Authority.

Overcome Gaps in Institutional Structures

- ADOH worked in conjunction with four (4) COGs to develop a plan for the targeting and distribution of funds throughout the state.
- ADOH staff worked collaboratively with local contracting organizations to remove gaps in the delivery system to bring to fruition the successful completion of projects.
- ADOH provided additional direct technical assistance on the formation and establishment of non-profit organizations to carry out housing development.
- ADOH continued to make accommodations in its annual Qualified Allocation Plan (QAP for the LIHTC Program) to better address and target priority populations. The QAP for the 2015 Round, for which applications were due March 2015 and were announced in June

2015, established set-aside categories for two (2) Permanent Supportive Housing Projects: each project with a minimum of thirty (30) units set aside for chronically homeless individuals with a preference for veterans. In addition, the 2015 QAP included set-asides for two (2) Balance of State Area projects and one (1) Tribal project.

Improve Public Housing and Resident Initiatives

The Arizona Public Housing Authority (PHA) neither owns nor manages public housing. The PHA administers 149 Section 8 Housing Choice Vouchers for Yavapai County and is a Section 8 project based contractor for HUD on another 115 properties consisting of 8,083 units located throughout the state. In addition, at any one (1) time, the PHA administers approximately one hundred (100) “portable” Housing Choice Vouchers in Yavapai County. The PHA continued to work through HUD, to keep property owners from opting out by being responsive to questions and by assisting in the assessment of property eligibility for either an Operating Cost Adjustment Factor (OCAF), Budget Based rent increase or new twenty (20) year Housing Assistance Payment contract renewal.

Evaluate and Reduce Lead Based Paint Hazards

ADOH continues to play a leadership role in the evaluation and reduction of the number of lead-based paint hazards in order to increase the inventory of lead-safe housing available to low and moderate income families.

Current regulations required sub-recipients to ensure occupants; homeowners and homebuyers were notified of the hazards of lead-based paint. Additionally, sub-recipients must:

- conduct a visual assessment, paint testing or risk assessment, depending on the activity; and
- conduct lead hazard reduction activities, including paint stabilization, interim controls, standard treatments or abatement, depending on the requirements for the activity type as identified in 24 CFR Part 35.

Projects funded with HTF are not required to comply with the Federal LBP regulation at 24 CFR Part 35. However, ADOH policy follows these regulations for projects funded with federal funds or HTF.

Rental property owners are required to have a paint maintenance policy that ensures regular (at least once annually) visual assessment and repair/maintenance of painted surfaces using Safe Work Practices. In addition ADOH CD&R staff monitored all contracts to ensure they have a written plan that follows standard operating procedures for lead safe practices. Through their

monitoring of assisted units and beneficiary file documentation, no issues of non-compliance with Federal Lead based Paint regulations were found in FY2012.

Compliance and Monitoring

Procedures for CDBG monitoring are described in the State of Arizona Community Development Block Grant Program Grant Administration Handbook (Rev. August 2014) published by ADOH. The handbook is available for download from the ADOH website to all CDBG eligible units of government and is updated as necessary. Chapter 6 of the handbook describes the monitoring process, which consists of both desk and on-site monitoring; it also contains copies of all “desk” (in-office) and on-site monitoring forms used by program staff. Thus, applicants and grantees know beforehand what they will be monitored on.

In addition, ADOH has prepared and provided to all grantees handbooks covering crosscutting federal requirements such as environmental review; labor standards; and procurement, contracting and acquisition. These handbooks contain detailed information along with sample documents, file checklists and forms that grantees may use to help ensure administration of a compliant program.

Staff conducts desk monitoring during all phases of each project based on a combination of a risk analysis and random sample. Documented desk monitoring includes a detailed application review including national objectives, eligible activities, cost reasonableness, anti-speculation, citizen and public participation and certifications; requests for payment; environmental review; procurement and contracting; acquisition; construction bids/contracts; labor standards including weekly payrolls and employee interviews; Section 3; housing rehabilitation guidelines; homeownership assistance guidelines; and sub-recipient agreements, closeout reports and audits. The nature of the activities undertaken by grantees determines which documents must be submitted for desk monitoring. After desk monitoring has been completed, the grantee is notified, in writing, as to whether the items reviewed were compliant or what corrective actions are needed with a date for such to be completed.

Staff also conducts on-site monitoring visits. Each community is monitored at least once during the contract period but often due to project complexity they are monitored twice; once during construction and again at close-out. During on-site monitoring the project site(s) is/are visited to ensure consistency with the information in the application and contract. Additionally, a sample of files is reviewed. Checklists are provided to all grantees to ensure communities maintain all required documents and the on-site monitoring proceeds efficiently. Grantees are always notified in writing in advance of a monitoring visit; it is scheduled at a mutually convenient time. All monitoring visits result in a report that identifies the items reviewed, any concerns and findings, and a response date if necessary. Response dates for both desk and on-

site monitoring are tracked through Housing Development Software (HDS). Lack of timely, appropriate responses can result in a withholding of funds, an inability to apply for future funds or a grant termination following appropriate notification and due process procedures. Responses are reviewed for completeness and, if necessary, an additional correspondence is exchanged until all issues are resolved.

Reduce the Number of Persons Living Below the Poverty Level

There are a number of programs that help the working poor, unemployed persons, homeless persons and other at risk low income persons living in poverty.

The approach of ADOH was to:

- increase the supply and availability of decent, safe and affordable housing necessary for low-income families to live healthy, productive lives;
- increase the effectiveness of existing programs through better collaboration, reduced duplication of services and increased efficiency of implementation; and
- utilize existing partnerships with the following state agencies to assist Arizonans:
 - DES;
 - ADHS/DBHS;
 - Department of Corrections;
 - Governor's Office on Youth, Children and Families;
 - Department of Veterans Affairs.

For the FFY 2014 reporting year this was accomplished by:

- Creating opportunities for low-income families to stabilize their housing costs and build wealth through the down payment assistance for 1,050 households to purchase a home.
- Funding LIHTC projects that will construct or rehabilitate 1,523 units.
- Funding the rehabilitation of 220 owner-occupied housing units.
- Provided decent and safe living environments for approximately 9,251 low income households through rental subsidies.
- Providing rental subsidies for 594 special need households.
- Aiding 13,601 persons with ESG funding to be in a shelter or assist with rent to avoid becoming homeless.
- Worked with ADHS/DBHS on a Bridge Rental Subsidy Program to increase housing stock by 200 permanent housing units which links individuals with serious mental illness eventually to housing choice vouchers administered by local Public Housing Authorities. Due to decreased rents this program has exceeded original expectations in the number of people it assisted with subsidies in FFY2014.

LEVERAGING RESOURCES

Identify Progress in Obtaining Public and Private Resources That Address Needs Identified in the Plan

Arizona continues to be successful leveraging its resources. HTF is a vital resource which provides leverage to HUD CPD formula grant funding. HOME match, for example, has been met through HTF dollars directed toward the down payment and closing cost assistance program. HTF and HOME are used to provide gap financing for multifamily development, including the LIHTC and Bond financed programs. Moreover, the collaborative efforts of DES, ADHS, the Department of Corrections and partners in the Balance of State COC leveraged their finite resources with ADOH to provide quality housing and sustainable communities throughout Arizona. SPC requires a dollar for dollar match and this is always exceeded by providers as well as the match requirement for the Supportive Housing Programs.

CITIZEN COMMENT

ADOH invites public comments on all planning and reporting activities. ADOH kept the public informed via the Department's website at: <http://www.azhousing.gov>. ADOH also electronically distributed four (4) newsletters last fiscal year. Finally, Information Bulletins were electronically sent our non-profit, for-profit, unit of local government, tribal and public partners to both inform and garner feedback from the public.

The *Solicitation for Comments* for the 2014 CAPER which will be submitted to HUD in September of 2015 was publicized on August 24, 2015 in five (5) newspapers, four (4) of them in rural Arizona. These newspapers were the Arizona Republic; Arizona Daily Star in Tucson, Arizona; Kingman Daily Minor; Yuma Sun; and the Arizona Daily Sun in Flagstaff, Arizona. The draft CAPER was posted on the publications page of the ADOH website. No comments were received.

SELF EVALUATION

Are the activities and strategies making an impact on identified needs?

The activities and strategies described in the Con Plan continued to make a positive impact on identified needs. Investment allocation decisions focused on activities that closed funding gaps and

- Supported activities in rural areas that had limited funding opportunities;
- Supported projects where the need for funds and the demand for the project design were demonstrated;

- Supported projects identified as high priorities in the Consolidated Plan; and
- Invested in areas in decline and those with a disproportionate concentration of low-income and minority populations.

ADOH's programs facilitated increased affordable housing opportunities and improved community services across the state. These accomplishments were made across all programs. More specifically:

- LIHTC, HTF, NSP and HOME funds provided 1,824 additional housing units targeted at low-income populations.
- CDBG program assisted 69,361 households and met community needs in rural areas.
- The Arizona Housing Finance Authority (AzHFA) assisted 1,050 households in achieving home ownership.

What Indicators Would Best Describe The Results?

The indicators that best provided the results of what has been accomplished is the overall number of completed construction, rehabilitation, community development, down payment and closing cost assistance and loan activities. This information is described in Exhibit 1: FFY 2014 Summary of Objectives.

What Barriers May Have a Negative Impact on Fulfilling the Strategies and the Overall Vision?

The economic recession has created a barrier to achieving strategies. Job losses have directly increased homelessness due to loss of income and have contributed to a decrease in wealth overall due to losses in home equity. Revenue losses have decreased involvement by local government in CDBG service delivery due to staff and program losses. Lack of capacity in rural areas of Arizona inhibits the implementation of projects and services. Capacity issues have been compounded by staff turnovers which are related to job losses by a spouse or other factors requiring relocation.

Developing projects and obtaining grant funding is most successfully accomplished by partnerships between local governments and for-profit and non-profit service providers. In economically distressed rural areas, local governments may not have sufficient staff to do the work necessary to develop projects and obtain grant funding. The problem is further compounded in these areas by the lack of non-profit housing service providers, the lack of design professionals and the lack of contractors to implement projects. ADOH continues to provide technical assistance in response to these needs.

Incentivizing participation in affordable housing programs continued to be a challenging goal for the Arizona Department of Housing. ADOH provided a longer time for applicants to prepare submittals for its 2014 HOME NOFA so application submittals could be more complete and projects shovel ready. These incentives resulted in a higher quality of applications in the pool.

What is the Status of Grant Programs?

ADOH grant programs are resulting in the production of housing and related services in accordance with the Action Plan. Demand for CDBG, ESG, HOME, HOPWA continues to exceed availability. Reductions of the HTF to \$2.5 million have caused the elimination of programs. ADOH, however, aggressively managed its portfolio to insure that funds were leveraged and that the state's affordable housing needs were addressed; ensuring geographic distribution and ensuring sustainability through underwriting criteria. ADOH has traditionally used the HTF to provide the match requirements for HOME. In addition, it is a source used for gap financing for rental projects, including the LIHTC program. The LIHTC program continues to be a very effective means to produce affordable rental housing units. The complexity of financing needs, combined with the Department's commitment to ensure viable, long term affordability resulted in more thorough contract negotiations when investing HOME and HTF dollars.

Emergency Solutions Grant (ESG) continued to play a vital role in providing prevention services and as a first line of defense in reducing the number of people who are living on the streets. The annual report on homelessness in Arizona, "Current Status of Homelessness in Arizona", produced by the DES through its Homeless Coordination Office provides an in depth analysis of conditions and resources directed toward the prevention and alleviation of homelessness.

Are Any Activities or Types of Activities Falling Behind Schedule?

ADOH implemented new policies to enhance project readiness. NOFA's for HOME funds required environmental reviews to be completed at the time of application. CDBG contract awards are now contingent upon completion of an Environmental Review. ADOH provides technical assistance as necessary to prevent projects from falling behind schedule. ADOH continued to encourage better planning and strategic use of finite resources. ADOH's community partners worked diligently to complete projects on time and were for the most part successful.

Are Grant Disbursements Timely?

Contract obligations and disbursements were in alignment with HUD's timeliness requirements for all programs covered under this CAPER including CDBG, HOME, ESG, HOPWA, NSP 1 and NSP 3. ADOH has maintained a goal to approve and award contracts within ninety (90) days of application and met all commitment and disbursement deadlines.

What Adjustments or Improvements to Strategies and Activities Might Meet Your Needs More Effectively?

Continuing to incorporate incentives for project readiness will help ADOH meet needs more effectively. In conjunction with the MRB, MCC and NSP 1 programs, AzHFA provided loans for homebuyer assistance to 1,050 Arizona families.

In order to address the needs and manage finite resources, the Department's application, award and evaluation process for projects identified for the State Housing Fund (HOME and HTF), submitted through the State Housing Fund application, or submitted through the QAP Gap Financing application, was competitive. Applications for ESG continued to be let through a bid system, with an emphasis on criteria for capacity and outreach. Applications for CDBG funds continued to follow the regional account method of distribution detailed in the Con Plan FFY 2015-2019; competition for CDBG SSP funds became more stringent with regard to requirements in the application process. Incentives for meeting the priorities and objectives established in the State's Con Plan were enhanced through the scoring systems outlined in each application guide or plan.

The continuing efforts to create more partnerships at the local level should create opportunities to leverage finite resources. Extending planning beyond traditional community borders may be one (1) way to promote innovation while encouraging smarter growth strategies. ADOH continued to provide incentives for regional planning and planning for community revitalization in its application guidelines.

PROJECTS COMPLETED IN FFY 2014

As seen in the Section IV, ADOH invested in projects that reflect the state's commitment to sustainability, affordability and suitability. Please also refer to Exhibits 2A, 2B, 2C, 3A, 3B, 3C, 4A, 4B and 4C for complete lists of projects completed with CDBG, HOME and HTF funds.

IV. PROGRAM NARRATIVE

COMMUNITY DEVELOPMENT BLOCK GRANT

CDBG activities in FFY 2014 continued to address the needs and implement the objectives and priorities set forth in the State's 2010 - 2014 Con Plan for affordable housing and for non-housing community development needs. To that end, ADOH provided grants, and technical assistance for developing projects which provided decent and hazard-free affordable housing, increased access to safe drinking water, provided proper disposal of household wastewater and provided access to community-needed services in local facilities. All of these activities were provided for low/mod income persons improving their quality of life in rural Arizona communities. The Department's investment of funds into CDBG assisted activities did not trigger one (1) for one (1) replacement requirements and did not cause any relocation or displacement issues. Additionally, no publicly assisted housing units were demolished.

In support of the state's community development priorities, the CDBG Program:

- Improved infrastructure and physical environments to promote sustainable and accessible communities.
 - \$2,937,529 obligated to Streets, Sidewalks, or Drainage Improvements (twelve (12) projects).
 - \$2,500,400 obligated to Potable Water Delivery/Wastewater Improvements (five (5) projects).
- Ensured a variety of public facilities were available to meet the recreation, human development and service needs of low income households and priority populations.
 - \$371,768 obligated to Neighborhood Facility Improvements (three (3) projects).
 - \$649,697 obligated to ADA Improvements (three (3) projects).
 - \$207,589 obligated to public services activities (one (1) project)
- Continued to support a mix of rehabilitation, conversion and construction activities that preserved and increased affordable housing, both for renters and owners.
 - \$3,374,477 obligated for owner-occupied home rehabilitation (seventeen (17) programs).
- Developed and implemented strategies which facilitated the coordination of CDBG funding with other federal/state/local community development resources.
 - \$80,000 obligated to other Technical Assistance activities (four (4) projects).
 - ADOH is involved on a continual basis in numerous planning efforts with other state departments; local, regional and county governments; housing and social service providers; businesses and citizens.

CDBG Eligible Applicants

The State CDBG program made funds available to eligible units of local government, including cities, towns and counties in rural areas. For purposes of this program, rural areas are those located outside of entitlement jurisdictions (those that receive CDBG funds directly from HUD). Entitlement jurisdictions in Arizona include all tribal lands, Maricopa and Pima Counties and the cities of Douglas, Flagstaff, Prescott, Sierra Vista and Yuma. Beginning with FFY2015, the community of Casa Grande will no longer participate in the State program as they have chosen to become an entitlement community.

State Method of Distribution

The total amount of CDBG funds through the FFY 2014 allocation was \$9,146,952. Federal Law allows the State of Arizona to retain two percent (2%), (\$182,939) plus \$100,000 of its annual CDBG allocation, for program administration. ADOH provided a non-federal match for the two percent (2%) required. The match was documented at the same time that CDBG funds were drawn down for the administrative expenditures of the state above \$100,000. In addition, Federal Law allows the State of Arizona to retain one percent (1%), (\$91,470), for technical assistance funding. The \$9,146,952 in federal CDBG project resources available to the state was distributed as follows:

Grantee Funds – \$8,772,543

- Regional Account (RA) \$6,679,171
- SSP Account (SSP) \$1,178,677
- Colonia Set-Aside (10% of allocation) \$914,695

ADOH Administration – \$557,348

- Technical Assistance \$91,470
- State program administration \$282,939
- 2% administration match \$182,939 (contributed by ADOH)

ADOH provided the required non-federal match funds from two (2) sources. These included “hard” match funds (i.e. state funds used to pay salaries and other operating expenses of the CDBG program) and “in-kind” or “soft” match funds which represented staff time devoted to the CDBG program by individuals whose salaries are paid from non-federal sources. These individuals, who included those located in the Information Technology Division, Personnel, Procurement, the front desk of the Department and Assistant Deputy Directors, all track their time on departmental time sheets.

CDBG Eligible Activities

Eligible activities are generally found in Section 105 of the HUD Act of 1974, as amended and 24 CFR Part 570.482, as amended. CDBG funds were used for a wide range of activities. Activities eligible for funding under this Action Plan fell under these main funding categories of:

- Housing (both owner-occupied and rental rehabilitation);
- Public improvements (water, wastewater, streets);
- Public facilities (community/senior/youth centers, removal of architectural barriers);
- Public services (e.g. healthcare, and transportation); and
- Planning.

Funding allocations for the Regional Account (RA) and the State Special Project (SSP) competitive funding round distributed by the state CDBG program were:

CDBG Regional Account

The CDBG RA consists of eighty-five percent (85%) of the state allocation from HUD. The RA is distributed on a non-competitive basis to the non-entitlement non-metropolitan cities, towns and counties in Arizona. Distribution of State CDBG funds was accomplished through an allocation system. Each non-metropolitan Council of Government (COG) region created a Regional Council approved Method of Distribution (MOD), which was submitted to ADOH for review. The MOD contained a multi-year schedule indicating how CDBG allocations were to be distributed such that all eligible communities within each respective COG region received funding. ADOH has the final authority to determine the MOD for the state. The MOD approved by ADOH was included in the Annual update of the Consolidated Plan, which is subject to a citizen participation process.

The RA was divided into four (4) sub-accounts, one (1) for each of the non-metropolitan COG areas. RA allocations were as follows:

- Central Arizona Association of Government (CAG):
 - Gila and Pinal Counties. \$1,923,368;
- Northern Arizona Council of Government (NACOG):
 - Apache, Coconino, Navajo and Yavapai Counties. \$1,621,960;
- South Eastern Arizona Government Organization (SEAGO):
 - Cochise, Graham, Greenlee and Santa Cruz Counties. \$1,013,238;
- Western Arizona Council of Government (WACOG):
 - La Paz, Mohave and Yuma Counties. \$2,120,605.

ADOH announced FFY 2014 CDBG funding levels for both the RA and the SSP round via its website and thru electronic bulletin 08-14 sent to all of its state CDBG eligible partners on March 27, 2014.

CDBG Recipient Community Responsibility

While CDBG money is scheduled to be provided to all eligible recipient communities according to the Method of Distribution, an actual award of CDBG funds remained contingent upon meeting readiness criteria, threshold criteria and performance measurement criteria.

Through its pre-award assessment process, ADOH negotiated and resolved any outstanding issues that may have been material to an award decision. If issues material to the decision could not be resolved, the recipient community received a Notice of Appealable Agency Action. Notwithstanding the options available through the Notice of Appealable Agency Action, the recipient community was allowed to modify the application, substituting a feasible and viable activity within thirty (30) calendar days of receipt of the Notice of Appealable Agency Action.

CDBG Redistribution of Funds

If a community that was scheduled to receive funding chooses not to participate or does not submit a viable and compliant application to their COG to be forwarded to ADOH, the allocation for that community will be returned to the SSP. If the funding amounts scheduled for a community exceeds funding requests included in the application, the balance will be redistributed to the SSP fund.

ADOH may from time to time recapture or de-obligate funds. These actions are described in the Con Plan and annual action plan.

The projects completed during FFY 2014 are found in Exhibit 2A: FFY 2014 Summary of Accomplishments Tables and Exhibit 2B: F Summary of CDBG Project Beneficiaries.

- | | | |
|---------------------------|------------|---|
| • Street Improvements | 7 projects | benefitting 15,309 low-to-moderate income people; |
| • Sidewalk Improvements | 1 project | benefitting 2,916 low-to-moderate income people; |
| • Water/Sewer Improvement | 8 projects | benefitting 23,165 low-to-moderate income people; |
| • Economic Development | 1 project | creating 80 low to moderate income jobs. |
| • Neighborhood Facilities | 4 projects | benefitting 8,502 low-to-moderate income people; |

\$1,960,347.25 based on its fiscal year expenditures and the State donated \$187,500 in State match to the City of Mesa's HOME program during this period, for a total match liability of \$2,147,847.25 for the year.

HOME Affirmative Marketing

Affirmative Marketing responsibilities are passed from the Department to its sub-recipients as the Department does not directly market HOME assisted properties. Through contractual obligation and recorded Covenants, Conditions and Restrictions, the Department requires that Owners of assisted rental or homebuyer developments containing five (5) or more units take actions to provide information and otherwise attract eligible persons from all racial, ethnic and gender groups in the housing market area to the available housing. Projects assisted with HOME funds must comply with the following procedures for the determined Affordability Period:

- Owners advertising vacant units must include the Equal Housing Opportunity logo or statement. Advertising media must include general audience and minority-owned newspapers, radio, television, brochures, leaflets or may involve simply a sign in a window.
- The Owner shall implement special outreach efforts to solicit applications for vacant units from protected persons in the housing market who are least likely to apply for the housing assisted with State Housing Funds. Special outreach efforts should be designed to notify potential applicants regardless of existing neighborhood racial or ethnic patterns, location of housing in the metropolitan area, price, or other factors and welcome them to apply and have the opportunity to rent.
- The Owner shall use community organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, social service centers or medical service centers as resources for this outreach.
- The Owner must maintain a file containing all marketing efforts (i.e. copies of newspaper ads, memos of phone calls, copies of letters, etc.) and the records to assess the results of these actions and make said documents available for inspection by the Department.
- For assisted Rental properties, the Owner shall maintain a listing of all tenants residing in each unit at the time of application submittal through the end of the compliance period.

The Department will assess the affirmative marketing efforts of the Owner by comparing predetermined occupancy goals (based upon the area from which potential tenants or owners will be drawn) to actual occupancy data that the Owner is required to maintain. Outreach efforts of the part of the Owner will be evaluated by reviewing marketing efforts.

The Department will assess the efforts of the Owners receiving HOME funds for rental properties during the rent-up period and marketing of the units by use of a compliance

certification or personal monitoring visit to the project according to the Department's monitoring schedule.

Where the Owner fails to follow the affirmative marketing requirements, corrective actions shall include extensive outreach efforts to appropriate contacts to achieve occupancy goals or other actions the Department may deem necessary.

Each unit of general local government that sub-grants the administration of this program must adopt affirmative marketing procedures that meet the requirement in paragraphs (a) and (b) of 24 CFR Pt. 92.351.

HOME Match Report

This year's match requirement of \$2.1 million was met. Excess match from prior Federal fiscal year in the amount of \$19.5 million was carried over and \$671,063 in new program income was accrued this year. The HOME Match Report, HUD-40107-A can be found in Exhibit 5: HUD 40107-A HOME Match. Excess match carried forward into FY 2015 will be \$18,023,230.07.

HOME Program Income

The HOME Program Income Report, HUD-40107 can be found in Exhibit 6: HUD 40107-APR HOME PI and MBE/WBE. \$220,962.01 in Program Income was utilized during the year, with \$116,224.26 in Program Income carrying forward at the end of the reporting period.

HOME MBE and WBE Report

The Home MBE and WBE Report materials are provided in Exhibit 7: MBE and WBE Report. The state has been informed that the MBE/WBE/DBE report (HUD-2516) is not mandatory for states. However, the state captures this data and makes it available to HUD upon request during program review.

In all cases, MBE and WBE responsibilities are passed down to the Department's sub-recipients as the Department does not directly enter into funding agreements with Contractors. Sub-recipients are contractually obligated to maintain documentation and data on the steps taken to implement outreach programs to minority-owned and women-owned businesses including data indicating the racial, ethnic or gender character of each business entity receiving a contract or subcontract to be paid with HOME funds; the amount of the contract or subcontract and documentation of the steps to assure that minority- and women-owned business enterprises have an equal opportunity to obtain or compete for contracts and subcontracts as sources of supplies, equipment, construction and services. Lists must be compiled of all minority- and women-owned business enterprises within the Recipient's political jurisdiction.

HOME Application Process

ADOH made HOME funds available through an application process. In FFY 2014, a Notice of Funds Availability Process was issued on October 20, 2014 for Owner-Occupied Housing Rehabilitation (OOHR). Rental project gap financing was available through the March 2, 2015 LIHTC round.

The actual geographic distribution of resources was based on the number and quality of applications received. Exhibit 9: Geographic Distribution Map shows the geographic distribution by funding source including HOME, CDBG, HTF, ESG, HOPWA and COC (Supportive Housing (SHP) and SPC).

ADOH does not use HOME resources for tenant-based rental assistance or for homeownership assistance in the form of down payment/closing cost or equity contributions.

Steps taken to minimize displacement resulting from HOME funded activities.

Each applicant requesting HOME funds must adopt a plan to minimize displacement resulting from HOME funds. Typically rehabilitation projects require only temporary relocation until the rehabilitation is completed and tenants or owners can be returned to their unit. None of the HOME funds expended resulted in any permanent displacement. Additionally there were no Public Housing Units demolished thru the Departments HOME funded activity investments.

HOME Monitoring

Contract Specialist staff monitor HOME assisted units on site by conducting an UPCS physical inspection at the time of close out. Typically one hundred percent (100%) of the assisted units are inspected however protocol requires only a twenty percent (20%) sampling. There were no instances of unresolved UPCS violations for any HOME assisted units.

Compliance is the division responsible for monitoring the long-term compliance of ADOH funded rental properties assisted with LIHTC and/or State Housing Funds (HOME program or HTFs).

The compliance staff monitors compliance with these programs through a review of annual reports and periodic on-site visits to assisted properties. These on-site visits consist of a file review and physical inspection of a percentage of assisted units.

Annual Reports are required for all permanent or transitional rental properties assisted with HOME or HTF funds allocated by ADOH. These reports are due annually on May 15th for the preceding calendar year.

Required documents for HOME and HTF annual reports include the HOME Annual Compliance Report, Rental Schedule for State-Assisted Units, Affirmative Marketing Report and Project Contact Sheet. Multiple contracts require completion of separate reports for each property and unit address.

Properties assisted with both LIHTC and HOME or HTF funds need only submit the Tax Credit Annual Report. Currently, compliance monitoring fees are not required for HOME and HTF properties.

HOME CHDO Distribution

The state distributed HOME resources in the amount of \$750,000 to Community Housing Development Organizations (CHDOs) through the application process outlined above.

HOME Forms of Investment

Investment was provided as grants and loans.

HOME Resale and Recapture Provisions

The Federal HOME Program required either a resale or recapture provision as outlined in 24 CFR 92.254 of the HOME rule. ADOH has chosen to use the recapture provision for HOME and/or matching funds used to assist eligible homebuyers.

Assistance provided by ADOH is in the form of a non-interest bearing, deferred payment loan secured by a deed of trust naming the State of Arizona or its designated representative(s) as beneficiary. The assistance is completely forgiven upon completion of the applicable affordability period.

If the housing does not continue to be the principal residence of the homeowner for the duration of the affordability period, the assistance must be repaid in its entirety from the net sale proceeds. If the net sale proceeds are not sufficient to recapture the full amount of assistance plus enable the homeowner to recover the amount of their down payment, ADOH will share the net proceeds. The net proceeds are the sales price minus loan repayment (other than ADOH funds) and closing costs.

ADOH will permit the homeowner to recover the homeowner's initial down payment before recapturing ADOH assistance. The amount of ADOH assistance that is subject to recapture is based on the amount of assistance that enabled the homeowner to purchase the dwelling unit. This includes any assistance that reduced the purchase price from a fair market value to an

affordable price but excludes the amount between the cost of producing the unit and the market value of the property (i.e. the development subsidy).

In the case of foreclosure or transfer in lieu of foreclosure, the primary lender is allowed to repay a recapture amount based on the process described above, as applied to the net proceeds after foreclosure, and take the property without the affordability restrictions.

HOME Security Instruments

Recapture restrictions were executed through one (1) or more of the following instruments, depending on the type of first-mortgage instrument:

- Land Use Restriction Agreements and/or deed restrictions filed against the property acquired by the assisted homebuyer;
- Deed of Trust and Promissory Notes consistent with the non-interest HOME-financed loan filed on the property acquired by the assisted homebuyer; and
- a due-on-sale Promissory Note and Deed of Trust consistent with the non-interest bearing HTF.

EMERGENCY SOLUTIONS GRANT

ESG Method of Distribution

The ESG program is funded under the McKinney-Vento Homeless Assistance Act. DES is the HUD grantee for ESG funds and is the responsible administrative agency. Emergency Shelter Grant (ESG) funds have traditionally supported basic shelter and supportive services for homeless persons in the form of outreach activities, operation costs for shelter facilities and short-term homeless prevention to persons at risk of losing housing due to eviction, foreclosure or utility shut-offs. The Emergency Solutions Grant (ESG) has replaced the previous Emergency Shelter Grant and provides additional funding for Administration, Homeless Prevention, Rapid Re-housing and HMIS. During FFY2014 ESG funds supported Outreach services to homeless persons living on the streets, basic shelter and supportive services for homeless persons in the form of operation costs and direct services for homeless persons living in Emergency Shelters, funding for Homeless Prevention services to assist individuals and families at risk of losing housing and becoming homeless and Rapid Re-housing support for individuals and families who are homeless and need assistance to return to housing and independent living as rapidly as possible. ESG funds also supported HMIS data collection, information management and reporting systems and Administrative services necessary to coordinate grant activities.

DES has utilized these funds to increase the accessibility of suitable living environments and housing by providing a wide range of supportive services to persons experiencing homelessness or at risk of homelessness.

FFY2014 ESG funds allocated to Arizona were distributed based on the following priorities:

- To encourage a range of services to help prevent people from entering the homeless system and rapidly move people from homelessness to permanent housing.
- To target prevention services and funding to help prevent people most likely to become homeless from becoming homeless and to provide rapid re-housing to those who are homeless in order to break the cycle of chronic homelessness.

The resulting expenditures by category during FFY2014 were Outreach – six percent (6%) (\$60,061), Emergency Shelter – twenty-two (22%) (\$217,669), Rapid Re-housing – fifty-eight percent (58%) (\$567,933), Homeless Prevention – eight percent (8%) (\$76,353), HMIS (\$1,125) and administration – six percent (6%) (\$56,877). Geographically, ESG funds were distributed as part of total DES contracts from all State Homeless funding sources; Maricopa County – fifty-one percent (51%), Pima County – twenty percent (20%) and in the thirteen (13) counties that make up the Balance of State – twenty-nine percent (29%). No program income was generated by ESG expenditures.

DES awards contracts every three (3) to five (5) years through a competitive RFP process. Proposals are reviewed by a panel comprised of internal staff and external community members. Proposals are assessed using a rating system. Evaluation criteria are published in each RFP, which may include service methodology, experience and expertise, cost/price and conformance to RFP requirements/instructions. Renewal contracts with governmental entities and non-profit organizations began on July 1, 2013.

ESG Monitoring

Each ESG provider will receive a monitoring site visit every year of their contract. The monitoring visits are designed to assist providers in managing ESG programs to HUD standards. As a result of the monitoring written reports will be prepared with findings and or recommendations for corrective actions, if appropriate. Completion of corrective actions with specific timelines for completion are reviewed and monitored by DES. Quarterly program reports attached to fiscal claims for reimbursement are designed to capture client data, activities and fiscal expenditures billed. A formal desk review will be completed annually and written report prepared with findings and recommendations identified for corrective actions, if necessary. Updated budgets, certifications if needed, and descriptions of activities to be funded by ESG will be submitted to the DES for each renewal period. The updated information

submitted will be reviewed and approved, where necessary to assure compliance with ESG requirements.

ESG Match

ESG funds require a one hundred percent (100%) match. Matching funds may be provided in a variety of ways including any Federal source other than ESG as well as state, local and private sources of funding as long as the source of those funds does not prohibit those funds from being used to match ESG and ESG funds are not being used to satisfy the matching requirements of those funds. Matching funds may also include non-cash contributions of items or services that would have been allowable costs under ESG, services provided by individuals up to the value that the recipient organization would have had to pay for those services and program income used to pay for costs otherwise eligible for ESG funding. The total Match requirement for FFY2014 was \$ 1,582,652.92. Total Match reported for FFY2014 was \$6,176,896.84.

This summary accounts for DES contracted ESG dollars. Additional funding for these programs was provided through Temporary Assistance for Needy Families, Social Services Block Grants, Arizona State Lottery Funds and State Homeless funding. All service providers also rely on a variety of public and private sources to meet operating costs.

ESG Expenditures	BOS	Maricopa	Pima	Total
Rapid Re-housing	\$118,153	\$361,851	\$87,929	\$567,933
Homeless Prevention	\$76,353			\$76,353
Emergency Shelter	\$42,734	\$102,296	\$72,639	\$217,669
Outreach	\$13,814		\$46,247	\$60,061
HMIS		\$1,125		\$1,125
ADMIN		\$56,877		\$56,877
Total	\$251,054	\$522,149	\$206,815	\$980,018

Number of Persons in Households	BOS	Maricopa	Pima	Total
Adults	1,006	6,652	1,412	9,070
Children	997	1,587	1,414	3,998
Don't Know/Refused	0	126	0	126
Missing Information	0	0	407	407
Total	2,003	8,365	3,233	13,601

Street Outreach Number of Persons in Households	BOS	Maricopa	Pima	Total
Adults	100	0	608	708
Children	95	0	910	1,005
Don't Know/Refused	0	0	0	0
Missing Information	0	0	371	371
Total	195	0	1,889	2,084

Shelter Number of Persons in Households	BOS	Maricopa	Pima	Total
Adults	765	6,267	536	7,568
Children	120	1,550	366	2,036
Don't Know/Refused	0	0	0	0
Missing Information	5	0	4	9
Total	890	7,817	906	9,613

Rapid Re-housing Number of Persons in Households	BOS	Maricopa	Pima	Total
Adults	186	393	169	748
Children	351	356	207	914
Don't Know/Refused	0	126	0	126
Missing Information	0	0	23	23
Total	537	875	399	1,811

Homeless Prevention Number of Persons in Households	BOS	Maricopa	Pima	Total
Adults	46	0	0	46
Children	43	0	0	43
Don't Know/Refused	0	0	0	0
Missing Information	4	0	0	4
Total	93	0	0	93

Above information is accumulated and reported by Continuum of Care Lead Agencies based on HMIS input by contracted service providers.

HOUSING OPPORTUNITY FOR PEOPLE WITH AIDS

The HOPWA CAPER is provided in a separate document

The state allocation for HOPWA funds was \$230,863. Three percent (3%), (\$6,926), was allocated by ADOH and seven percent (7%), (\$15,676), was budgeted by project sponsors for administration of this funding. Any previously uncommitted funds were combined with this allocation.

Results: HOPWA funds expended last fiscal year benefitted fifty-seven (57) households. ADOH contracts with three (3) organizations to administer the HOPWA program within five (5) of the thirteen (13) non-entitlement counties. No program income is generated by HOPWA expenditures.

Mohave County Community Services

Mohave County Community Services, a local county government entity, directly administered HOPWA as a HUD project sponsor in Mohave County. Mohave County geographically is located in the top northwestern portion of Arizona with an approximate population of 203,030 as of 2013. Mohave County mainly provides Tenant Based Rental Assistance with Supportive Services for an average of twenty-one (21) units, always exceeding their contracted number of fifteen (15).

Northland Cares

Northland Cares, a non-profit organization, directly administers HOPWA in three (3) counties within the BOS jurisdiction – Coconino, Yavapai and Gila Counties. Northland Cares offers

Short Term Rental, Utility and Mortgage assistance with supportive services. A total of thirty (30) households were assisted during the reporting year.

Northern Arizona Regional Behavioral Health Authority (NARBHA)

NARBHA is a private, non-profit managed care corporation designated by ADHS as being responsible for the planning, implementation, funding, monitoring and administration of publicly funded comprehensive behavioral health services in Northern Arizona.

NARBHA entered into contract with ADOH in November of 2011 to serve Coconino, Apache and Navajo Counties with HOPWA funds through administering Tenant Based Rental Assistance with Supportive Services. They are leased up on their five (5) contracted units.

The following is a list of some of the barriers that exist for accessing HIV/AIDS services in rural Arizona:

- Rural vastness.
- Stigma – Disclosure of disease and discrimination.
- Small town – everyone knows everyone.
- Transportation – fuel cost.
- Lack of knowledge of services available – consumer awareness.
- Increased prevalence primarily due to population increases, decrease dollars for regions' ancillary services.
- Limitations on state programs – Behavioral Health; no dental or vision care on AHCCCS.
- Don't have HIV – specific Medicaid program.
- Number of HIV providers in rural areas is limited.

HOPWA funds continue to be expended at ninety-seven percent (97%) of the annual allocation.

HOPWA Monitoring

Annually HOPWA provider grantees receive an on-site monitoring visit and a written report with findings and corrective actions (if any) with specific timelines for improvement. Additionally, documentation is also desk monitored monthly with reimbursement requests from HOPWA providers.

CONTINUUM OF CARE METHOD OF DISTRIBUTION

The state coordinated and served as the applicant for the HUD COC Homeless Assistance funds for all counties excluding Maricopa and Pima in Arizona and one (1) in Pima County. The state distributed over \$4 million in Continuum of Care (COC) funding to qualified applicants through the COC process.

The FFY2014 HUD NOFA was the third NOFA for the Continuum of Care Competition under the HEARTH Interim Rule that was issued July 31, 2012 and all programs are still operating under the interim rule. The NOFA was published September 22, 2014 and the close date was October 30, 2014.

The HEARTH Act amends and reauthorizes the McKinney-Vento Homelessness Assistance Act with substantial changes including:

- A consolidation of HUD's competitive grant programs;
- A change in HUD's definition of homelessness and chronic homelessness;
- A simplified match requirement;
- An increase in prevention resources;
- An increase in emphasis on performance.

Proposals for the NOFA were submitted via a prioritization process with a two percent (2%) reduction in funds. There was a review tool developed based primarily on information from monitoring reviews and the Annual Performance Reports. All but one (1) renewal were prioritized in Tier 1 with the one (1) renewal being the first priority in Tier 2, along with the Planning proposal.

HUD made funding announcements with regard to the FY2014 Continuum of Care the end of January 2014. All Tier 1 and Tier 2 projects, along with the Planning grant, were awarded. ADOH received one hundred percent (100%) of requested funding, minus the Bonus proposal.

State Housing Trust Fund Method of Distribution

The HTF is a resource funded through the State's Unclaimed Property Fund. \$5,450,042 million in HTF was committed to assisting 1,528 households.

ADOH analyzed the results of its investments through the HTF. Exhibit 4A: FFY 2014 Summary of HTF Accomplishments shows this information by project name, HUD matrix code and geographic distribution. Exhibit 4B: FFY 2014 Summary of HTF Project Beneficiaries shows the impact of the investment by activity and race and ethnicity.

LOW INCOME HOUSING TAX CREDIT

The LIHTC Program provided federal income tax credits to owners of qualifying residential rental projects. The state set the following specific goals for the LIHTC program: 1) an allocation of projects between the urban and rural areas of the state and among various geographic regions; 2) substantial involvement and input from the affected community; 3) projects serving very low-income families; 4) projects to be built on tribal lands; 5)

acquisition/rehabilitation and rehabilitation projects; 6) projects serving priority populations with special housing needs; 7) projects undertaken by non-profit organizations; 8) projects located in each of the rural COGs jurisdictions. Over \$15 million in nine percent (9%) tax credits were awarded to seventeen (17) projects in FFY2014. In addition, five (5) tax exempt bond projects qualified for over \$20 million in four percent (4%) tax credits in FFY 2014 (Exhibit 10: Arizona Department of Housing FFY2014 Report). The Job Creation Points in the 2012 LIHTC round contributed to the earlier than usual completion of nineteen (19) projects reported in the FFY2013 CAPER in the following list.

LIHTC projects Placed in Service FFY2014

Project Name	Placed in Service Date (PIS)
Paradise Shadows	02/15/14 (not reported previously)
Legacy on Main	12/13/13 (not reported previously)
Crossing Point	4/10/15

ARIZONA PUBLIC HOUSING AUTHORITY

ADOH operates the Arizona Public Housing Authority (PHA). Information derived from operating the State PHA provides an accurate and recent view of public housing needs and trends for which planning efforts can be designed to address. The responsibilities entail administering the Section 8 Housing Choice Voucher (HCV) Program in Yavapai County. The HCV Program provided rental subsidy payments for 220 very low-income households including VASH vouchers for homeless veterans. Under contract with HUD, the Arizona PHA is a Project Based Contract Administrator (PBCA) which provided contract administrative services for 115 HUD-subsidized rental properties accounting for 8,083 rental units located throughout the state. Through this program participating properties are subsidized, allowing the very low-income tenants to pay only thirty percent (30%) of their incomes on rent and utilities.

OTHER SOURCES OF FUNDS

Arizona Housing Finance Authority

As part of the creation of the ADOH, the legislature also created the AzHFA. The Authority may issue bonds for multi-family residential projects, bonds to finance single family mortgages establish Mortgage Credit Certificate (MCC) programs. These powers have been granted for the rural areas of Arizona. The seven (7) members of the AzHFA are appointed by the Governor and represent geographical diversity. During the past year, the AzHFA issued bonds

and provided down payment assistance to assist first time homebuyers. They also continued to fund mortgages from a previous year's bond allocation.

Arizona Department of Economic Security

Social Services Block Grant (SSBG)

SSBG, also known as Title XX, is not homeless specific. However, part of the available funds, some of which are planned at a local level and some at a DES level; have been allocated specifically for services to domestic violence victims in addition to general homelessness. Crisis intervention, which includes shelter and counseling, is provided for domestic violence victims. Services funded for homeless people in general include crisis intervention, case management and transportation. The U.S. Department of Health and Human Services (DHHS) administers the SSBG funds.

Temporary Assistance for Needy Families (TANF)

TANF funds are available through the Title IV-A of the Social Security Act which are administered by the Department of Health and Human Services. Arizona has submitted amendments to the TANF State Plan in order to establish a TANF emergency services plan. Although the federal regulations do not specify that eligible clients be homeless, they do allow a State TANF Plan, or a portion of the Plan, to be limited to a targeted issue such as homelessness. Arizona has used a portion of the TANF funds for homeless services that include shelter (at a facility or by voucher), prevention, move-in assistance and case management services.

Arizona Department of Education (ADE)

Education for Homeless Children and Youth: Grants for State and Local Activities. In January of 2002, the McKinney-Vento Homeless Assistance Act was reauthorized as a part of "No Child Left Behind" legislation. This Act requires educational access and equity for children and youth experiencing homelessness, outlines responsibilities for local liaisons and provides funding to support local grants and statewide initiatives. ADE utilizes a portion of this funding to maintain a State Director for Homeless Education, a role clearly defined by McKinney-Vento. The Director is responsible for developing Arizona's state plan; facilitating coordination with other agencies; providing technical assistance to public school districts; and gathering comprehensive data for federal reporting purposes.

The McKinney-Vento Homeless Assistance Act also outlines specific responsibilities for the Local Education Agencies (LEAs). Each of the 650 public school districts and charter holders in Arizona have designated a Local Educational Agency Liaison to ensure that students experiencing homelessness:

- Are informed of their rights as homeless children and unaccompanied youth.
- Are identified, immediately enrolled in and have a full and equal opportunity to succeed in school.
- Are provided transportation to remain in their “school of origin” if it is in their “best interest” and considered feasible.
- Have records maintained and available in a timely fashion.
- Receive all educational services for which they are eligible, including free breakfast and lunch, Title I, gifted, special education, migrant and English acquisition services.

While all public school districts are held accountable for these responsibilities, some receive financial assistance to do so. McKinney-Vento sub-grants help defray costs associated with educating homeless students, such as transportation services, facilitating initial enrollment services, social services and delivering supplemental instructional services. Funding was allocated on a competitive basis to those with the highest program quality and greatest need for assistance. McKinney-Vento funding for Homeless Education was \$1,422,929 last fiscal year (FY14-15). Additionally, school districts are required to set aside point five percent (.5%) of their Title I funds to meet the unique needs of homeless students.

Local Educational Agencies (LEA’s) use a more inclusive definition of homeless to identify and serve children and youth experiencing homelessness. Based on the McKinney-Vento Homeless Education Assistance Improvements Act of 2001 (McKinney-Vento), the term homeless children and youth is defined as “individuals who lack a fixed, regular, and adequate night-time residence”. This definition allows the inclusion of children living in doubled-up situations with friends and family to receive necessary services. The number of children and youth experiencing homelessness in Arizona continues to increase.

Based on the above definition, data reported by the Arizona Department of Education (ADE), Homeless Education Office shows 29,763 children (pre-kindergarten through 12th grade) were reported throughout the state as homeless during SFY 2014, which represents a four percent (4%) decrease over 2013. However, final numbers are yet to be reported.

Arizona Department of Health Services

Projects for Assistance in Transition from Homelessness (PATH).

ADHS/DBHS provide outreach to homeless individuals and families under a federal SAMHSA Formula Grant which provided over \$1,349,000 in FY2015. This funding requires a cash match which was \$449,667, allocated from State of Arizona’s General Fund through ADHS/DBHS. The ADHS/DBHS utilizes the PATH grant funds to provide an array of services to individuals and

families who are homeless and have a serious mental illness, including those with co-occurring substance use disorders and to prevent homelessness.

In Arizona, PATH funds Homeless outreach services designed to assist individuals who are homeless and potentially have a serious mental illness. The services were provided in locations where persons who are homeless gather such as food banks, parks, vacant buildings and the streets. ADHS/DBHS utilized the PATH Formula Grant to provide an array of services to Special Populations persons who were homeless and were determined to have a serious mental illness, including those with co-occurring substance abuse problems.

Arizona local PATH contractors provide outreach services, screening and diagnostic services, staff training, emergency assistance, case management, referrals to job search and job education/training organizations, one (1) time only rental payments to prevent evictions, security deposits and referrals to community housing providers to connect individuals with affordable permanent housing. Persons who are identified as homeless and having a serious mental illness or co-occurring substance use disorder are enrolled in the Arizona behavioral health system to be engaged in treatment and support services to place them on the road to recovery.

State General Funds

State general funds appropriated to ADHS are used to develop a number of “community housing” programs and level of care systems for persons with serious mental illnesses. These funds provide permanent housing for individuals discharged from the Arizona State Hospital, board and care facilities, supervisory care homes and/or residential treatment programs as described in the Arnold vs. Sarn lawsuit. These funds are used to purchase, lease, or rent properties in either the house model or small apartment complexes that offer consumers safe, decent, stable housing with the availability of in-home supportive services. The ability to integrate and maintain clients in the community is a major goal and component of the mental health service delivery system. ADHS provides this supportive service to assist persons with serious mental illnesses in maintaining their independent housing in accordance with their Individual Service Plan.

Arizona worked in conjunction with agencies and governments all over the state to ensure that the needs identified in the Con Plan were met and that as many resources as possible are brought to bear in addressing those needs. In addition to non-metropolitan areas, ADOH worked with entitlement areas which received direct HUD funding including the counties of Maricopa and Pima and the cities of Avondale, Chandler, Flagstaff, Gilbert, Glendale, Mesa, Peoria, Phoenix, Scottsdale, Tempe, Tucson and Yuma. Through its contract negotiations and funding parameters ADOH promoted the leveraging of additional resources.

V. PERFORMANCE EVALUATION REVIEW

The following section provided information about the entitlement programs administered by ADOH. This section will address program activities for the CDBG Program.

Community Development Block Grant

FFY 2014 Assessment

NOTE: The CDBG Performance Evaluation Report (PER) required to be submitted as part of the CAPER contains much of the information requested below. Therefore, in many instances the responses reference the PER. Form 4949.3 is not applicable to the state; and therefore, has not been attached.

- **Assess the relationship of the use of CDBG funds to the priorities, needs, goals, and objectives identified in the Consolidated Plan.** As stated below and in the separate CDBG PER dated September 2015 covering FFY 2008 - 2015, CDBG funds have been used to address the primary objective adopted by the CDBG Program, which is the primary goal of Title I. The PER contains detailed information about the specific activities undertaken with each year's grant funds.
- **Describe the nature and reasons for any changes.** Part II, Narrative Requirement 3 in the PER describes the nature of and reasons for changes in each year covered by the Report.
- **Assess grantee efforts in carrying out actions described in its Action Plan and certifications, etc.** The PER describes in detail the actions taken with each year's funds, and in narrative form addresses the State's certifications in terms of civil rights compliance.
- **Compliance with national objectives.** The CAPER identifies the national objective addressed by each CDBG funded activity at Column 2 of Exhibit 2B and Column 4 of Exhibit 2C. The PER Chart II contains a summary of the funds used from each fiscal year's allocation to address each national objective. For every year, eighty percent (80%) or more of the funds are used for activities that meet the LM national objective.
- **Steps taken to minimize displacement resulting from CDBG funded activities.** Each community requesting CDBG funds must adopt a resolution that incorporates a plan to minimize displacement resulting from CDBG funds. None of the CDBG funds expended resulted in any permanent displacement.
- **Availability of jobs to LMI persons under economic development projects.** There was one (1) economic development project (business incubator construction) completed for this reporting period – making eighty (80) jobs available to low to moderate income persons.
- **Benefit to limited clientele.** CDBG funds have been used for a variety of activities that benefit limited clientele. These include public facilities and public services for persons with disabilities, victims of domestic violence, seniors, non-English speaking persons and

homeless persons. The CAPER Exhibit 2B and 2C provided detailed information about the nature of each such activity and the number of persons served.

- **Receipt and use of program income (PI).** Arizona CDBG Program has two (2) grantees that have an approved housing rehabilitation revolving loan fund. In each case the grantee used or will use the PI before requesting additional CDBG funds. Where it is anticipated that a grantee may receive PI in the future, a Special Condition is added to the contract that requires reporting of such to the state. All reportable PI received and/or awarded is reflected in Chart 1 in each year's PER. Beginning with FFY2015 ADOH will have only one (1) grantee as the City of Casa Grande has chosen to become an entitlement and their Revolving Loan Fund has been re-assigned to the City.
- **Completed Rehabilitation that identifies type of program and number of projects/units completed, CDBG/private/other funds used.** The CAPER Exhibits 1, 2B and 2C and PER Charts 3, 4 and 5 indicates the number of housing rehab activities, the number of units/projects completed and the amount of CDBG and non-CDBG funds used for each activity as well as a summary of such information. In all cases, rehab programs provide assistance through zero percent (0%) interest, no monthly payment DPL liens.

Progress toward benchmarks in identified Revitalization Strategy Areas. The Department's CDBG Program does not currently have any approved Revitalization Strategy Areas. Additionally, no requests for approval were submitted for FFY2014.

Affirmative Marketing Actions and Outreach to Minority- and Women-Owned Businesses

During this reporting period, the state continued to require that all recipients of State HOME dollars adopt an affirmative marketing plan as described in 24 CFR 92.351. Requirements were set forth in funding contracts and consist of actions required by recipients to provide information and otherwise attract eligible persons from all racial, ethnic and gender groups in the housing market.

Recipients were required to report affirmative marketing efforts in their monthly reports and to submit a final contract closeout report to the state that summarizes and assesses the results of their affirmative marketing practices. All recipients were required to show documentation regarding affirmative marketing efforts in their CDBG files at the time of on-site monitoring and any instances of non-compliance were viewed as a 'concern,' requiring corrective measures to be taken by the recipient.

To continue to assist sub-recipients in identifying women- and minority-owned businesses, the Arizona Commerce Authority provided, through its Minority/Women Services Offices, a number of services to identify, encourage and coordinate efforts of women- and minority-owned businesses. Efforts made by the state to this end included:

- An Executive Order (2007-21) issued by former Governor Janet Napolitano, affirming the state's support of Minority- and Women-Owned Businesses;
- The publication of Arizona Directory of Minority/Women-Owned Businesses which offers a statewide listing of both certified and non-certified small businesses and is available both in hard-copy form and directly on-line;
- The Small Business Assistance Center which helps these businesses become registered to sell products and services to government entities;
- The Governor's Small Business Advocate which provided assistance with appeals related to procurement issues;
- There is an Annual State Procurement Conference which minority- and women-owned businesses are encouraged to attend;
- The Minority/Women Services Offices which conducts seminars and workshops to help state agencies and local companies procure goods and services from qualified firms.

VI. INVITATION FOR CITIZEN COMMENTS

ADVERTISEMENT REQUESTING PUBLIC COMMENT Public Comments Solicited

FFY2014 State of Arizona Consolidated Annual Performance Evaluation Report

The Arizona Department of Housing (ADOH), on behalf of the State of Arizona, has prepared its Federal FY 2014 Consolidated Annual Performance Evaluation Report (CAPER), which covers the period of July 1, 2014 to June 30, 2015. The CAPER discusses the progress the State has made in meeting its goals for the following federal programs of the U.S. Department of Housing and Urban Development (HUD), which are administered by the State: Community Development Block Grant (CDBG); HOME Investment Partnership Program; Housing Opportunities for Persons With AIDS (HOPWA); and Emergency Solutions Grant (ESG), as well as other state and federal programs relating to housing development. The ESG funds are administered by the Arizona Department of Economic Security. ADOH is also making available for public review, the CDBG Performance Evaluation Report (PER) for Fiscal Year 2014. This report is part of the CAPER but contained in a separate document.

A draft of the CAPER and PER reports will be available September 1, 2015 on ADOH's website (<https://housing.az.gov>) or by contacting the person listed below.

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caper@azhousing.gov

The law authorizing the CAPER requires that, prior to submission to HUD, the public must be given an opportunity to comment on the Report. Public comments are encouraged; however, all comments must be made in writing to the address or email listed above and must be received by ADOH no later than 5:00 p.m., September 16, 2015.

NOTE: It is the policy of ADOH to comply in all respects with the non-discrimination requirements of Title II of the Americans with Disabilities Act and Section 504 of the Rehabilitation Act of 1973. Individuals who require the reports to be provided in an alternative format may contact Joy Johnson at joy.johnson@azhousing.gov to make their needs known.

Requests should be made as soon as possible to allow sufficient time to arrange the accommodation. Si necesita ayuda en español para entender este documento, puede solicitarla sin costo adicional, llamando al número (602) 771-1010.

Publication Date: August 24, 2015



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Publications

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Consolidated Annual Performance Evaluation Report (CAPER)

[FFY 2014 CAPER Draft 9-1-15](#)

[CAPER 2013-2014 Report](#)

Landlord Tenant Act

[Arizona Residential Landlord & Tenant Act](#)

Information Bulletins

[IB 17-15 FFY2014 CAPER Draft Released](#)

[IB 16-15 Draft 2016 QAP](#)

[IB 15-15 Revised CDBG Application Handbook](#)

[IB 14-15 QAP Focus Groups 2016](#)

[IB 13-15 2015 LIHTC Reservation List](#)

[IB 12-15 2015 R&I Limits for SHF Programs Issued](#)



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Performance Evaluation Report

FY2014 PER Report Draft

Compiled Report 2008-2013

Compiled Report 2005-2012

Analysis of Impediments

2015 Analysis of Impediments

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Tucson, Arizona

STATE OF ARIZONA)
COUNTY OF PIMA)

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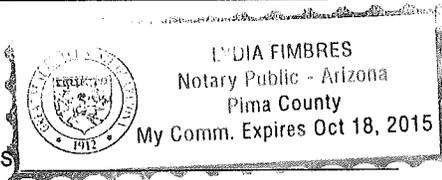
Debbie Freedle

Subscribed and sworn to before me this 24 day of

August, 2015

Rydia Summers

Notary Public



My commission expires

AD NO. 8437013

**Public Comments Solicited
2014-2015
State of Arizona Consolidated
Annual Performance
Evaluation Report**

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Publish August 24, 2015
Arizona Daily Star

AFFIDAVIT/PROOF OF PUBLICATION

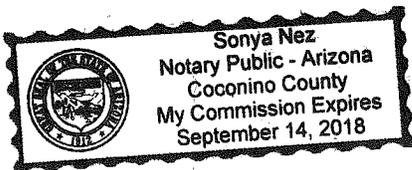
STATE OF ARIZONA

} ss.

County of Coconino

Bobbie Crosby being duly sworn deposes and says:

That she is the legal clerk of the Arizona Daily Sun a newspaper published at Flagstaff, Coconino County, Arizona; that the Legal 18888 a copy of which is hereunto attached, was first published in said newspaper in its issue dated the 25 day of August, 2015, and was published in each one issue of said newspaper for one consecutive day the last publication being in the issue dated the 25 day of August, 2015.



Subscribed and sworn to before me this 01 day of September, 2015 Sonya Nez

Notary Public

My Commission expires September 14, 2018

Legal No. 18888 Public Comments Solicited 2014-2015 State of Arizona Consolidated Annual Performance Evaluation Report The Arizona Department of Housing (ADOH), on behalf of the State of Arizona, has prepared its Federal FY 2014 Consolidated Annual Performance Evaluation Report (CAPER), which covers the period of July 1, 2014 to June 30, 2015. The CAPER discusses the progress the State has made in meeting its goals for the following federal programs of the U.S. Department of Housing and Urban Development (HUD), which are administered by the State: Community Development Block Grant (CDBG); HOME Investment Partnership Program; Housing Opportunities for Persons With AIDS (HOPWA); and Emergency Solutions Grant (ESG), as well as other state and federal programs relating to housing development. The ESG funds are administered by the Arizona Department of Economic Security. ADOH is also making available for public review, the CDBG Performance Evaluation Report (PER) for Fiscal Year 2014. This report is part of the CAPER but contained in a separate document. A draft of the CAPER and PER reports will be available September 1, 2015 on ADOH's website (www.azhousing.gov) or by contacting the person listed below. Andrew Rael Assistant Deputy Director, Programs Arizona Department of Housing 1110 W. Washington Suite 310, Phoenix, AZ 85007 (602) 771-1000 phone TTY (602) 771-1001 caper@azhousing.gov The law authorizing the CAPER requires that, prior to submission to HUD, the public must be given an opportunity to comment on the Report. Public comments are encouraged; however, all comments must be made in writing to the address or email listed above and must be received by ADOH no later than 5:00 p.m., September 16, 2015. NOTE: It is the policy of ADOH to comply in all respects with the non-discrimination requirements of Title II of the Americans with Disabilities Act and Section 504 of the Rehabilitation Act of 1973. Individuals who require the reports to be provided in an alternative format may contact Joy Johnson at joy.johnson@azhousing.gov to make their needs known. Requests should be made as soon as possible to allow sufficient time to arrange the accommodation. Si necesita ayuda en español para entender este documento, puede solicitarla sin costo adicional, mande un email a caper@azhousing.gov. PUB: Aug 25, 2015 18888

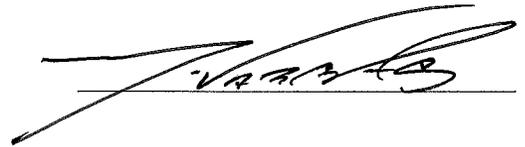
THE ARIZONA REPUBLIC

STATE OF ARIZONA }
COUNTY OF MARICOPA } SS.

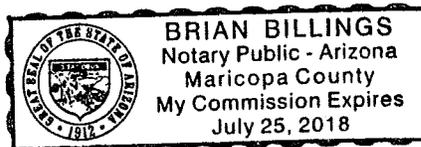
Manuel Vargas, being first duly sworn, upon oath deposes and says: That he is a legal advertising representative of the Arizona Business Gazette, a newspaper of general circulation in the county of Maricopa, State of Arizona, published at Phoenix, Arizona, by Phoenix Newspapers Inc., which also publishes The Arizona Republic, and that the copy hereto attached is a true copy of the advertisement published in the said paper on the dates as indicated.

The Arizona Republic

August 24, 2015



Sworn to before me this
24TH day of
August A.D. 2015


Notary Public

its goals for the following federal programs of the U.S. Department of Housing and Urban Development (HUD), which are administered by the State: Community Development Block Grant (CDBG); HOME Investment Partnership Program; Housing Opportunities for Persons With AIDS (HOPWA); and Emergency Solutions Grant (ESG), as well as other state and federal programs relating to housing development. The ESG funds are administered by the Arizona Department of Economic Security. ADOH is also making available for public review, the CDBG Performance Evaluation Report (PER) for Fiscal Year 2014. This report is part of the CAPER but contained in a separate document. A draft of the CAPER and PER reports will be available September 1, 2015 on ADOH's website (www.azhousing.gov) or by contacting the person listed below.
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Assistant Deputy Director,
Programs
Arizona Department of
Housing
1110 W. Washington Suite
310, Phoenix, AZ 85007
(602) 771-1000 phone TTY
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Pub. Aug. 24, 2015

**Public Comments Solicited
2014-2015 State of Arizona
Consolidated Annual
Performance Evaluation
Report**

The Arizona Department of Housing (ADOH), on behalf of the State of Arizona, has prepared its Federal FY 2014 Consolidated Annual Performance Evaluation Report (CAPER), which covers the period of July 1, 2014 to June 30, 2015. The CAPER discusses the progress the State has made in meeting

AFFIDAVIT OF PUBLICATION

Kingman Daily Miner
3015 Stockton Hill Road, Kingman, AZ 86401
www.kingmandailyminer.com • e-mail: legals@kingmandailyminer.com
Phone (928) 753-6397, ext. 242 • Fax (928) 753-5661
"Serving Kingman since 1882"

STATE OF ARIZONA)
County of Mohave) ss.

I, **Bailey Giovanardi**, being first duly sworn on her oath says:
That she is the Legals Clerk of THE KINGMAN DAILY MINER
An Arizona corporation, which owns and publishes the Miner,
a Daily Newspaper published in the City of Kingman, County of Mohave,
Arizona, that the notice attached hereto, namely,

**Public Notice
Ad. No.419019**

Has, to the personal knowledge of affiant, **24th day of August, 2015**
to the **24th day of August, 2015** inclusive without change, interruption or
Omission, amounting in **1** insertion made of the following date:
8/24/2015

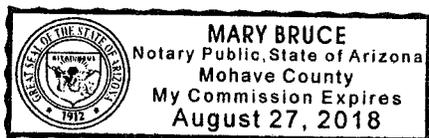
By: *Bailey Giovanardi*
Legal Clerk, **24th day of August 2015**

State of Arizona
County of Mohave

On this 24 day of August, 2015

Bailey Giovanardi, whom I know personally to be
the person who signed the above document
and she proved she signed it.

Mary Bruce
Notary Public
My Commission Expires August 27, 2018



(419019)
Public Comments Solicited
2014-2015 State of Arizona
Consolidated Annual Performance
Evaluation Report

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Assistant Deputy Director, Programs
Arizona Department of Housing
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AZ 85007
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Publication Date: August 24, 2015 Ad No. 419019

Client:

Arizona Dept of Housing

Account # 29304 Ad # 419019

Phone: (602) 771-1069

Fax: (602) 771-1002

Address: 1110 W WASHINGTON ST

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PHOENIX, AZ 85007-2954

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Page 1 of 1

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Publication Date: August 24, 2015 Ad No. 419019

Affidavit of Publication

STATE OF ARIZONA }
COUNTY OF YUMA } SS

Lisa Reilly or Kathy White, being duly sworn, says:

That she is Publisher or Business Manager of the Yuma Sun, a daily newspaper of general circulation, printed and published in Yuma, Yuma County, Arizona; that the publication, a copy of which is attached hereto, was published in the said newspaper on the following dates:

August 24, 2015

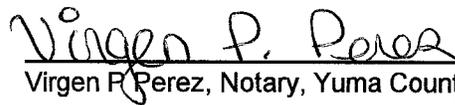
That said newspaper was regularly issued and circulated on those dates.

SIGNED:



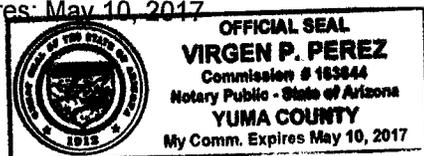
Publisher or Business Manager

Subscribed to and sworn to me this 24th day of August 2015.



Virgen P. Perez, Notary, Yuma County, Arizona

My commission expires: May 10, 2017



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ARIZONA DEPT OF HOUSING AZ DEPT OF HOUSING
ARIZONA DEPT OF HOUSING
1110 W. WASHINGTON STREET
SUITE 310
PHOENIX, AZ 85007

**Public Comments Solicited
2014-2015 State of Arizona
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Daily August 24, 2015 - 00068149

VII. LIST OF EXHIBITS

EXHIBIT 1:	Federal Fiscal Year 2014 Summary of Objectives: <ul style="list-style-type: none">• Community Development Objectives• Owner-Occupied Housing Objectives• HOPWA – information contained in the HOPWA CAPER• Homeless Objectives• Rental Housing Objectives• Special Needs Housing
EXHIBIT 2A:	FFY 2014 Summary of CDBG Accomplishments
EXHIBIT 2B:	FFY 2014 Summary of CDBG Project Beneficiaries
EXHIBIT 2C:	FFY 2014 CDBG Investment by Activity and Persons Served
EXHIBIT 3A:	FFY 2014 Summary of HOME Accomplishments
EXHIBIT 3B:	FFY 2014 Summary of HOME Project Beneficiaries
EXHIBIT 3C:	FFY 2014 HOME Investment by Activity and Persons Served
EXHIBIT 4A:	FFY 2014 Summary of HTF Accomplishments
EXHIBIT 4B:	FFY 2014 Summary of HTF Project Beneficiaries
EXHIBIT 4C:	FFY 2014 HTF Investment by Activity and Persons Served
EXHIBIT 5:	HUD 40107-A HOME Match
EXHIBIT 6:	HUD 40107 APR HOME PI and MBE/WBE
EXHIBIT 7:	MBE and WBE Report
EXHIBIT 8:	Non-CDBG Housing Units Assisted
EXHIBIT 9:	Geographic Distribution Map
EXHIBIT 10:	FFY2014 LIHTC Reservation List
EXHIBIT 11:	Housing Dollar Accomplishment by Funding Source/by Program Activity



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Community Development Objectives - CDO1.1
 Create, enhance, or sustain the operation of neighborhood facilities and improvements.

Activity Number	Proposed Units	Actual Units	Completion Date	Very Low Income 0 - 30%	Low Income 31 - 50%	Low Mod Income 51 - 80%	High Income 81% & Above	Very Low to Mod Income Totals	Total All Income Levels
Centers for the Disabled/Handi									
112-14-02	59	59	03/04/2015	0	0	59	0	59	59
Total:	59	59		0	0	59	0	59	59
Public Facilities/Improvements									
109-13-02	498	498	09/15/2014	0	342	0	156	342	498
113-13-02	37	37	07/18/2014	0	0	35	2	35	37
114-13-02	133	133	07/18/2014	0	0	133	0	133	133
130-13-02	3,548	3,548	12/16/2014	0	0	3,548	0	3,548	3,548
155-12-02	5,534	5,534	05/26/2015	0	0	1,775	3,759	1,775	5,534
Total:	9,750	9,750			342	5,491	3,917	5,833	9,750
Removal of Architectural Barri									
154-12-02	578	578	10/10/2014	0	578	0	0	578	578
Total:	578	578			578	0	0	578	578
Senior Centers									
118-13-02	1,895	1,895	08/29/2014	0	0	1,810	85	1,810	1,895
122-14-02	1,417	1,417	05/26/2015	0	0	1,289	128	1,289	1,417
156-12-02	467	467	08/22/2014	0	0	361	106	361	467
157-12-02	211	211	08/26/2014	0	0	198	13	198	211
158-12-02	320	320	08/22/2014	0	0	280	40	280	320
Total:	4,310	4,310			0	3,938	372	3,938	4,310
Grand Total:	14,697	14,697		0	920	9,488	4,289	10,408	14,697



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Community Development Objectives - CDO 1.2
Improve or enhance accessibility to public facilities that benefit LMI people

Activity Number	Proposed Units	Actual Units	Completion Date	Very Low Income 0 - 30%	Low Income 31 - 50%	Low Mod Income 51 - 80%	High Income 81% & Above	Very Low to Mod Income Totals	Total All Income Levels
Public Facilities/Improvements									
101-14-02	3,377	3,377	11/24/2014	0	0	1,789	1,589	1,789	3,378
113-14-02	143	143	02/06/2015	0	143	0	0	143	143
Total:	3,520	3,520		0	143	1,789	1,589	1,932	3,521
Public Works and Safety									
116-13-02	1,044	1,044	09/24/2014	0	560	141	343	701	1,044
137-13-02	817	817	04/03/2015	0	0	452	360	452	812
Total:	1,861	1,861			560	593	703	1,153	1,856
Sidewalks									
119-13-02	2,926	2,926	12/15/2014	0	920	1,016	990	1,936	2,926
153-12-02	891	891	07/02/2014	94	150	250	297	494	791
Total:	3,817	3,817		94	1,070	1,266	1,287	2,430	3,717
Street Improvements									
125-14-02	1,570	1,570	04/14/2015	0	0	1,570	0	1,570	1,570
127-14-02	852	852	04/03/2015	0	499	0	353	499	852
130-14-02	1,622	1,622	06/02/2015	0	0	1,185	437	1,185	1,622
144-13-02	3,614	3,614	03/17/2015	320	1,835	737	722	2,892	3,614
159-12-02	7,569	7,569	08/22/2014	0	0	2,939	4,630	2,939	7,569
Total:	15,227	15,227		320	2,334	6,431	6,142	9,085	15,227
Water/Sewer Improvements									
104-11-02	2,168	2,168	02/12/2015	0	0	1,518	650	1,518	2,168
112-12-02	436	436	08/12/2014	25	100	0	141	125	266
116-14-02	513	513	06/22/2015	0	0	447	66	447	513



Arizona Department of Housing
CAPER Report
Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Community Development Objectives - CDO 1.2
Improve or enhance accessibility to public facilities that benefit LMI people

Activity Number	Proposed Units	Actual Units	Completion Date	Very Low Income 0 - 30%	Low Income 31 - 50%	Low Mod Income 51 - 80%	High Income 81% & Above	Very Low to Mod Income Totals	Total All Income Levels
117-12-02	685	685	09/30/2014	0	200	276	209	476	685
128-13-02	14,129	14,129	11/10/2014	0	0	10,088	4,041	10,088	14,129
Total:	17,931	17,931		25	300	12,329	5,107	12,654	17,761
Grand Total:	42,356	42,356		439	4,407	22,408	14,828	27,254	42,082



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Community Development Objectives - CDO2.1
 Create, enhance, or improve the delivery of human and recreational services.

Activity Number	Proposed Units	Actual Units	Completion Date	Very Low Income 0 - 30%	Low Income 31 - 50%	Low Mod Income 51 - 80%	High Income 81% & Above	Very Low to Mod Income Totals	Total All Income Levels
Public Facilities/Improvements									
125-13-02	2,934	2,934	04/14/2015	0	0	2,934	0	2,934	2,934
Total:	2,934	2,934		0	0	2,934	0	2,934	2,934
Youth Services									
129-13-02	145	145	10/20/2014	0	145	0	0	145	145
Total:	145	145			145	0		145	145
Grand Total:	3,079	3,079		0	145	2,934	0	3,079	3,079



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Homeless Objectives - HOM 1.1 / HOM 1.2
Create stable housing opportunities for individuals and families with a history of long term homelessness.

<u>Activity Number</u>	<u>Proposed Units</u>	<u>Actual Units</u>	<u>Completion Date</u>
COC Leasing			
503-14	12	18	10/14/2014
518-13	13	18	07/23/2014
533-14	5	11	05/21/2015
Total:	30	47	
COC Rental Assistance			
508-14	22	40	09/23/2014
511-14	3	7	12/03/2014
517-13	9	13	07/21/2014
Total:	34	60	
Grand Total:	64	107	



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Annual Objectives
 Exhibit 1

Owner-Occupied Housing Objectives - OHO2.1 / OHO 2.2 / OHO 2.3
Improve quality of owner occupied housing

<u>Activity Number</u>	<u>Proposed Units</u>	<u>Actual Units</u>	<u>Completion Date</u>	<u>Household Income 0 to 30%</u>	<u>Household Income 31 to 50%</u>	<u>Household Income 51 to 60%</u>	<u>Household Income 51 to 80%</u>	<u>Household Income 61 to 80%</u>	<u>Total Household Income</u>
HOME Owner-Occupied Rehab									
300-13-07	1	1	07/02/2014	0	1	0	0	0	1
300-13-08	1	1	08/08/2014	1	0	0	0	0	1
300-13-09	1	1	02/03/2015	0	0	0	0	1	1
300-13-10	1	1	02/13/2015	0	0	0	1	0	1
300-13-11	1	1	02/04/2015	0	1	0	0	0	1
300-13-12	1	1	03/09/2015	1	0	0	0	0	1
300-13-13	1	1	03/26/2015	0	1	0	0	0	1
300-13-14	1	1	03/26/2015	0	1	0	0	0	1
300-13-15	1	1	03/26/2015	1	0	0	0	0	1
301-13-05	1	1	11/21/2014	1	0	0	0	0	1
301-13-06	1	1	11/12/2014	0	1	0	0	0	1
301-13-07	1	1	11/21/2014	0	1	0	0	0	1
301-15-03	1	1	03/17/2015	1	0	0	0	0	1
301-15-04	1	1	05/19/2015	0	1	0	0	0	1
303-13-03	1	1	07/02/2014	0	1	0	0	0	1
303-13-04	1	1	08/04/2014	0	1	0	0	0	1
303-13-05	1	1	12/18/2014	0	1	0	0	0	1
303-13-06	1	1	12/18/2014	0	1	0	0	0	1
303-13-07	1	1	12/17/2014	0	1	0	0	0	1
303-13-08	1	1	12/16/2014	0	1	0	0	0	1
303-13-09	1	1	06/22/2015	0	1	0	0	0	1
303-13-10	1	1	06/22/2015	0	1	0	0	0	1
304-12-12	1	1	07/23/2014	1	0	0	0	0	1
304-12-14	1	1	02/04/2015	1	0	0	0	0	1
304-12-15	1	1	02/04/2015	1	0	0	0	0	1



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Owner-Occupied Housing Objectives - OHO2.1 / OHO 2.2 / OHO 2.3
Improve quality of owner occupied housing

<u>Activity Number</u>	<u>Proposed Units</u>	<u>Actual Units</u>	<u>Completion Date</u>	<u>Household Income 0 to 30%</u>	<u>Household Income 31 to 50%</u>	<u>Household Income 51 to 60%</u>	<u>Household Income 51 to 80%</u>	<u>Household Income 61 to 80%</u>	<u>Total Household Income</u>
304-13-11	1	1	08/19/2014	1	0	0	0	0	1
304-13-12	1	1	08/19/2014	0	0	0	1	0	1
304-13-13	1	1	09/29/2014	0	0	0	0	1	1
305-13-03	1	1	08/29/2014	0	0	0	0	1	1
305-13-04	1	1	08/29/2014	0	0	0	0	1	1
305-15-03	1	1	03/17/2015	0	1	0	0	0	1
305-15-04	1	1	06/03/2015	0	1	0	0	0	1
305-15-05	1	1	06/03/2015	0	1	0	0	0	1
306-15-03	1	1	05/01/2015	0	1	0	0	0	1
306-15-04	1	1	05/01/2015	0	1	0	0	0	1
306-15-05	1	1	05/01/2015	0	0	0	1	0	1
306-15-06	1	1	05/01/2015	0	0	0	0	1	1
307-12-06	1	1	07/22/2014	1	0	0	0	0	1
307-12-08	1	1	08/19/2014	1	0	0	0	0	1
307-12-09	1	1	08/14/2014	0	1	0	0	0	1
307-13-04	1	1	10/10/2014	1	0	0	0	0	1
307-13-05	1	1	06/24/2015	1	0	0	0	0	1
307-13-06	1	1	06/24/2015	0	0	0	0	1	1
307-13-07	1	1	06/24/2015	0	0	0	0	1	1
308-13-06	1	1	07/29/2014	0	0	0	0	1	1
308-13-07	1	1	12/16/2014	1	0	0	0	0	1
308-13-09	1	1	12/22/2014	0	1	0	0	0	1
309-12-06	1	1	08/22/2014	0	1	0	0	0	1
309-12-07	1	1	08/22/2014	0	1	0	0	0	1
309-12-08	1	1	08/22/2014	0	1	0	0	0	1
309-13-03	1	1	10/21/2014	1	0	0	0	0	1



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Owner-Occupied Housing Objectives - OHO2.1 / OHO 2.2 / OHO 2.3
Improve quality of owner occupied housing

<u>Activity Number</u>	<u>Proposed Units</u>	<u>Actual Units</u>	<u>Completion Date</u>	<u>Household Income 0 to 30%</u>	<u>Household Income 31 to 50%</u>	<u>Household Income 51 to 60%</u>	<u>Household Income 51 to 80%</u>	<u>Household Income 61 to 80%</u>	<u>Total Household Income</u>
309-13-04	1	1	10/21/2014	1	0	0	0	0	1
309-13-05	1	1	02/05/2015	0	0	0	0	1	1
309-13-06	1	1	10/21/2014	0	0	0	0	1	1
309-13-07	1	1	03/05/2015	0	1	0	0	0	1
310-12-12	1	1	07/08/2014	0	1	0	0	0	1
310-12-13	1	1	07/08/2014	0	1	0	0	0	1
310-13-03	1	1	08/18/2014	0	0	0	0	1	1
310-13-04	1	1	08/18/2014	1	0	0	0	0	1
310-13-05	1	1	12/11/2014	0	0	0	0	1	1
310-13-06	1	1	12/10/2014	0	0	0	0	1	1
310-13-07	1	1	12/10/2014	1	0	0	0	0	1
310-13-08	1	1	12/10/2014	0	1	0	0	0	1
310-13-09	1	1	12/11/2014	0	1	0	0	0	1
Total:	64	64		18	30	0	3	13	64
Rehab. Single Unit Residential									
101-13-02	13	9	11/25/2014	0	5	0	4	0	9
106-13-02	5	5	05/27/2015	0	2	0	3	0	5
108-13-02	4	4	03/12/2015	1	2	0	1	0	4
111-13-02	3	2	05/26/2015	1	0	0	1	0	2
121-13-02	10	17	12/18/2014	2	11	0	4	0	17
122-13-02	10	19	12/18/2014	6	8	0	5	0	19
126-13-02	15	12	02/20/2015	0	5	0	7	0	12
126-14-02	6	6	05/26/2015	3	2	0	1	0	6
132-12-02	24	24	12/02/2014	7	12	0	5	0	24
135-12-02	10	8	09/19/2014	2	5	0	1	0	8
136-13-02	55	22	05/26/2015	3	15	0	4	0	22



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Owner-Occupied Housing Objectives - OHO 2.1 / OHO 2.2 / OHO 2.3
Improve quality of owner occupied housing

<u>Activity Number</u>	<u>Proposed Units</u>	<u>Actual Units</u>	<u>Completion Date</u>	<u>Household Income 0 to 30%</u>	<u>Household Income 31 to 50%</u>	<u>Household Income 51 to 60%</u>	<u>Household Income 51 to 80%</u>	<u>Household Income 61 to 80%</u>	<u>Total Household Income</u>
137-12-02	5	10	06/17/2015	6	3	0	1	0	10
140-13-02	6	8	10/21/2014	3	2	0	3	0	8
Total:	166	146		34	70	0	40	0	144
Grand Total:	230	210		52	100	0	43	13	208



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Rental Housing Objectives - RHO1.2 / RHO 1.3
Increase the availability of affordable rental housing

Household Income

Activity Number	Proposed Units	Actual Units	Completion Date	0-30%	HTF	31-50%	HTF	51-60%	HTF	51-80%	HTF	61-80%	HTF	Total IH	Total IH HTF
				0-30%	0-30%	31-50%	31-50%	51-60%	51-60%	51-80%	51-80%	61-80%	61-80%		
HOME Rental Acq/Rehab															
406-13-02	13	13	12/18/2014	0	0	3	0	10	0	0	0	0	0	13	0
407-13-02	23	23	12/17/2014	0	0	5	0	18	0	0	0	0	0	23	0
409-12-02	6	6	07/15/2014	0	0	6	0	0	0	0	0	0	0	6	0
Total:	42	42		0	0	14	0	28	0	0	0	0	0	42	0
HOME Rental New Construction															
410-11-02	4	4	06/22/2015	0	0	1	0	3	0	0	0	0	0	4	0
411-13-02	4	4	10/07/2014	0	0	1	0	3	0	0	0	0	0	4	0
Total:	8	8		0	0	2	0	6	0	0	0	0	0	8	0
HTF Rental Acq/Rehab															
402-13-02	4	4	06/03/2015	0	0	0	1	0	3	0	0	0	0	0	4
413-12-02	6	6	11/25/2014	0	0	0	3	0	3	0	0	0	0	0	6
Total:	10	10		0	0	0	4	0	6	0	0	0	0	0	10
HTF Rental New Construction															
410-11-03	3	3	06/17/2015	0	0	0	2	0	0	0	0	0	0	0	3
Total:	3	3		0	0	0	2	0	0	0	1	0	0	0	3
Grand Total:	63	63		0	0	16	6	34	6	0	1	0	0	50	13



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Special Needs Objectives - SNO1.1/ 1.2/ 1.3/ 1.4/ 1.5
Increase the range of housing options and related services for special needs populations.

	<u>Proposed Units</u>	<u>Actual Units</u>	Co-Occurring	Veterans	Families	Physically Disabled	Chronically Homeless	Develop Disabled	Domestic Violence	HIV/AIDS Chronic Homeless	Persons with HIV/AIDS	Homeless Veterans	Homeless Families	Homeless Individuals	Seriously Mentally Ill	Substance Abuse	Substance Recovery	Transitional Families	Transitional Individuals	Elderly	Frail	Undesign		
Centers for the Disabled/Handi	59	59	0	0	0	0	0	59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
COC Leasing	159	264	40	0	0	9	38	0	0	0	0	19	115	165	56	78	5	71	107	0	0	0	0	
COC Operating	21	32	10	0	0	0	0	0	7	0	0	0	14	12	15	0	0	0	0	0	0	0	0	
COC Rental Assistance	299	595	467	0	0	10	60	4	9	0	0	0	497	58	515	12	0	23	0	0	0	0	0	
COC Supportive Services	98	149	38	0	35	0	0	0	7	0	0	0	100	22	43	0	0	36	8	0	0	0	0	
HOME Owner-Occupied Rehab	93	94	0	0	29	3	0	0	0	0	0	0	0	0	0	0	0	0	0	49	0	0	14	
HOME Rental Acq/Rehab	42	42	0	0	41	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	
HOME Rental New Construction	8	8	0	0	4	0	0	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	
HTF Emer. Hsg Eviction Prev	350	510	0	0	0	0	0	0	0	0	0	0	0	510	0	0	0	0	0	0	0	0	0	
HTF Rental Acq/Rehab	10	10	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	0	
HTF Rental New Construction	3	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	
Operating Costs Homeless/AIDS	8,000	8,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Rehab. Single Unit Residential	166	146	0	0	14	81	0	0	0	0	0	0	0	0	0	0	0	0	0	101	0	0	12	
Senior Centers	4,310	4,310	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,938	0	0	372	
SHP Permanent Hsg for SMI	12	16	0	0	0	0	0	0	0	0	0	0	4	12	16	0	0	0	0	0	0	0	0	



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Special Needs Objectives - SNO1.1/ 1.2/ 1.3/ 1.4/ 1.5

Increase the range of housing options and related services for special needs populations.

	<u>Proposed Units</u>	<u>Actual Units</u>	Co-Occurring	Veterans	Families	Physically Disabled	Chronically Homeless	Develop Disabled	Domestic Violence	HIV/AIDS Chronic Homeless	Persons with HIV/AIDS	Homeless Veterans	Homeless Families	Homeless Individuals	Seriously Mentally Ill	Substance Abuse	Substance Recovery	Transitional Families	Transitional Individuals	Elderly	Frail	Undesign
Total:	13,630	14,238	555	0	127	103	98	63	23	0	0	19	730	779	651	90	12	130	115	4,085	0	398



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Summary of Accomplishments Tables
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CDBG Projects Completed During Fiscal Year

<u>Project</u>	<u>HUD Code</u>	<u>Local Code</u>	<u>County</u>	<u>Plan Year</u>	<u>Funding Source</u>	<u>Completion Date</u>
101-13 CDBG SSP Mohave County OOHR 101-13	14A	Rural	Mohave County	2012	CDBG	11/25/2014
101-14 CDBG Quartzsite Well Improvements 101-14	03J	Rural	La Paz County	2013	CDBG	11/24/2014
104-11 CDBG Kearny WW#2 Improvements 104-11	03J	Rural	Pinal County	2010	CDBG	02/12/2015
106-13 CDBG Payson OOHR 106-13	14A	Rural	Gila County	2012	CDBG	05/27/2015
108-13 CDBG Coolidge OOHR 108-13	14A	Rural	Pinal County	2012	CDBG	03/12/2015
109-13 CDBG Jerome Water Tanks 109-13	03	Rural	Yavapai County	2012	CDBG	09/15/2014
110-14 CDBG San Luis Small Business Incubator 110-14	18A	Rural	Yuma County	2013	CDBG	06/03/2015
111-13 CDBG Tombstone OOHR 111-13	14A	Rural	Cochise County	2012	CDBG	05/26/2015
112-12 CDBG Colonia Graham Co Solomon Infrastrre 112-12	03J	Rural	Graham County	2010	CDBG	08/12/2014
112-13 CDBG Willcox Historic Preservation 112-13	16B	Rural	Cochise County	2012	CDBG	10/27/2014
112-14 CDBG Yavapai County YES the Arc Imp 112-14	03B	Rural	Yavapai County	2013	CDBG	03/04/2015
113-13 CDBG Apache County Sr. Ctr Improvements 113-13	03A	Rural	Apache County	2012	CDBG	07/18/2014
113-14 CDBG Somerton Spring Street 113-14	03K	Rural	Yuma County	2013	CDBG	02/06/2015
114-13 CDBG Apache County Sr Ctr Improvements 114-13	03A	Rural	Apache County	2012	CDBG	07/18/2014
116-13 CDBG Kingman Public Works-Sewer 116-13	03J	Rural	Mohave County	2012	CDBG	09/24/2014
116-14 CDBG Yavapai County Ash Fork Water Main 116-14	03J	Rural	Yavapai County	2013	CDBG	06/22/2015
117-12 CDBG Patagonia Water System Imp 117-12	03J	Rural	Santa Cruz County	2011	CDBG	09/30/2014
118-13 CDBG Payson Senior Center Improvements 118-13	03A	Rural	Gila County	2012	CDBG	08/29/2014



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CDBG Projects Completed During Fiscal Year

<u>Project</u>	<u>HUD Code</u>	<u>Local Code</u>	<u>County</u>	<u>Plan Year</u>	<u>Funding Source</u>	<u>Completion Date</u>
119-13 CDBG Wellton Sidewalk and Curb Construction 119-13	03L	Rural	Yuma County	2012	CDBG	12/15/2014
120-13 CDBG Wellton General Plan 120-13	20	Rural	Yuma County	2012	CDBG	07/21/2014
121-13 CDBG Yuma County OOHR 121-13	14A	Rural	Yuma County	2012	CDBG	12/18/2014
122-13 CDBG Yuma County OOHR 122-13	14A	Rural	Yuma County	2012	CDBG	12/18/2014
122-14 CDBG Yavapai County Sr Ctr Solar Panels 122-14	03A	Rural	Yavapai County	2013	CDBG	05/26/2015
125-13 CDBG La Paz County McMullen Valley Food Bank 125-13	05W	Rural	La Paz County	2012	CDBG	04/14/2015
125-14 CDBG Prescott Valley Loos Drive, Phase 4 St Imprv 125-14	03K	Rural	Yavapai County	2013	CDBG	04/14/2015
126-13 CDBG Mohave County OOHR 126-13	14A	Rural	Mohave County	2012	CDBG	02/20/2015
126-14 CDBG Eloy OOHR 126-14	14A	Rural	Pinal County	2013	CDBG	05/26/2015
127-13 CDBG Snowflake Historical Bldg Imps 127-13	16B	Rural	Navajo County	2012	CDBG	07/18/2014
127-14 CDBG Apache Junction Pueblo del Sol Street Improvements	03K	Rural	Pinal County	2013	CDBG	04/03/2015
128-13 CDBG Eloy Sludge Handling Facility 128-13	03J	Rural	Pinal County	2012	CDBG	11/10/2014
129-13 CDBG Yuma County Food Back Packs 129-13	05D	Rural	Yuma County	2012	CDBG	10/20/2014
130-13 CDBG Apache County Fairgrounds ADA Restrooms 130-13	03	Rural	Apache County	2012	CDBG	12/16/2014
130-14 CDBG SSP San Luis Hildalgo Ave Improve 130-14	03K	Rural	Yuma County	2013	CDBG	06/02/2015
132-12 CDBG Casa Grande OOHR 132-12	14A	Rural	Pinal County	2011	CDBG	12/02/2014
135-12 CDBG Cochise Co Emergency Repair 135-12	14A	Rural	Cochise County	2011	CDBG	09/19/2014
136-13 CDBG Kingman OOHR 136-13	14A	Rural	Mohave County	2012	CDBG	05/26/2015



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CDBG Projects Completed During Fiscal Year

<u>Project</u>	<u>HUD Code</u>	<u>Local Code</u>	<u>County</u>	<u>Plan Year</u>	<u>Funding Source</u>	<u>Completion Date</u>
137-12 CDBG Pinal County OOHR 137-12	14A	Rural	Pinal County	2011	CDBG	06/17/2015
137-13 CDBG Duncan Water Tank Restoration 137-13	03J	Rural	Greenlee County	2012	CDBG	04/03/2015
140-13 CDBG Eloy OOHR 140-13	14A	Rural	Pinal County	2012	CDBG	10/21/2014
144-13 CDBG Colorado City Street Improvements 144-13	03K	Rural	Mohave County	2012	CDBG	03/17/2015
150-12 CDBG RA Hayden General Plan 150-12	20	Rural	Gila County	2011	CDBG	12/09/2014
153-12 CDBG Sierra Vista Busby Dr Imp 153-12	03K	Rural	Cochise County	2011	CDBG	07/02/2014
154-12 CDBG RA Parker Barrier Removal 154-12	03A	Rural	La Paz County	2011	CDBG	10/10/2014
155-12 CDBG Navajo Co Snowflake Academy Bldg Imps 155-12	03	Rural	Navajo County	2011	CDBG	05/26/2015
156-12 CDBG Navajo County Silver Creek Sr Ctr 156-12	03A	Rural	Navajo County	2011	CDBG	08/22/2014
157-12 CDBG Navajo Co Rim Country Sr Ctr Imps 157-12	03A	Rural	Navajo County	2011	CDBG	08/26/2014
158-12 CDBG Navajo Co Pinetop Lakeside Sr Ctr 158-12	03A	Rural	Navajo County	2011	CDBG	08/22/2014
159-12 CDBG Navajo Co Show Low 9th St & Hall Intersection 159-12	03K	Rural	Navajo County	2011	CDBG	08/22/2014



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Summary of CDBG Project Beneficiaries

Project Name	Nat Obj	Completion Date	RACE/ETHNICITY														INCOME					TOTAL BENEFICIARIES						
			Female HH	White	White Hispanic	Black	Black Hispanic	Amer Indian/Alaskan	Nat Amer/Alaskan Hispanic	Asian	Asian Hispanic	Hawaiian/Pacific	Hawaiian/Pacific Hispanic	Indian/White	Indian/White Hispanic	Asian/White	Asian White Hispanic	Black/White	Black/White Hispanic	Indian/Black	Indian/Black Hispanic		Other/Multitude	Other Multitude Hispanic	0-30% Very Low Income	31-50% Low Income	51-80% Mod Income	Above 80% High Income
Program Year 2013																												
03A-SENIOR CENTERS																												
CDBG Yavapai County Sr Ctr Solar Panels 122-14	LMC	5/19/2015	0	1374	40	0	0	3	0	6	0	6	3	0	0	0	0	0	0	0	28	18	0	0	1289	128	1417	
03A Totals:			0	1374	40	0	0	3	0	6	0	6	3	0	0	0	0	0	0	0	28	18	0	0	1289	128	1417	
03B-HANDICAPPED CENTERS																												
CDBG Yavapai County YES the Arc Imp 112-14	LMC	5/27/2015	0	54	7	0	0	4	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	59	0	59	
03B Totals:			0	54	7	0	0	4	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	59	0	59	
03J-WATER/SEWER IMPROVEMENTS																												
CDBG Quartzsite Well Improvements 101-14	LMA	11/24/2014	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1789	1589	3378		
CDBG Yavapai County Ash Fork Water Main 116-14	LMA	6/22/2015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	447	66	513		
03J Totals:			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2236	1655	3891		
03K-STREET IMPROVEMENTS																												
CDBG Somerton Spring Street 113-14	LMA	2/6/2015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	143	0	143		
CDBG Prescott Valley Loos Drive, Phase 4 St Imprv 12	LMA	4/14/2015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1570	0	1570		
CDBG Apache Junction Pueblo del Sol Street Improven	LMA	4/3/2015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
CDBG SSP San Luis Hidalgo Ave Improvements 130-1	LMA	6/2/2015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1185	437	1622		
03K Totals:			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	143	2755	437	3335	
14A-REHAB; SINGLE UNIT RESIDENTIAL																												
CDBG Eloy OHR 126-14	LMH	5/19/2015	2	6	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	2	1	0	6	
14A Totals:			2	6	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	2	1	0	6	
18A-ED DIRECT FINANCIAL ASSISTANCE TO FOR-PROFITS																												
CDBG San Luis Small Business Incubator 110-14	LMJ	6/3/2015	0	80	80	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	80	0	80		
18A Totals:			0	80	80	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	80	0	80		
2013 Totals			2	1514	133	0	0	7	0	6	0	6	3	0	0	0	0	1	1	0	0	28	18	3	145	6420	2220	8788



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2005											
CDBG Prescott Valley Loos Dr., Ph 4 125-14	Closed	03K	LMA	4/14/2015	Yavapai	N	\$33,314.75	\$33,314.75	People	1,570	1,570
CDBG Colorado City St Improvements 144-13	Closed	03K	LMA	3/14/2015	Mohave	N	\$25,152.93	\$25,152.93	People	3,614	3,614
2005 CDBG Totals							\$58,467.68	\$58,467.68		5,184	5,184



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CAPER Report
Investment by Activity & Persons Served
Fiscal Year 2014
 Exhibit 2C

Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2006											
CDBG Prescott Valley Loos Dr., Ph4 125-14	Closed	03K	LMA	4/14/2015	Yavapai	N	\$85,184.12	\$85,184.12	People	1,570	1,570
2006 CDBG Totals							\$85,184.12	\$85,184.12		1,570	1,570



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2007											
CDBG Prescott Valley Loos Dr., Ph4 125-14	Closed	03K	LMA	4/14/2015	Yavapai	N	\$32,282.69	\$32,282.69	People	1,570	1,570
2007 CDBG Totals							\$32,282.69	\$32,282.69		1,570	1,570



Arizona Department of Housing
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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2008											
CDBG SSP Mohave Co OOHR 101-13	Closed	21A	LMH	2/13/2015	Mohave		\$65,423.38	\$65,423.38	Households	0	0
2008 CDBG Totals							\$65,423.38	\$65,423.38		0	0



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2009											
CDBG Prescott Valley Loos Dr., Ph 4 125-14	Closed	03K	LMA	4/14/2015	Yavapai		\$32,501.10	\$32,501.10	People	1,570	1,570
CDBG Eloy OOHR 140-13	Closed	21A	LMA	10/21/2014	Pinal		\$27,275.84	\$27,275.84	Households	0	0
2009 CDBG Totals							\$59,776.94	\$59,776.94		1,570	1,570



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2010											
CDBG Kearny WW#2 Improvmnts 104-11	Closed	21A	LMA	2/12/2015	Mohave		\$39,212.00	\$39,212.00	People	0	0
CDBG Kearny WW#2 Improvmnts 104-11	Closed	03J	LMA	2/12/2015	Mohave		\$240,058.00	\$240,058.00	People	4,336	4,336
CDBG Colonia Graham Co Solomon Infrastr 112-12	Closed	21A	LMA	8/12/2014	Graham	Y	\$1,325,277.00	\$1,325,277.00	People	0	0
CDBG Prescott Valley Loos Dr. Ph 4 125-14	Closed	03K	LMA	4/14/2015	Yavapai		\$4,889.28	\$4,889.28	People	1,570	1,570
CDBG Eloy OOHR 140-13	Closed	21A	LMH	10/21/2014	Pinal		\$3,312.81	\$3,312.81	Households	0	0
CDBG Eloy OOHR 140-13	Closed	14A	LMH	10/21/2014	Pinal		\$117,642.69	\$117,342.69	Households	12	16
2010 CDBG Totals							\$1,730,391.78	\$1,730,091.78		5,918	5,922



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2011											
CDBG Colonia Graham Co Solomon Infrastr 112-12	Closed	21A	LMA	8/12/2014	Graham	Y	\$19,080.64	\$19,080.64	People	0	0
CDBG Colonia Graham Co Solomon Infrastr 112-12	Closed	03J	LMA	8/12/2014	Graham		\$955,496.09	\$955,496.09	People	436	436
CDBG Patagonia Water System Imp 117-12	Closed	21A	LMA	9/30/2014	Santa Cruz		\$50,000.00	\$50,000.00	People	0	0
CDBG Patagonia Water System Imp 117-12	Closed	03J	LMA	9/30/2014	Santa Cruz		\$367,931.00	\$367,931.00	People	685	685
CDBG Prescott Valley Loos Dr. Ph 4 125-14	Closed	03K	LMA	4/14/2015	Yavapai		\$11,324.35	\$11,324.35	People	1,570	1,570
CDBG Casa Grande OOHr 132-12	Closed	21A	LMH	12/2/2014	Pinal		\$10,000.00	\$10,000.00	Households	0	0
CDBG Casa Grande OOHr 132-12	Closed	14A	LMH	12/2/2014	Pinal		\$110,218.00	\$110,218.00	Households	24	24
CDBG Cochise Co Emergency Repair 135-12	Closed	21A	LMH	9/19/2014	Cochise		\$9,267.70	\$9,267.70	Households	0	0
CDBG Cochise Co Emergency Repair 135-12	Closed	14A	LMH	9/19/2014	Cochise		\$107,967.73	\$107,967.73	Households	10	8
CDBG RA Hayden General Plan 150-12	Closed	21A	LMA	12/9/2014	Gila		\$14,722.00	\$14,722.00	People	0	0
CDBG RA Hayden General Plan 150-12	Closed	20	LMA	12/9/2014	Gila		\$105,496.00	\$105,496.00	People	910	910
CDBG Sierra Vista Busby Dr Imp 153-12	Closed	03K	LMA	7/2/2014	Cochise		\$244,026.70	\$244,026.70	People	891	891
CDBG RA Parker Barrier Removal 154-12	Closed	21A	LMC	10/10/2014	La Paz		\$9,933.78	\$9,933.78	People	0	0
CDBG RA Parker Barrier Removal 154-12	Closed	03A	LMC	10/10/2014	La Paz		\$160,358.95	\$160,358.95	People	578	578
CDBG Navajo Co Snowflake Academy Bldg 155-12	Closed	21A	SBS	5/20/2015	Navajo		\$5,265.82	\$5,265.82	People	0	0
CDBG Navajo Co Snowflake Academy Bldg 155-12	Closed	03	SBS	5/20/2015	Navajo		\$84,247.78	\$84,247.78	People	5,534	5,534
CDBG Navajo Co Silver Creek Sr Ctr 156-12	Closed	21A	LMC	8/22/2014	Navajo		\$10,879.35	\$10,879.35	People	0	0
CDBG Navajo Co Silver Creek Sr Ctr 156-12	Closed	03A	LMC	8/22/2014	Navajo		\$103,926.79	\$103,926.79	People	467	467
CDBG Navajo Co Rim Country Sr Ctr 157-12	Closed	21A	LMC	8/26/2014	Navajo		\$1,339.28	\$1,339.28	People	0	0
CDBG Navajo Co Rim Country Sr Ctr 157-12	Closed	03A	LMC	8/26/2014	Navajo		\$23,570.09	\$23,570.09	People	211	211
CDBG Navajo Co Pinetop Lakeside Sr Ctr 158-12	Closed	21A	LMC	8/22/2014	Navajo		\$4,585.31	\$4,585.31	People	0	0
CDBG Navajo Co Pinetop Lakeside Sr Ctr 158-12	Closed	03A	LMC	8/22/2014	Navajo		\$90,559.30	\$90,559.30	People	320	320
CDBG Navajo Co Show Low 9th St & Hall Intersection 159-12	Closed	21A	SBA	8/22/2014	Navajo		\$3,582.00	\$3,582.00	People	0	0
CDBG Navajo Co Show Low 9th St & Hall Intersection 159-12	Closed	03K	SBA	8/22/2014	Navajo		\$96,924.28	\$96,924.28	People	7,569	7,569
2011 CDBG Totals							\$2,600,702.94	\$2,600,702.94		19,205	19,203



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2012											
CDBG SSP Mohave Co OOHR 101-13	Closed	14A	LMH	2/13/2015	Mohave		\$234,576.62	\$234,576.62	Households	13	9
CDBG Kearny WW#2 Improvements 104-11	Closed	21A	LMA	2/12/2015	Mohave		\$10,788.00	\$10,788.00	People	0	0
CDBG Kearny WW#2 Improvements 104-11	Closed	03J	LMA	2/12/2015	Mohave		\$197,763.00	\$197,763.00	People	2,168	2,168
CDBG Payson OOHR 106-13	Closed	21A	LMH	5/19/2015	Gila		\$878.84	\$878.84	Households	0	0
CDBG Payson OOHR 106-13	Closed	14A	LMH	5/19/2015	Gila		\$149,571.93	\$149,571.93	Households	5	5
CDBG Coolidge OOHR 108-13	Closed	21A	LMH	3/12/2015	Pinal		\$18,769.00	\$18,769.00	Households	0	0
CDBG Coolidge OOHR 108-13	Closed	14A	LMH	3/12/2015	Pinal		\$85,507.00	\$85,507.00	Households	4	4
CDBG Jerome Water Tanks 109-13	Closed	21A	LMA	9/15/2014	Yavapai		\$25,000.00	\$25,000.00	People	0	0
CDBG Jerome Water Tanks 109-13	Closed	03	LMA	9/15/2014	Yavapai		\$217,929.00	\$217,929.00	People	498	498
CDBG Tombstone OOHR 111-13	Closed	21A	LMH	5/19/2015	Cochise		\$30,000.00	\$30,000.00	Households	0	0
CDBG Tombstone OOHR 111-13	Closed	14A	LMH	5/19/2015	Cochise		\$140,697.00	\$140,697.00	Households	3	2
CDBG Willcox Historic Preservation 112-13	Closed	21A	LMA	10/27/2014	Cochise		\$23,124.00	\$23,124.00	People	0	0
CDBG Willcox Historic Preservation 112-13	Closed	16B	LMA	10/27/2014	Cochise		\$147,039.00	\$147,039.00	People	3,524	3,524
CDBG Apache Co Sr Ctr Improvements 113-13	Closed	03A	LMC	7/21/2014	Apache		\$3,150.00	\$3,150.00	People	37	37
CDBG Apache Co Sr Ctr Improvements 114-13	Closed	21A	LMC	7/18/2014	Apache		\$653.30	\$653.30	People	0	0
CDBG Apache Co Sr Ctr Improvements 114-13	Closed	03A	LMC	7/18/2014	Apache		\$6,127.08	\$6,127.08	People	133	133
CDBG Kingman Public Works-Sewer 116-13	Closed	21A	LMH	9/24/2014	Mohave		\$13,500.00	\$13,500.00	People	0	0
CDBG Kingman Public Works-Sewer 116-13	Closed	03J	LMH	9/24/2014	Mohave		\$156,110.00	\$156,110.00	People	1,044	1,044
CDBG Payson Sr Ctr Improvements 118-13	Closed	21A	LMC	8/29/2014	Gila		\$2,943.90	\$2,943.90	People	0	0
CDBG Payson Sr Ctr Improvements 118-13	Closed	03A	LMC	8/29/2014	Gila		\$55,156.33	\$55,156.33	People	1,895	1,895
CDBG Wellton Sidewalk & Curb Construction 119-13	Closed	21A	LMA	2/17/2015	Yuma		\$31,750.00	\$31,750.00	People	0	0
CDBG Wellton Sidewalk & Curb Construction 119-13	Closed	03L	LMA	2/17/2015	Yuma		\$250,132.00	\$250,132.00	People	2,926	2,926
CDBG Welton General Plan 120-13	Closed	21A	LMA	7/21/2014	Yuma		\$11,000.00	\$11,000.00	People	0	0
CDBG Welton General Plan 120-13	Closed	20	LMA	7/21/2014	Yuma		\$60,000.00	\$60,000.00	People	2,926	2,926
CDBG Yuma Co OOHR 121-13	Closed	21A	LMH	12/18/2014	Yuma		\$11,000.00	\$11,000.00	Households	0	0
CDBG Yuma Co OOHR 121-13	Closed	14A	LMH	12/18/2014	Yuma		\$60,000.00	\$60,000.00	Households	10	17
CDBG Yuma Co OOHR 122-13	Closed	21A	LMH	12/18/2014	Yuma		\$34,085.00	\$34,085.00	Households	0	0
CDBG Yuma Co OOHR 122-13	Closed	14A	LMH	12/18/2014	Yuma		\$190,915.00	\$190,915.00	Households	10	19
CDBG La Paz Co McMullen Valley Food Bank 125-13	Closed	21A	LMC	4/14/2015	La Paz		\$6,192.00	\$6,192.00	People	0	0
CDBG La Paz Co McMullen Valley Food Bank 125-13	Closed	05W	LMC	4/14/2015	La Paz		\$161,039.00	\$161,039.00	People	2,934	2,934
CDBG Mohave Co OOHR 126-13	Closed	21A	LMH	2/20/2015	Mohave		\$77,667.00	\$77,667.00	Households	0	0
CDBG Mohave Co OOHR 126-13	Closed	14A	LMH	2/20/2015	Mohave		\$353,817.00	\$353,817.00	Households	15	12
CDBG Snowflake Historical Bldg Imps 127-13	Closed	21A	SBS	7/18/2014	Navajo		\$24,777.47	\$24,777.47	People	0	0
CDBG Snowflake Historical Bldg Imps 127-13	Closed	16B	SBS	7/18/2014	Navajo		\$145,714.53	\$145,714.53	People	5,534	5,534
CDBG Eloy Sludge Handling Facility 128-13	Closed	03J	LMA	12/17/2014	Pinal		\$208,551.00	\$208,551.00	People	14,129	14,129



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
CDBG Yuma Co Food Back Packs 129-13	Closed	21A	LMC	10/20/2014	Yuma		\$10,000.00	\$10,000.00	People	0	0
CDBG Yuma Co Food Back Packs 129-13	Closed	05D	LMC	10/20/2014	Yuma		\$42,883.00	\$42,883.00	People	145	145
CDBG Apache Co Fairgrounds ADA Restrooms 130-13	Closed	21A	LMC	12/16/2014	Apache		\$5,832.02	\$5,832.02	People	0	0
CDBG Apache Co Fairgrounds ADA Restrooms 130-13	Closed	03	LMC	12/16/2014	Apache		\$161,831.60	\$161,831.60	People	3,548	3,548
CDBG Casa Grande OOHR 132-12	Closed	21A	LMH	12/2/2014	Pinal		\$14,986.00	\$14,986.00	Households	0	0
CDBG Casa Grande OOHR 132-12	Closed	14A	LMH	12/2/2014	Pinal		\$31,911.00	\$31,911.00	Households	24	24
CDBG Kingman OOHR 136-13	Closed	21A	LMH	5/20/2015	Mohave		\$31,625.62	\$31,625.62	Households	0	0
CDBG Kingman OOHR 136-13	Closed	14A	LMH	5/20/2015	Mohave		\$183,351.38	\$183,351.38	Households	55	22
CDBG Duncan Water tank Restore 137-13	Closed	21A	LMA	4/3/2015	Greenlee		\$12,942.00	\$12,942.00	People	0	0
CDBG Duncan Water tank Restore 137-13	Closed	03J	LMA	4/3/2015	Greenlee		\$87,058.00	\$87,058.00	People	817	817
CDBG Eloy OOHR 140-13	Closed	14A	LMH	10/21/2014	Pinal		\$144,757.31	\$144,757.31	Households	6	8
CDBG Colorado City St Improvements 144-13	Closed	03K	LMA	3/17/2015	Mohave		\$274,635.07	\$274,635.07	People	3,614	3,614
2012 CDBG Totals							\$2,937,373.00	\$2,937,373.00		46,017	45,994



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Funding Source: CDBG

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2013											
CDBG Quartzsite Well Improvements 101-14	Closed	03J	LMA	11/24/2014	La Paz		\$179,665.00	\$179,665.00	People	3,377	3,377
CDBG Yavapai Co YES the Arc Imp 112-14	Closed	21A	LMC	5/27/2015	Yavapai		\$15,259.93	\$15,259.93	People	0	0
CDBG Yavapai Co YES the Arc Imp 112-14	Closed	03B	LMC	5/27/2015	Yavapai		\$43,500.00	\$43,500.00	People	59	59
CDBG Somerton Springs Street 113-14	Closed	03K	LMA	2/6/2015	Yuma		\$379,245.00	\$379,245.00	People	143	143
CDBG Yavapai Co Sr Ctr Solar Panels 122-14	Closed	21A	LMC	5/19/2015	Yavapai		\$20,500.00	\$20,500.00	People	0	0
CDBG Yavapai Co Sr Ctr Solar Panels 122-14	Closed	03A	LMC	5/19/2015	Yavapai		\$250,000.00	\$250,000.00	People	1,417	1,417
CDBG Prescott Valley Loos Dr Ph 4 Impr 125-14	Closed	03K	LMA	4/14/2015	Yavapai		\$100,503.71	\$100,503.71	People	1,570	1,570
CDBG Eloy OOHR 126-14	Closed	21A	LMH	5/19/2015	Pinal		\$37,600.00	\$37,600.00	Housing Units	0	0
CDBG Eloy OOHR 126-14	Closed	14A	LMH	5/19/2015	Pinal		\$262,400.00	\$262,400.00	Housing Units	6	6
CDBG Apache Junction Pueblo de Sol St Improv 127-14	Closed	21A	LMA	4/3/2015	Pinal		\$20,040.00	\$20,040.00	People	0	0
CDBG Apache Junction Pueblo de Sol St Improv 127-14	Closed	03K	LMA	4/3/2015	Pinal		\$279,960.00	\$279,960.00	People	852	852
CDBG San Luis Small Business Incubator 110-14	Closed	18A	LMJ	6/3/2015	Yuma		\$379,245.00	\$379,245.00	Jobs	80	80
2013 CDBG Totals							\$1,967,918.64	\$1,967,918.64		7,504	7,504



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Federal Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 3A

HOME Projects Completed During Fiscal Year

<u>Project</u>	<u>HUD Code</u>	<u>Local Code</u>	<u>County</u>	<u>Plan Year</u>	<u>Funding Source</u>	<u>Completion Date</u>
300-13 HOME Mohave County OOHR 300-13	14A	Rural	Mohave County	2012	HOME	07/02/2014
304-12 HOME City of Flagstaff OOHR 304-12	14A	Rural	Coconino County	2011	HOME	07/23/2014
304-13 HOME Casa Grande OOHR 304-13	14A	Rural	Pinal County	2012	HOME	08/19/2014
307-12 HOME Pinal County OOHR 307-12	14A	Rural	Pinal County	2011	HOME	07/22/2014
307-13 HOME Guadalupe OOHR 307-13	14A	Urban	Maricopa County	2012	HOME	10/10/2014
309-12 HOME Sierra Vista OOHR 309-12	14A	Rural	Cochise County	2011	HOME	08/22/2014
310-12 HOME City of Yuma OOHR 310-12	14A	Rural	Yuma County	2011	HOME	07/08/2014
310-13 HOME Campesinos OOHR 310-13	14A	Rural	Yuma County	2012	HOME	08/18/2014
406-13 HOME Sierra Blanca Apartments Acq/rehab 406-13	14B	Rural	Navajo County	2012	HOME	12/18/2014
407-13 HOME Rancho Vista Apartments Rehab 407-13	14B	Rural	Maricopa County	2012	HOME	12/17/2014
409-12 HOME Vida Nueva Acq/Rehab 409-12	14B	Urban	Pima County	2011	HOME	07/15/2014
410-11 HOME HTF Dragonfly Village New Const 410-11	12	Urban	Pima County	2010	HOME	06/22/2015
411-13 HOME CHDO Housing Solutions East Street Rental NC 411-13	14B	Rural	Coconino County	2012	HOME	10/07/2014



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Summary of HOME Project Beneficiaries

Project Name	Nat Obj	Completion Date	RACE/ETHNICITY																	INCOME				TOTAL BENEFICIARIES									
			Female HH	White	White Hispanic	Black	Black Hispanic	Amer Indian/Alaskan	Nat Amer/Alaskan Hispanic	Asian	Asian Hispanic	Hawaiian/Pacific	Hawaiian/Pacific Hispanic	Indian/White	Indian/White Hispanic	Asian/White	Asian White Hispanic	Black/White	Black/White Hispanic	Indian/Black	Indian/Black Hispanic	Other/Multitude	Other Multitude Hispanic		0-30% Very Low Income	31-50% Low Income	51-80% Mod Income	Above 80% High Income					
Budget Year 2011																																	
14A-REHAB; SINGLE UNIT REHAB																																	
HOME City of Flagstaff OOHR 304-12	LMH	2/20/2015	2	12	7	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	2	7	0	13
HOME Old Pueblo Community Services OOHR 306-12	LMC	7/2/2014	5	8	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	5	1	0	8
HOME Pinal County OOHR 307-12	LMH	8/19/2014	1	5	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	1	0	5	
HOME Sierra Vista OOHR 309-12	LMH	9/5/2014	3	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	3	0	7	
HOME City of Yuma OOHR 310-12	LMH	8/5/2014	1	11	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	6	0	11	
14A Totals:			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	17	18	0	44		
14B-REHAB; MULTI-UNIT RESIDENTIAL																																	
HOME Vida Nueva Acq/Rehab 409-12		7/14/2014	0	4	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	6	
14B Totals:			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	6		
2011 Totals			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	23	18	0	50		



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Summary of HOME Project Beneficiaries

Project Name	Nat Obj	Completion Date	RACE/ETHNICITY																INCOME					TOTAL BENEFICIARIES				
			Female HH	White	White Hispanic	Black	Black Hispanic	Amer Indian/Alaskan	Nat Amer/Alaskan Hispanic	Asian	Asian Hispanic	Hawaiian/Pacific	Hawaiian/Pacific Hispanic	Indian/White	Indian/White Hispanic	Asian/White	Asian White Hispanic	Black/White	Black/White Hispanic	Indian/Black	Indian/Black Hispanic	Other/Multitude	Other Multitude Hispanic		0-30% Very Low Income	31-50% Low Income	51-80% Mod Income	Above 80% High Income
Budget Year 2012																												
14A-REHAB; SINGLE UNIT REHAB																												
HOME Mohave County OOHR 300-13	LMH	4/14/2015	9	11	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	6	4	3	0	13	
HOME Casa Grande OOHR 304-13	LMH	2/20/2015	2	6	4	4	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	4	5	0	11	
HOME Campesinos OOHR 310-13	LMH	12/16/2014	3	7	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	3	0	7		
14A Totals:			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31	
14B-REHAB; MULTI-UNIT RESIDENTIAL																												
HOME Sierra Blanca Apartments Acq/rehab 406-13		12/18/2014	9	14	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	11	0	14	
HOME Rancho Vista Apartments Rehab 407-13		12/17/2014	0	23	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	18	0	23	
HOME CHDO Housing Solutions East Street Rental NC 411-		10/7/2014	0	4	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	3	0	37	
14B Totals:			9	41	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	32	0	74	
2012 Totals			9	41	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	19	43	0	105



Arizona Department of Housing
CAPER Report
Investment by Activity & Persons Served
Federal Fiscal Year 2014
 Exhibit 3C

Funding Source: HOME

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2011											
HOME City of Flagstaff OOHR 304-12	Closed	14A	LMH	2/20/2015	Coconino		\$297,980.21	\$297,980.21	Households	12	11
HOME Old Pueblo Community Services OOHR 306-12	Closed	14A	LMC	7/2/2014	Pima		\$300,000.00	\$300,000.00	Households	8	8
HOME Pinal County OOHR 307-12	Closed	14A	LMH	8/19/2014	Pinal		\$300,000.00	\$300,000.00	Households	5	5
HOME Sierra Vista OOHR 309-12	Closed	14A	LMH	9/5/2014	Cochise		\$274,006.85	\$274,006.85	Households	6	6
HOME City of Yuma OOHR 310-12	Closed	14A	LMH	8/5/2014	Yuma		\$300,000.00	\$300,000.00	Households	9	11
HOME Vida Nueva Acq/Rehab 409-12	Closed	14B		7/14/2014	Pima		\$654,972.32	\$654,972.32	Housing Units	6	6
2011 HOME Totals							\$2,126,959.38	\$2,126,959.38		46	47



Arizona Department of Housing
CAPER Report
Investment by Activity & Persons Served
Fiscal Year 2014
 Exhibit 3C

Funding Source: HOME

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2012											
HOME Mohave County OOHR 300-13	Closed	14A	LMH	4/14/2015	Mohave		\$400,000.00	\$400,000.00	Households	14	13
HOME Casa Grande OOHR 304-13	Closed	14A	LMH	2/20/2015	Pinal		\$400,000.00	\$400,000.00	Households	10	11
HOME Campesinos OOHR 310-13	Closed	14A	LMH	12/16/2014	Yuma		\$140,000.00	\$140,000.00	Households	3	7
HOME Sierra Blanca Apartments Acq/rehab 406-13	Closed	14B		12/18/2014	Navajo		\$1,404,000.00	\$1,404,000.00	Housing Units	13	13
HOME Rancho Vista Apartments Rehab 407-13	Closed	14B		12/17/2014	Maricopa		\$1,460,568.51	\$1,460,568.51	Housing Units	23	23
HOME CHDO Housing Solutions East Street Rental NC 411-13	Closed	14B		10/7/2014	Coconino		\$613,200.61	\$613,200.61	Housing Units	4	4
2012 HOME Totals							\$4,417,769.12	\$4,417,769.12		67	71



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 4A

HTF Projects Completed During Fiscal Year

<u>Project</u>	<u>HUD Code</u>	<u>Local Code</u>	<u>County</u>	<u>Plan Year</u>	<u>Funding Source</u>	<u>Completion Date</u>
402-13 HTF Norwood Village Apartments Acq/Rehab 402-13	14B	Urban	Maricopa County	2013	Housing Trust Fund	06/03/2015
410-11 HOME HTF Dragonfly Village New Const 410-11	12	Urban	Pima County	2010	Housing Trust Fund	06/17/2015
412-13 HTF U of A Drachman Institute TOD Study 412-13	20	Urban	Pima County	2013	Housing Trust Fund	02/26/2015
413-12 HTF Kachina Apartments Acq Rehab 413-12	14B	Rural	Pinal County	2012	Housing Trust Fund	11/25/2014
502-14 HTF Flagstaff Shelter Svc Em Oper 502-14	EO	Urban	Coconino County	2013	Housing Trust Fund	11/17/2014
515-13 HTF CASS Mens Shelter Operations 515-13	EO	Urban	Maricopa County	2013	Housing Trust Fund	08/22/2014
516-14 HTF Lodestar Day Resource Center 516-14	21A	Urban	Maricopa County	2013	Housing Trust Fund	11/21/2014
526-13 HTF Southwest Fair Housing Council 526-13	05J	Rural	Pima County	2014	Housing Trust Fund	07/21/2014
530-14 HTF Arizona Coalition to End Homelessness 530-14	05Q	Urban	Maricopa County	2014	Housing Trust Fund	12/22/2014
531-14 HTF Consultant Stanton 531-14	20	Rural	Maricopa County	2014	Housing Trust Fund	12/18/2014



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
 Exhibit 4B

Summary of HTF Project Beneficiaries

Project Name	Nat Obj	Completion Date	Female HH	RACE/ETHNICITY																	INCOME				TOTAL BENEFICIARIES						
				White	White Hispanic	Black	Black Hispanic	Amer Indian/Alaskan	Nat Amer/Alaskan Hispanic	Asian	Asian Hispanic	Hawaiian/Pacific	Hawaiian/Pacific Hispanic	Indian/White	Indian/White Hispanic	Asian/White	Asian White Hispanic	Black/White	Black/White Hispanic	Indian/Black	Indian/Black Hispanic	Other/Multitude	Other Multitude Hispanic	Very Low Income		Low Income	Mod Income	High Income			
Budget Year 2013																															
05J-FAIR HOUSING ACTIVITIES																															
HTF Fair Housing/Education and Outreach 526-13		07/21/14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		05J Totals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
20-PLANNING																															
HTF U of A Drachman Institute TOD Study 412-13		02/26/15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		20 Totals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
14B-REHAB; MULTI-UNIT RESIDENTIAL																															
HTF Norwood Village Acq/Rehab 402-13		06/03/15	0	2	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	0	4
			0	2	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	0	4	
		14B Totals	0	2	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	0	4	
EO-EMERGENCY OPERATING																															
HTF Shelter Operations 515-13		08/22/14	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	0	3	
			0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	0	3	
		EO Totals	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	0	3	
		2013 Totals	0	2	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	0	4	



Arizona Department of Housing
CAPER Report
Fiscal Year 2014
 Exhibit 4B

Summary of HTF Project Beneficiaries

Project Name	Nat Obj	Completion Date	RACE/ETHNICITY																	INCOME				TOTAL BENEFICIARIES								
			Female HH	White	White Hispanic	Black	Black Hispanic	Amer Indian/Alaskan	Nat Amer/Alaskan Hispanic	Asian	Asian Hispanic	Hawaiian/Pacific	Hawaiian/Pacific Hispanic	Indian/White	Indian/White Hispanic	Asian/White	Asian White Hispanic	Black/White	Black/White Hispanic	Indian/Black	Indian/Black Hispanic	Other/Multitude	Other Multitude Hispanic		Very Low Income	Low Income	Mod Income	High Income				
Budget Year 2014																																
EO-Emergency Operating																																
HTF Flag Shelter Svc Emer Op 502-14		11/17/14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	855	0	0	0	855
HTF Lodestar Operating 516-14		11/21/14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	510	0	0	0	510
EO Totals			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1365	0	0	0	1365	
05Q-Subsistence Payments																																
HTF Lodestar Day Ctr Housing Assistance 516-14		11/21/14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	510	0	0	0	510	
HTF Rental Assistance 530-14		12/22/14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55	0	0	0	55	
05Q Totals			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	510	0	0	0	510		
20-PLANNING																																
HTF Consultant Stanton 531-14		12/18/14	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
05Q Totals			0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
2014 Totals			0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1875	0	0	0	1875		



Arizona Department of Housing
CAPER Report
Investment by Activity & Persons Served
Federal Fiscal Year 2014
 Exhibit 4C

Funding Source: Housing Trust Fund

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2013											
HTF Fair Housing/Education and Outreach 526-13	Closed	05J		7/21/2014	Pima		\$123,000.00	\$123,000.00	Training	0	0
HTF U of A Drachman Institute TOD Study 412-13	Closed	20		2/26/2015	Pima		\$156,394.89	\$156,394.89	Planning	0	0
HTF Norwood Village Acq/Rehab 402-13	Closed	14B		6/3/2015	Maricopa		\$466,175.00	\$466,175.00	Housing Units	4	4
HTF Shelter Operations 515-13	Closed	EO		8/22/2014	Maricopa		\$525,000.00	\$525,000.00	People	8,000	8,000
2013 HTF Totals							\$1,270,569.89	\$1,270,569.89		8004	8004



Arizona Department of Housing
CAPER Report
Investment by Activity & Persons Served
Fiscal Year 2014
 Exhibit 4C

Funding Source: Housing Trust Fund

Project Name	Status	MX	NatObj	Complete	County	Col?	Contract Amounts		Type	Accomplishments	
							Awarded	Posted		Propsd	Actual
Budget Year 2014											
HTF Flag Shelter Svc Emer Op 502-14	Closed	EO		11/17/2014	Coconino		\$95,000.00	\$95,000.00	People	750	855
HTF Lodestar Program Administration 516-14	Closed	21A		11/21/2014	Maricopa		\$113,092.00	\$113,092.00	People	0	0
HTF Lodestar Operating 516-14	Closed	EO		11/21/2014	Maricopa		\$5,833.65	\$185,425.65	People	0	0
HTF Lodestar Day Ctr Housing Assistance 516-14	Closed	05Q		11/21/2014	Maricopa		\$66,500.00	\$66,500.00	People	350	510
HTF Rental Assistance 530-14	Closed	05Q		12/22/2014	Maricopa		\$50,000.00	\$50,000.00	People	55	55
HTF Consultant Stanton 531-14	Closed	20		12/18/2014	Maricopa		\$19,999.50	\$19,999.50	Planning	0	0
2014 HTF Totals							\$350,425.15	\$530,017.15		1155	1420

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)Match Contributions for
Federal Fiscal Year (yyyy) 2014

Part I Participant Identification

1. Participant No. (assigned by HUD) SGO 40100	2. Name of the Participating Jurisdiction State of Arizona - Arizona Department of Housing	3. Name of Contact (person completing this report) Carol Ditmore
5. Street Address of the Participating Jurisdiction 1110 W. Washington, Suite 310		4. Contact's Phone Number (include area code) 602-771-1062
6. City Phoenix	7. State AZ	8. Zip Code 85007

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	19,500,014	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	671,063.32	
3. Total match available for current Federal fiscal year (line 1 + line 2)			\$ 20,171,077.32
4. Match liability for current Federal fiscal year			\$ 2,147,847.25
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)			\$ 18,023,230.07

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
410-11	6/8/2015	52,651.16						52,652.16
402-13	11/12/2014	46,618.00						46,618.00
403-14	10/27/2014	103,354.00						103,354.00
412-12	8/19/2014	57,724.40						57,724.40
413-12	7/31/2014	45,000.00						45,000.00
405-13	4/14/2014	199,644.72						199,644.72
405-13	3/3/2014	130,369.09						139,369.09
405-13	2/26/2013	3,500.00						3,500.00
405-13	5/2/2013	3,406.94						3,406.94
405-13	8/26/2013	7,175.75						7,175.75
405-13	10/29/2013	39,244.90						39,244.90

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as “affordable housing” under the HOME Program definitions. “NON” funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.
3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ’s HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ’s HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]
4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]
6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]
7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ’s cost estimate procedures. The value of donated or voluntary labor is determined by a single rate (“labor rate”) to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]
8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ’s total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

EXHIBIT 6
OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting 7/1/2014	Ending 6/30/2015	Date Submitted (mm/dd/yyyy)
---	--	---------------------	-----------------------------

Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
0	337,186.27	220,962.01	0	116,224.26

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount					
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired		
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

EXHIBIT 7
OMB Approval No. 2506-0171
(exp. 8/31/2009)

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	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount	NOT APPLICABLE TO STATES - PLEASE SEE ATTACHED				
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	NOT APPLICABLE TO STATES - PLEASE SEE ATTACHED				
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

DOUGLAS A. DUCEY
Governor



MICHAEL TRAILOR
Director

STATE OF ARIZONA
DEPARTMENT OF HOUSING
1110 WEST WASHINGTON, SUITE 310
PHOENIX, ARIZONA 85007

(602) 771-1000 WWW.AZHOUSING.GOV
FAX: 602-771-1002

October 1, 2015

Mr. John M. Burke
U.S. Department of HUD
Community Planning and Development Division
600 Harrison Street
San Francisco, CA 94107-1300

RE: State of Arizona, Department of Housing
October 2015 MBE/WBE Report Form 2516

Mr. Burke,

As determined in consultation with HUD, The Arizona Department of Housing (ADOH) is not required to submit an annual MBE/WBE Report Form 2516

Please find attached the following documentation in support of this determination:

- November 18, 2009 ADOH letter regarding HUD consultation and Arizona Revised Statutes procurement requirements
- October 12, 199 e-mail from Martin Mitchell, HUD; Larry Wuerstle, HUD and Steve Johnson, HUD confirming states do not need to submit Form 2516 but must retain information and make available during program review.
- March 26, 2010 e-mail from Louis Kislin, HUD confirming states do not need to submit a MBE/WBE report when following their own state procurement requirements.

Thank you for our attention to this matter. Should you have any questions, please feel free to contact me at (602) 771-1021 or by e-mail at Kathy.Blodgett@azhousing.gov.

Sincerely,


Katherine E. Blodgett, Administrator

Community Development and Revitalization Programs

CC: Dave Uhler, HUD CPD Representative, Phoenix Field Office
Carol Ditmore, ADOH Assistant Deputy Director of Operations
Andrew Rael, ADOH Assistant Deputy Director of Programs

JANICE K. BREWER
Governor



MICHAEL TRAILOR
Director

STATE OF ARIZONA
DEPARTMENT OF HOUSING

1110 WEST WASHINGTON, SUITE 310
PHOENIX, ARIZONA 85007

(602) 771-1000 WWW.AZHOUSING.GOV
FAX: (602) 771-1002

November 18, 2009

Via Facsimile
Fax # 415-489-6601

Evelyn Lam
Financial Analyst
U. S. Department of HUD
Community Planning and Development Division, 9ADS
600 Harrison Street
San Francisco, CA 94107-1300

Ms. Lam,

The State of Arizona asked our Phoenix HUD CPD local office for guidance regarding the State administered CDP programs and level of MBE/WBE reporting required. It was our interpretation that as the State of Arizona contracts only with Units of Local Government for CDBG Programs and does not directly competitively or otherwise procure good, services, general contractors, sub-contractors or professional services there would therefore be no MBE/WBE activity to report.

After much research and consultation with HUD DC, the following is an excerpt from the guidance provided from the HUD Phoenix Field Office:

"WBE/MBE contractors are reported on Form HUD2516, and not on the CAPER. Although the some States have reported WBE/MBE performance measures in their CAPER; some have reported only details of their WBE/MBE Plans, and others have not.

Here are the instructions from the form HUD2516:

"This report is to be completed by grantees, developers, sponsors, builders, agencies, And/or project owners for reporting contract and subcontract activities of \$10,000 or more under the following programs: Community Development Block Grants (entitlement and small cities); Urban Development Action Grants; Housing Development Grants; Multifamily Insured and Noninsured; Public and Indian Housing Authorities; and contracts entered into by recipients of CDBG rehabilitation assistance. Contracts/subcontracts of less than \$10,000 need be reported only if such contracts represent a significant portion of your total contracting activity. Include only contracts executed during this reporting period."

Regarding the level of reporting on contractors and subcontractors, the 2516 instructions are not explicative enough to address the contractors or subs of a State's grant recipient or subrecipient. Although it could be considered implied. What is important for the Field Office to know is that this would apply to entitlements,

especially because 24CFR570.506(g)(6) & 24CFR570.507(c) require reporting of MBE/WBE contracts/subcontracts for all CDBG funds. However this is a requirement for entitlements and not States.

In lieu of the Entitlement regulations at 24CFR570.506(g)(6) & 24CFR570.507(c), the State CDBG program has the Model Record Keeping Requirements. Looking to pages 12-13 of that document, the State must document its compliance with procurement requirements, including MBE/WBE. Therefore, if the State has its own contracts, for example TA contracts, IT subcontracts, contracts under the NSP waiver which allows States to directly contract, then the ethnic/racial composition of those contractors is reported on form 2516.

Getting to the heart of the question, we see in the Model Record Keeping Requirements that UGLGs have to present evidence to demonstrate that the State's policies and procedures for CDBG procurement have been followed. This is where the matter becomes complicated by HUD's deference to the States. States are not bound by the OMB Circulars, other than A-87, and they can set up their own procurement rules, provided the rules maximize free and open competition where feasible. So to what level of reporting does the State have to go on the HUD2516? The answer is that it would depend upon the States own procurement rules for its UGLGs. To state this even more clearly, we did not find a requirement specifically stating that the State must report contractors/subs of its grant recipients/subrecipients on HUD2516. However, we did see a requirement in the Model Record Keeping Requirements that States demonstrate compliance with their own rules, which may include a MBE/WBE component. In which case, HUD would like to see that demonstration of compliance via HUD2516."

As the final paragraph above states: Units of Local Government are bound by State Procurement rules and regulations and must demonstrate compliance with those rules and regulations. Arizona Revised Statutes, Chapter 23 (more specifically A.R.S. 41-2533 and A.R.S. 41-2534) is the Procurement Code for the State of Arizona. Arizona Procurement Code does not speak to MBE/WBE solicitation or reporting in their competitive procurement processes.

The Arizona Department of Housing monitors its sub-recipient Units of Local Government by desk review and on-site inspection for compliance with fair, free and open competitive procurement processes to ensure compliance with CPD funded programs.

Attached please find the HUD 2516 report for the State of Arizona for the period of October 1, 2008 thru September 30, 2009. There is no MBE/WBE activity to report.

Sincerely,



Katherine E. Blodgett

Community Development and Revitalization Programs Administrator

Cc: Lou Kislin, Senior CDP Representative - Phoenix HUD Field Office

Cindy Coen, Assistant Deputy Director of Programs - Arizona Department of Housing

Lisa Roth, Data Manager - Arizona Department of Housing

Caro: Ditmore

From: Martin H. Mitchell [Martin_H._Mitchell@HUD.GOV]
Sent: Tuesday, October 12, 1999 7:12 AM
To: CAROLD@EP.STATE.AZ.US; RIVKOK@EP.STATE.AZ.US
Subject: MBE reporting requirements

FYI. It appears that the States do not have to submit MBE reports to HUD but must have the information available when we review your programs.
----- Forwarded by Martin H. Mitchell/CPD/PHX/HUD on 10/12/99 07:08 AM -----

Larry Wuerstle
10/07/99 04:20 PM

To: Angelo C. Tom/CPD/SFC/HUD@HUD, Martin H. Mitchell/CPD/PHX/HUD@HUD
cc:
Subject: MBE reporting requirements

----- Forwarded by Larry Wuerstle/CPD/SFC/HUD on 10/07/99 01:17 PM -----

Maria S. Ortiz on 10/07/99 11:38:15 AM

To: Larry Wuerstle/CPD/SFC/HUD@HUD
cc: STEVE JOHNSON@HUD
Subject: MBE reporting requirements

Hi, Larry, Steve asked me to follow-up on your question to him regarding the MBE report. The report form itself is not mandatory for states, however, the information provided therein is. Also, the states need not send the information to the Field Office unlike Entitlement grantees who have to send in quarterly I believe (still not sure who lays claim to it, and it mostly depends on who carries more clout in the respective Field Office...in my good ole days in Miami, CPD and FH/EO kept going back and forth on who should be getting/compiling/filing the reports, let alone assessing data worth...CPD lost the battle...wound up having to do all the whatever), but must keep and made the information available to HUD for review purposes. To me, it would surely cut down on having to invent another wheel if they were to adopt a form already in place...in the end, however, it's the state's call.

The state might want to read up on 570.490, Recordkeeping Requirements, followed by 570.493, HUD's Reviews and Audits, for more guidance and direction in this area. Let me know if you need anything else.

----- Forwarded by Maria S. Ortiz/CPD/HHQ/HUD on 10/07/99 11:04 AM -----

STEVE JOHNSON
10/07/99 08:11 AM

To: Maria S. Ortiz/CPD/HHQ/HUD@HUD
C:
Subject: MBE reporting requirements

please respond. my faint recollection is they're subject to the E.O. but not to HUD's reporting requirements. is this correct???????

----- Forwarded by STEVE JOHNSON/CPD/HHQ/HUD on 10/07/99

08:07 AM -----

Jerry Wuerstle
10/06/99 05:59 PM

To: STEVE JOHNSON/CPD/HHQ/HUD@HUD

cc:

Subject: MBE reporting requirements

Steve -

We have sent out a reminder to all of our grantees regarding the MBE reporting requirements. One of the states has questioned the applicability of that requirement to their program. Are States required to submit MBE reports (i.e., HUD-2516's)?

Thanks!

From: Kislin, Louis [louis.kislin@hud.gov]
Sent: Friday, March 26, 2010 12:07 PM
To: Kathy Blodgett
Subject: MBE/WBE

Kathy,

After a lengthy review, which included researching the history of the entitlement record-keeping regulations, we were unable to locate any statutory or regulatory provision that would require a recipient of a state to report MBE/WBE contracting activity when the state chooses to follow its own policies and procedures, pursuant to 24 CFR 570.489, and those policies and procedures do not require such recordkeeping. Moreover, as you pointed out, the HUD Form itself only requires completion by grantees, developers, sponsors, builders, agencies and/or project owners, not subrecipients.

Please let me know if you have any questions. Thanks!

Louis Kislin
Sr. Community Planning and Development Representative
Phoenix HUD Field Office
Phone: (602) 379-7169
FAX: (602) 379-3985
Louis.Kislin@hud.gov



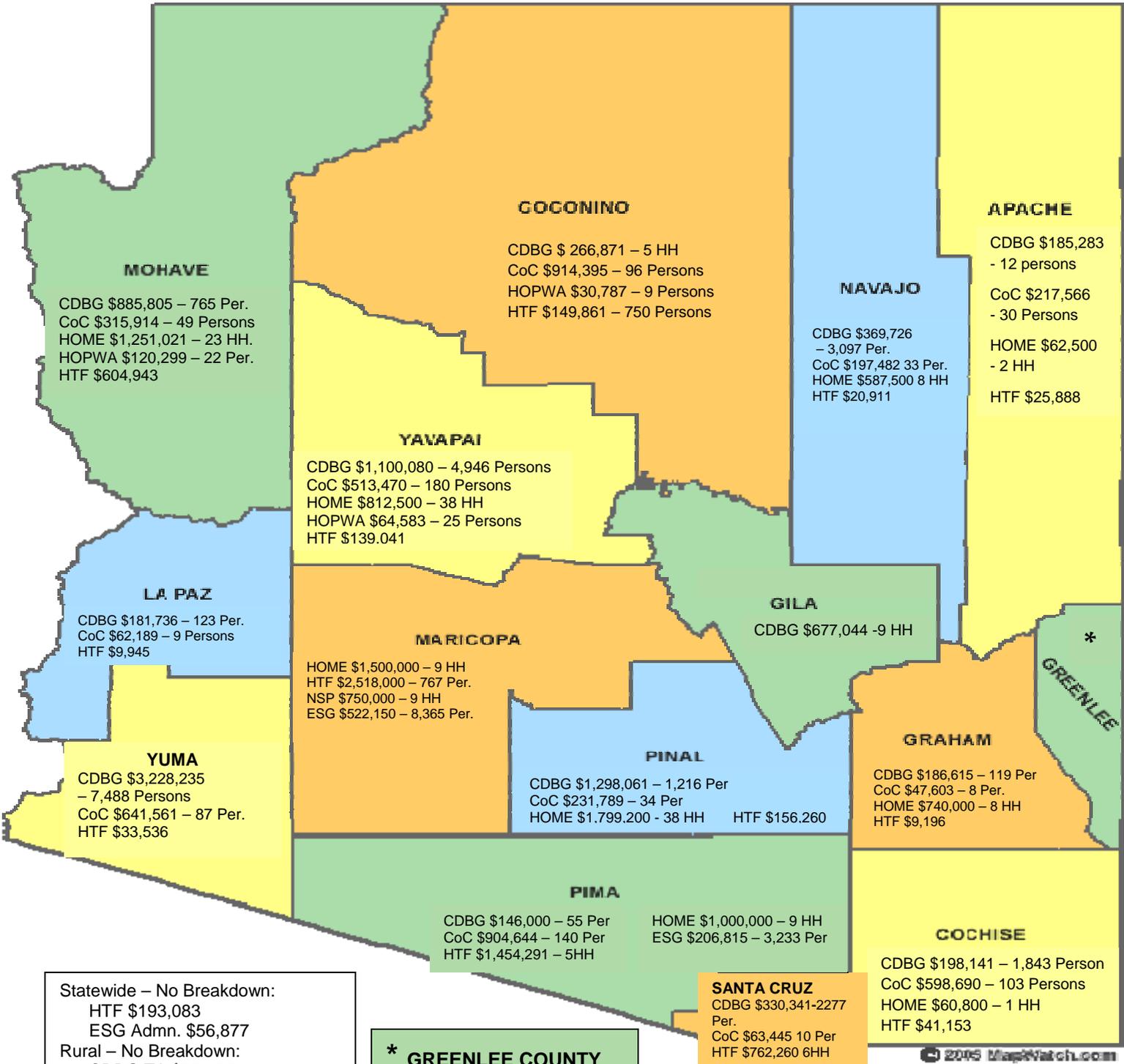
Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 8

NON CDBG Housing Units Assisted

	Average Median Income Level					Total
	<u>0-30%</u>	<u>31-50%</u>	<u>51-60%</u>	<u>61-80%</u>	<u>81-100%</u>	
HOME Total Units Assisted:	18	46	35	13	0	112
HTF Total Units Assisted:	0	6	6	0	0	12
TOTAL UNITS ASSISTED:	18	52	41	13	0	124



Arizona Department of Housing
 CAPER Report
 Federal Fiscal Year 2014
 Geographic Distribution of Funding and Beneficiaries.
 (HH = Households; Per = Persons)



Statewide – No Breakdown:
 HTF \$193,083
 ESG Admn. \$56,877
 Rural – No Breakdown:
 CDBG TA \$30,000
 CoC \$235,140
 ESG BoS \$251,054 – 2,003 Per

*** GREENLEE COUNTY**
 CDBG \$100,000 – 45 Per.
 HTF \$9,196

PROJECT NAME & ADDRESS	COUNTY	UNITS	PROJECT TYPE	TAX CREDITS AWARDED
9% Low Income Housing Tax Credits				
Cedar Crest Apartments 2251 North Izabel Street Flagstaff, AZ 86004-3529	Coconino	81	Acquisition/ Rehabilitation	\$ 13,486,930
La Mesita Phase 3 2254 W Main Street Mesa, AZ 85201-6806	Maricopa	30	Acq/ Demolition & NC	\$ 6,522,350
Esperanza en Escalante 3700 S. Calle Polar Tucson, AZ 85730-3261	Pima	44	New Construction	\$ 7,432,840
Kingman Heights Apartments - Amy Neal Retirement Center 1020 Detroit Avenue Kingman, AZ 86401-6813	Mohave (WACOG)	57	Acquisition/ Rehabilitation	\$ 6,252,070
Rodeo Court 40 Rodeo Drive Lakeside, AZ 85929-0000	Navajo (NACOG)	32	Acquisition/ Rehabilitation	\$ 3,279,180
Pascua Yaqui Homes III Various along W Calle Senu and S Call Tomi Guadalupe, AZ 85283-5053	Maricopa	37	New Construction	\$ 6,253,320
Yavapai-Apache Homes V Various along E Cherry Creek Road Camp Verde, AZ 86322-8248	Yavapai	38	New Construction	\$ 8,709,540
Landmark Senior Living 8280 N. 59th Avenue Glendale, AZ 85302-6708	Maricopa	52	New Construction	\$ 9,216,960
Sunnyside Point Villas II Sunnyside Point Subdivision Tucson, AZ 85706-2210	Tucson	92	New Construction	\$ 12,415,000
El Rancho II 659 & 701 E Main Street Mesa, AZ 85203-0000	Maricopa	47	Acq/ Demolition & NC	\$ 11,582,790
Legacy on Main Phase II 118 N Extension Road Mesa, AZ 85201-6337	Maricopa	122	Acquisition/ Rehabilitation	\$ 14,754,260
Valor on Eighth 1001 E 8th Street Tempe, AZ 85281-7302	Maricopa	50	Acq/ Demolition & NC	\$ 10,587,450

ARIZONA DEPARTMENT OF HOUSING
FY2014 ANNUAL REPORT

7th Avenue Commons 529 N 7th Avenue Tucson, AZ 85705-8334	Pima	50	NC/Adaptive Re- Use	\$ 11,498,920
Briarwood Apartments 2075 Injo Drive and 2080 Moyo Drive Lake Havasu, AZ 86403-4741	Mohave	56	Acquisition/ Rehabilitation	\$ 4,651,890
Florence Sunrise Apartments 960-980 Desoto Street 21 Willow Street Florence, AZ 85132-0000	Pinal	58	Acquisition/ Rehabilitation	\$ 6,234,290
Sunshine Valley Apartments 1901 S 20th Avenue Safford, AZ 85546-0000	Graham	72	Acquisition/ Rehabilitation	\$ 5,826,130
Northern Gardens 2211 W Northern Avenue Phoenix, AZ 85021-4917	Maricopa	66	Acq/ Demolition & NC	\$ 15,000,000
		984		\$ 153,703,920

4% Low Income Housing Tax Credits				
Catalunya Apartments 5180 East 22nd Street Tucson, AZ 85711-5051	Pima	140	Acquisition/ Rehabilitation	\$ 3,862,070
Miraflores Apartments 4011 North 1st Avenue Tucson, AZ 85719-1007	Pima	100	Acquisition/ Rehabilitation	\$ 2,967,920
Hill N' Dell 502 North 51st Street Phoenix, AZ 85008-6640	Maricopa	139	Acquisition/ Rehabilitation	\$ 4,195,840
Rio Viejo 5418 South Park Avenue Tucson, AZ 85706-0000	Pima	100	New Construction	\$ 6,806,090
Village Tower 1075 Ruth Street Prescott, AZ 86301-1755	Yavapai	60	Acquisition/ Rehabilitation	\$ 2,332,560
		539		\$ 20,164,480



Arizona Department of Housing
CAPER Report
Federal Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 11

Housing Dollar Accomplishments by HUD Matrix Code

<u>HUD MATRIX</u>		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
03A-SENIOR CENTERS	113-13-02	\$3,150	\$0	\$0	\$0	\$0	\$0	\$3,150
	114-13-02	\$6,127	\$0	\$0	\$0	\$0	\$0	\$6,127
	118-13-02	\$55,156	\$0	\$0	\$0	\$0	\$0	\$55,156
	122-14-02	\$250,000	\$0	\$0	\$0	\$0	\$0	\$250,000
	154-12-02	\$160,359	\$0	\$0	\$0	\$0	\$0	\$160,359
	156-12-02	\$103,927	\$0	\$0	\$0	\$0	\$0	\$103,927
	157-12-02	\$23,570	\$0	\$0	\$0	\$0	\$0	\$23,570
	158-12-02	\$90,559	\$0	\$0	\$0	\$0	\$0	\$90,559
	Total:	\$692,849	\$0	\$0	\$0	\$0	\$0	\$692,849
03B-HANDICAPPED CENTERS	112-14-02	\$43,500	\$0	\$0	\$0	\$0	\$0	\$43,500
	Total:	\$43,500	\$0	\$0	\$0	\$0	\$0	\$43,500
03J-WATER/SEWER IMPROVEMENTS	101-14-02	\$179,665	\$0	\$0	\$0	\$0	\$0	\$179,665
	104-11-02	\$437,821	\$0	\$0	\$0	\$0	\$0	\$437,821
	112-12-02	\$2,280,773	\$0	\$0	\$0	\$0	\$0	\$2,280,773
	116-13-02	\$156,110	\$0	\$0	\$0	\$0	\$0	\$156,110
	116-14-02	\$242,265	\$0	\$0	\$0	\$0	\$0	\$242,265



Arizona Department of Housing
CAPER Report
Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 11

Housing Dollar Accomplishments by HUD Matrix Code

		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
03J-WATER/SEWER IMPROVEMENTS	117-12-02	\$367,931	\$0	\$0	\$0	\$0	\$0	\$367,931
	128-13-02	\$208,551	\$0	\$0	\$0	\$0	\$0	\$208,551
	137-13-02	\$87,058	\$0	\$0	\$0	\$0	\$0	\$87,058
	Total:	\$3,960,174	\$0	\$0	\$0	\$0	\$0	\$3,960,174
03K-STREET IMPROVEMENTS	113-14-02	\$379,245	\$0	\$0	\$0	\$0	\$0	\$379,245
	125-14-02	\$298,500	\$0	\$0	\$0	\$0	\$0	\$298,500
	127-14-02	\$279,960	\$0	\$0	\$0	\$0	\$0	\$279,960
	130-14-02	\$299,124	\$0	\$0	\$0	\$0	\$0	\$299,124
	144-13-02	\$299,788	\$0	\$0	\$0	\$0	\$0	\$299,788
	153-12-02	\$244,027	\$0	\$0	\$0	\$0	\$0	\$244,027
	159-12-02	\$96,924	\$0	\$0	\$0	\$0	\$0	\$96,924
Total:	\$1,897,568	\$0	\$0	\$0	\$0	\$0	\$1,897,568	
03L-SIDEWALKS	119-13-02	\$250,132	\$0	\$0	\$0	\$0	\$0	\$250,132
	Total:	\$250,132	\$0	\$0	\$0	\$0	\$0	\$250,132
03-PUBLIC FACILITIES AND IMPROVEMENTS (GEN.)	109-13-02	\$217,929	\$0	\$0	\$0	\$0	\$0	\$217,929
	130-13-02	\$161,832	\$0	\$0	\$0	\$0	\$0	\$161,832



Arizona Department of Housing
CAPER Report
Fiscal Year 2014
Summary of Accomplishments Tables
 Exhibit 11

Housing Dollar Accomplishments by HUD Matrix Code

		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
03-PUBLIC FACILITIES AND IMPROVEMENTS (GEN.)	155-12-02	\$84,248	\$0	\$0	\$0	\$0	\$0	\$84,248
	Total:	\$464,008	\$0	\$0	\$0	\$0	\$0	\$464,008
05D-YOUTH SERVICES	129-13-02	\$42,883	\$0	\$0	\$0	\$0	\$0	\$42,883
	Total:	\$42,883	\$0	\$0	\$0	\$0	\$0	\$42,883
05J-FAIR HOUSING ACTIVITIES (CDBG subject to 15% cap)	526-13-02	\$0	\$0	\$0	\$0	\$123,000	\$0	\$123,000
	Total:	\$0	\$0	\$0	\$0	\$123,000	\$0	\$123,000
05Q-SUBSISTENCE PAYMENTS	516-14-02	\$0	\$0	\$0	\$0	\$66,500	\$0	\$66,500
	530-14-02	\$0	\$0	\$0	\$0	\$50,000	\$0	\$50,000
	Total:	\$0	\$0	\$0	\$0	\$116,500	\$0	\$116,500
05W-Food Banks	125-13-02	\$161,039	\$0	\$0	\$0	\$0	\$0	\$161,039
	Total:	\$161,039	\$0	\$0	\$0	\$0	\$0	\$161,039
12-CONSTRUCTION OF HOUSING	410-11-02	\$0	\$0	\$400,000	\$0	\$0	\$0	\$400,000
	410-11-03	\$0	\$0	\$0	\$0	\$350,000	\$0	\$350,000
	Total:	\$0	\$0	\$400,000	\$0	\$350,000	\$0	\$750,000
14A-REHAB; SINGLE-UNIT RESIDENTIAL	101-13-02	\$246,000	\$0	\$0	\$0	\$0	\$0	\$246,000



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14A-REHAB; SINGLE-UNIT RESIDENTIAL	106-13-02	\$149,572	\$0	\$0	\$0	\$0	\$0	\$149,572
	108-13-02	\$85,507	\$0	\$0	\$0	\$0	\$0	\$85,507
	111-13-02	\$140,697	\$0	\$0	\$0	\$0	\$0	\$140,697
	121-13-02	\$62,048	\$0	\$0	\$0	\$0	\$0	\$62,048
	122-13-02	\$190,915	\$0	\$0	\$0	\$0	\$0	\$190,915
	126-13-02	\$353,817	\$0	\$0	\$0	\$0	\$0	\$353,817
	126-14-02	\$262,400	\$0	\$0	\$0	\$0	\$0	\$262,400
	132-12-02	\$204,494	\$0	\$0	\$0	\$0	\$0	\$204,494
	135-12-02	\$107,968	\$0	\$0	\$0	\$0	\$0	\$107,968
	136-13-02	\$183,351	\$0	\$0	\$0	\$0	\$0	\$183,351
	137-12-02	\$189,120	\$0	\$0	\$0	\$0	\$0	\$189,120
	140-13-02	\$262,400	\$0	\$0	\$0	\$0	\$0	\$262,400
	300-13-07	\$0	\$0	\$42,398	\$0	\$0	\$0	\$42,398
	300-13-08	\$0	\$0	\$17,019	\$0	\$0	\$0	\$17,019
	300-13-09	\$0	\$0	\$24,428	\$0	\$0	\$0	\$24,428
	300-13-10	\$0	\$0	\$43,086	\$0	\$0	\$0	\$43,086



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14A-REHAB; SINGLE-UNIT RESIDENTIAL	300-13-11	\$0	\$0	\$15,710	\$0	\$0	\$0	\$15,710
	300-13-12	\$0	\$0	\$38,141	\$0	\$0	\$0	\$38,141
	300-13-13	\$0	\$0	\$29,658	\$0	\$0	\$0	\$29,658
	300-13-14	\$0	\$0	\$25,287	\$0	\$0	\$0	\$25,287
	300-13-15	\$0	\$0	\$46,042	\$0	\$0	\$0	\$46,042
	301-13-05	\$0	\$0	\$21,018	\$0	\$0	\$0	\$21,018
	301-13-06	\$0	\$0	\$27,200	\$0	\$0	\$0	\$27,200
	301-13-07	\$0	\$0	\$10,275	\$0	\$0	\$0	\$10,275
	301-15-03	\$0	\$0	\$41,100	\$0	\$0	\$0	\$41,100
	301-15-04	\$0	\$0	\$35,000	\$0	\$0	\$0	\$35,000
	303-13-03	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-04	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-05	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-06	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-07	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-08	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14A-REHAB; SINGLE-UNIT RESIDENTIAL	303-13-09	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	303-13-10	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000
	304-12-12	\$0	\$0	\$22,722	\$0	\$0	\$0	\$22,722
	304-12-14	\$0	\$0	\$27,578	\$0	\$0	\$0	\$27,578
	304-12-15	\$0	\$0	\$52,473	\$0	\$0	\$0	\$52,473
	304-13-11	\$0	\$0	\$72,400	\$0	\$0	\$0	\$72,400
	304-13-12	\$0	\$0	\$33,000	\$0	\$0	\$0	\$33,000
	304-13-13	\$0	\$0	\$35,000	\$0	\$0	\$0	\$35,000
	305-13-03	\$0	\$0	\$14,669	\$0	\$0	\$0	\$14,669
	305-13-04	\$0	\$0	\$6,599	\$0	\$0	\$0	\$6,599
	305-15-03	\$0	\$0	\$34,177	\$0	\$0	\$0	\$34,177
	305-15-04	\$0	\$0	\$19,276	\$0	\$0	\$0	\$19,276
	305-15-05	\$0	\$0	\$29,260	\$0	\$0	\$0	\$29,260
	306-15-03	\$0	\$0	\$40,332	\$0	\$0	\$0	\$40,332
	306-15-04	\$0	\$0	\$54,783	\$0	\$0	\$0	\$54,783
	306-15-05	\$0	\$0	\$25,699	\$0	\$0	\$0	\$25,699



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14A-REHAB; SINGLE-UNIT RESIDENTIAL	306-15-06	\$0	\$0	\$39,984	\$0	\$0	\$0	\$39,984
	307-12-06	\$0	\$0	\$80,000	\$0	\$0	\$0	\$80,000
	307-12-08	\$0	\$0	\$63,206	\$0	\$0	\$0	\$63,206
	307-12-09	\$0	\$0	\$58,932	\$0	\$0	\$0	\$58,932
	307-13-04	\$0	\$0	\$32,797	\$0	\$0	\$0	\$32,797
	307-13-05	\$0	\$0	\$29,195	\$0	\$0	\$0	\$29,195
	307-13-06	\$0	\$0	\$29,334	\$0	\$0	\$0	\$29,334
	307-13-07	\$0	\$0	\$41,577	\$0	\$0	\$0	\$41,577
	308-13-06	\$0	\$0	\$44,529	\$0	\$0	\$0	\$44,529
	308-13-07	\$0	\$0	\$51,419	\$0	\$0	\$0	\$51,419
	308-13-09	\$0	\$0	\$45,521	\$0	\$0	\$0	\$45,521
	309-12-06	\$0	\$0	\$50,705	\$0	\$0	\$0	\$50,705
	309-12-07	\$0	\$0	\$54,646	\$0	\$0	\$0	\$54,646
	309-12-08	\$0	\$0	\$55,289	\$0	\$0	\$0	\$55,289
	309-13-03	\$0	\$0	\$48,078	\$0	\$0	\$0	\$48,078
	309-13-04	\$0	\$0	\$47,810	\$0	\$0	\$0	\$47,810



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14A-REHAB; SINGLE-UNIT RESIDENTIAL	309-13-05	\$0	\$0	\$42,325	\$0	\$0	\$0	\$42,325
	309-13-06	\$0	\$0	\$34,892	\$0	\$0	\$0	\$34,892
	309-13-07	\$0	\$0	\$50,472	\$0	\$0	\$0	\$50,472
	310-12-12	\$0	\$0	\$33,000	\$0	\$0	\$0	\$33,000
	310-12-13	\$0	\$0	\$6,939	\$0	\$0	\$0	\$6,939
	310-13-03	\$0	\$0	\$28,911	\$0	\$0	\$0	\$28,911
	310-13-04	\$0	\$0	\$34,050	\$0	\$0	\$0	\$34,050
	310-13-05	\$0	\$0	\$21,411	\$0	\$0	\$0	\$21,411
	310-13-06	\$0	\$0	\$13,988	\$0	\$0	\$0	\$13,988
	310-13-07	\$0	\$0	\$10,757	\$0	\$0	\$0	\$10,757
	310-13-08	\$0	\$0	\$14,332	\$0	\$0	\$0	\$14,332
	310-13-09	\$0	\$0	\$16,550	\$0	\$0	\$0	\$16,550
	Total:	\$2,438,289	\$0	\$2,364,979	\$0	\$0	\$0	\$4,803,268
14B-REHAB;MULTI-UNIT RESIDENTIAL	402-13-02	\$0	\$0	\$0	\$0	\$466,175	\$0	\$466,175
	406-13-02	\$0	\$0	\$1,404,000	\$0	\$0	\$0	\$1,404,000
	407-13-02	\$0	\$0	\$1,460,569	\$0	\$0	\$0	\$1,460,569



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
14B-REHAB;MULTI-UNIT RESIDENTIAL	409-12-02	\$0	\$0	\$654,972	\$0	\$0	\$0	\$654,972
	411-13-02	\$0	\$0	\$483,105	\$0	\$0	\$0	\$483,105
	413-12-02	\$0	\$0	\$0	\$0	\$450,000	\$0	\$450,000
	Total:	\$0	\$0	\$4,002,646	\$0	\$916,175	\$0	\$4,918,821
16B-NON-RESIDENTIAL HISTORIC PRESERVATION	112-13-02	\$147,039	\$0	\$0	\$0	\$0	\$0	\$147,039
	127-13-02	\$145,715	\$0	\$0	\$0	\$0	\$0	\$145,715
	Total:	\$292,754	\$0	\$0	\$0	\$0	\$0	\$292,754
18A-ED DIRECT FINANCIAL ASSISTANCE TO FOR-PROFITS	110-14-02	\$379,245	\$0	\$0	\$0	\$0	\$0	\$379,245
	Total:	\$379,245	\$0	\$0	\$0	\$0	\$0	\$379,245
20-PLANNING	120-13-02	\$60,000	\$0	\$0	\$0	\$0	\$0	\$60,000
	150-12-02	\$105,496	\$0	\$0	\$0	\$0	\$0	\$105,496
	412-13-02	\$0	\$0	\$0	\$0	\$156,395	\$0	\$156,395
	531-14-02	\$0	\$0	\$0	\$0	\$20,000	\$0	\$20,000
	PO-HOO8211	\$0	\$0	\$0	\$0	\$4,385	\$0	\$4,385
	Total:	\$165,496	\$0	\$0	\$0	\$180,779	\$0	\$346,275
31C-HOPWA Project Sponsor Activity	519-14-02	\$0	\$0	\$0	\$17,206	\$0	\$0	\$17,206



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
31C-HOPWA Project Sponsor Activity	519-14-03	\$0	\$0	\$0	\$35,687	\$0	\$0	\$35,687
	524-14-02	\$0	\$0	\$0	\$42,347	\$0	\$0	\$42,347
	525-14-02	\$0	\$0	\$0	\$88,341	\$0	\$0	\$88,341
	525-14-03	\$0	\$0	\$0	\$17,814	\$0	\$0	\$17,814
	Total:	\$0	\$0	\$0	\$201,395	\$0	\$0	\$201,395
31D-HOPWA Project Sponsor Administration	519-14-01	\$0	\$0	\$0	\$4,060	\$0	\$0	\$4,060
	524-14-01	\$0	\$0	\$0	\$3,116	\$0	\$0	\$3,116
	525-14-01	\$0	\$0	\$0	\$8,246	\$0	\$0	\$8,246
	Total:	\$0	\$0	\$0	\$15,422	\$0	\$0	\$15,422
COC-Continuum of Care Program	500-14-02	\$0	\$55,560	\$0	\$0	\$0	\$0	\$55,560
	500-14-03	\$0	\$33,291	\$0	\$0	\$0	\$0	\$33,291
	501-14-02	\$0	\$67,680	\$0	\$0	\$0	\$0	\$67,680
	501-14-03	\$0	\$27,497	\$0	\$0	\$0	\$0	\$27,497
	503-14-02	\$0	\$122,359	\$0	\$0	\$0	\$0	\$122,359
	504-14-02	\$0	\$114,826	\$0	\$0	\$0	\$0	\$114,826
	504-14-03	\$0	\$45,211	\$0	\$0	\$0	\$0	\$45,211



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
COC-Continuum of Care Program	505-14-02	\$0	\$207,432	\$0	\$0	\$0	\$0	\$207,432
	506-14-02	\$0	\$157,100	\$0	\$0	\$0	\$0	\$157,100
	506-14-03	\$0	\$60,000	\$0	\$0	\$0	\$0	\$60,000
	507-14-02	\$0	\$114,231	\$0	\$0	\$0	\$0	\$114,231
	508-14-02	\$0	\$133,761	\$0	\$0	\$0	\$0	\$133,761
	509-14-02	\$0	\$195,192	\$0	\$0	\$0	\$0	\$195,192
	510-14-02	\$0	\$30,040	\$0	\$0	\$0	\$0	\$30,040
	511-14-02	\$0	\$27,400	\$0	\$0	\$0	\$0	\$27,400
	512-14-02	\$0	\$30,066	\$0	\$0	\$0	\$0	\$30,066
	514-14-02	\$0	\$103,612	\$0	\$0	\$0	\$0	\$103,612
	516-13-02	\$0	\$15,600	\$0	\$0	\$0	\$0	\$15,600
	516-13-03	\$0	\$12,500	\$0	\$0	\$0	\$0	\$12,500
	516-13-04	\$0	\$95,000	\$0	\$0	\$0	\$0	\$95,000
	517-13-02	\$0	\$67,712	\$0	\$0	\$0	\$0	\$67,712
	518-13-02	\$0	\$79,115	\$0	\$0	\$0	\$0	\$79,115
	518-14-02	\$0	\$29,886	\$0	\$0	\$0	\$0	\$29,886



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		<u>CDBG</u>	<u>Continuum of Care Program</u>	<u>HOME</u>	<u>HOPWA</u>	<u>Housing Trust Fund</u>	<u>Supportive Housing Program</u>	<u>Total</u>
COC-Continuum of Care Program	518-14-03	\$0	\$44,567	\$0	\$0	\$0	\$0	\$44,567
	520-14-02	\$0	\$898,046	\$0	\$0	\$0	\$0	\$898,046
	521-13-02	\$0	\$102,106	\$0	\$0	\$0	\$0	\$102,106
	521-14-02	\$0	\$25,710	\$0	\$0	\$0	\$0	\$25,710
	521-14-03	\$0	\$15,100	\$0	\$0	\$0	\$0	\$15,100
	522-14-02	\$0	\$40,206	\$0	\$0	\$0	\$0	\$40,206
	522-14-03	\$0	\$34,655	\$0	\$0	\$0	\$0	\$34,655
	526-14-02	\$0	\$78,196	\$0	\$0	\$0	\$0	\$78,196
	527-14-02	\$0	\$39,719	\$0	\$0	\$0	\$0	\$39,719
	528-14-02	\$0	\$208,728	\$0	\$0	\$0	\$0	\$208,728
	529-14-02	\$0	\$93,870	\$0	\$0	\$0	\$0	\$93,870
	533-14-02	\$0	\$37,717	\$0	\$0	\$0	\$0	\$37,717
	546-14-02	\$0	\$90,779	\$0	\$0	\$0	\$0	\$90,779
	Total:	\$0	\$3,534,472	\$0	\$0	\$0	\$0	\$3,534,472
CON-Conference/Sponsorship	PO-H007998-I	\$0	\$0	\$0	\$0	\$1,500	\$0	\$1,500
	Total:	\$0	\$0	\$0	\$0	\$1,500	\$0	\$1,500



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EO-Emergency Operating	502-14-02	\$0	\$0	\$0	\$0	\$95,000	\$0	\$95,000
	515-13-02	\$0	\$0	\$0	\$0	\$525,000	\$0	\$525,000
	516-14-03	\$0	\$0	\$0	\$0	\$5,834	\$0	\$5,834
	Total:	\$0	\$0	\$0	\$0	\$625,834	\$0	\$625,834
SHP-Supportive Housing Program	517-14-02	\$0	\$0	\$0	\$0	\$0	\$95,945	\$95,945
	Total:	\$0	\$0	\$0	\$0	\$0	\$95,945	\$95,945
Grand Total:		<u>\$10,787,936</u>	<u>\$3,534,472</u>	<u>\$6,767,625</u>	<u>\$216,817</u>	<u>\$2,313,788</u>	<u>\$95,945</u>	<u>\$23,716,584</u>